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# DEVELOPMENT OF STRATEGIES FOR VASCULAR DAMAGE REPAIR IN PULMONARY ARTERIAL HYPERTENSION

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## **Abbreviations**

5-HT, serotonin; 6MWD, 6 min-walk distance; AgNOR, silver-stained Nucleolar Organizer Regions; **ALK1**, activin-like kinase-type 1; **bFGF**, fibroblast growth factor beta; BMPR2, bone morphogenetic protein receptor type 2; **COPD**, chronic obstructive pulmonary disease; **CTEPH**, chronic thromboembolic pulmonary hypertension; EGF, epidermal growth factor; ERA, endothelin receptor antagonists; ET-1, endothelin-1; ETA, endothelin receptor A ETB, endothelin receptor B H&E, hematoxillin-eosin; **HPAH**, Heritable pulmonary arterial hypertension; **IGF-1**, insulin-like growth factor 1; LV, Left Ventricle; MCT, Monocrotaline; **mPAP**, mean pulmonary arterial pressure (); **PAH**, pulmonary arterial hypertension; **PAP**, pulmonary arterial pressure; **PAR**, pulmonary artery resistances; PBMCs, Peripheral blood mononuclear cells; **PDE-5**, phosphodyesterase type-5; **PDGF**, platelet derived growth factor;

- **PH**, Pulmonary hypertension;
- **PP**, protein phosphatase;
- **RHC**, right heart catheterization;
- **RV**, right ventricle;
- S, septum;
- SAGE, Serial Analysis Gene Expression;
- **SSc-PAH**, Scleroderma-associated pulmonary arterial hypertension;
- **TGF-beta or TGF-** $\beta$ , transforming growth factor beta;
- **TNF-** $\alpha$ , tumor necrosis factor- $\alpha$ ;
- **VEGF**, vascular endothelial growth factor.

# Summary

		Abbreviations	
1.	Intr	oduction	9
	1.1.	Definition and hemodynamic classification of Pulmonary Hypertension	9
	1.1.	Clinical classification of PH	9
	1.2.	Group I: PAH	10
	1.2.	1. Epidemiology of PAH	12
	1.2.	2. Histopathology of PAH	12
	1.2.3	3. Pathobiology of PAH	14
	E	ndothelial dysfunction	15
	Va	ascular remodeling	17
	In	flammatory process	17
	TI	nrombosis and platelet dysfunction	19
	1.2.4	4. Genetic	20
	1.2.	5. Diagnosis and Clinical presentation of PAH	21
	1.2.	6. Pathophysiology of PAH	22
	1.2.	7. Current available therapies	22
	P	rostanoids	23
	E	ndothelin Receptor Antagonists	25
	PI	nosphodiesterase Type-5 Inhibitors	25
	C	ombination Therapy	26
	1.2.	8. Lung transplantation	26
	1.2.9	9. Animal models in PAH	27
	С	hronic hypoxia	
	М	onocrotaline injury	29
	1.3.	Smooth muscle cells	

1.4.	Platelet-derived growth factor pathway	. 31
1.5.	Histone deacetylase enzymes	. 33
1.6.	Histone deacetylase inhibitors	. 34
1.7.	Peripheral blood mononuclear cells	. 35
2. Aim	of the study	. 37
2.1.	In vitro animal studies	. 37
2.2.	In vivo animal studies	. 37
2.3.	Patients' blood related studies	. 37
3. Mat	erial and Methods	. 39
3.1.	In vitro animal studies	. 39
3.1.1	. Reagents and antibodies	. 39
3.1.2	2. Cell isolation and culture conditions	. 39
3.1.3	3. In vitro model of hyper-proliferation: experimental plan	. 40
3.1.4	I. Cell proliferation and viability	. 40
3.1.5	5. Immunofluorescence	. 40
3.1.6	6. Cell cycle analysis	. 41
3.1.7	7. Gene expression	. 41
3.1.8	3. SDS-PAGE and Western Blotting	. 41
3.1.9	9. Electron microscopy	. 42
3.1.1	0. Mitosis analysis	. 42
3.1.1	1. Nucleolar Organizer Regions Silver morphometric analysis	. 42
3.1.1	2. Wound healing assay	. 43
3.1.1	3. Pulmonary artery ring assay	. 43
3.1.1	4. Immunoprecipitation assay	. 43
3.1.1	5. Statistical analysis	. 44
3.2.	In vivo animal studies	. 44
3.2.1	Ethics Statement	. 44
3.2.2	2. Monocrotaline model of PAH in rats	. 44

3.2.3.	Study design	44
3.2.4.	BU administration	45
3.2.5.	Assessment of right ventricular hypertrophy	45
3.2.6.	Histological analyses	45
3.2.7.	Statistical analysis	47
3.3. F	Patients' blood related studies	47
3.3.1.	Study design	47
3.3.2.	Patient and healthy volunteers enrolment	48
3.3.3.	Peripheral Blood Mononuclear Cells isolation	48
3.3.4.	Serial Analysis Gene Expression (SAGE) library construction	49
Des	cription of the procedure:	49
3.3.5.	Quality and control of SAGE library	51
3.3.6.	Statistical analysis	52
4. Resul	lts	53
4.1. <i>li</i>	n vitro animal studies	53
4.1.1.	Proliferation and viability assays	53
4.1.2.	Cell cycle analysis	54
4.1.3.	Ultrastructural and morphometric analyses	55
4.1.4.	Gene and protein expression analyses	56
4.1.5.	Migration assays	58
4.1.6.	Mechanism of action	59
4.2. <i>l</i>	n vivo animal studies: preliminary results	61
4.3. F	Patients' blood related studies: preliminary results	63
5. Discu	ssion	70
5.1. <i>li</i>	n vitro animal studies	70
5.2. <i>li</i>	<i>n vivo</i> animal studies	71
5.3. F	Patients' blood related studies	72
6. Concl	lusions	74

7.	References	75	5
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# 1. Introduction

## 1.1. Definition and hemodynamic classification of Pulmonary Hypertension

Pulmonary hypertension (PH) is a pathophysiological condition characterized by an increase of pulmonary artery resistances (PAR) and consequent elevation of the right ventricular afterload lead to right ventricular failure.

The definition of PH correspond to an elevation in pulmonary arterial pressure (PAP) mean ≥25 mmHg at rest. This is an arbitrary definition based on the PAP mean value, which is the least varying parameter and it require a direct measurement trough right heart catheterization (RHC).

Actually, an increase of PAP mean ≥25 mmHg it occur in numerous clinical conditions characterized by physiopathological and hemodynamic aspects, then it has been purposed an hemodynamic classification to discriminate PH (Table 1)

Table 1. Haemodynamic Definitions	of Pulmonary Hypertension <sup>a</sup>
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Definition	Characteristics	Clinical Groups
Pulmonary hypertension (PH)	Mean PAP ≥25 mmHg	All
Pre-capillary PH	Mean PAP ≥25 mmHg; PWP ≤15 mmHg; CO normal or reduced <sup>b</sup>	Pulmonary arterial hypertension; PH due to lung diseases; Chronic thromboembolic PH; PH with unclear and/or multifactorial mechanisms
Post-capillary PH	Mean PAP ≥25 mmHg, PWP >15 mmHg, CO normal or reduced <sup>b</sup>	PH due to left heart disease: Passive TPG ≤12 mmHg Reactive (out of proportion) TPG >12 mmHg

CO indicates cardiac output; PAP, pulmonary arterial pressure; PH, pulmonary hypertension; PWP, pulmonary wedge pressure; TPG, transpulmonary pressure gradient (mean PAP—mean PWP)

**a** All values measured at rest.

**b** According to Table 2.

## 1.1. Clinical classification of PH

The PH can be attributed to several clinical conditions, as well as in left heart diseases, in parenchymal pneumopathies, pulmonary vascular mechanical obstructions, but it can be caused by a pathological process of pulmonary circulation independently by any other reasons.

Each of these conditions is characterized by peculiar physiopathological and clinical aspects then a classification based on hemodynamics parameters results limited for both diagnostic and therapeutic point of views. For this reason physicians expert in PH formulate a clinical classification forwarded to group conditions characterized by common histopathological and physiopathological aspects in addition to similarities in clinical presentation, therapeutic strategy and prognostic evolution. The clinical classification of PH has gone through a series of changes since the first version was proposed in 1973 at the first international conference on primary PH endorsed by the World Health Organization. The current clinical classification, derived from the 4<sup>th</sup> World Symposium on PH held in 2008 in Dana Point, California is shown in table 2. Very recently the 5<sup>th</sup> World Symposium is held in Nice 2013, then this classification will probably modified.

The Dana Point classification aims to distinguish pulmonary arterial hypertension (PAH) conditions including all type of PH where the increase of PAP are related to micropulmonary circle disease (group I) from the other conditions where the increase of PAP is consequence of diseases as left ventricular systolic or diastolic failure and valves diseases (Group 2), pulmonary parenchyma disease and/ or hypoxemia (Group 3), chronic thromboembolic PH (CTEPH) (Group 4) and PH due to unclear and/or multifactorial mechanisms (Group 5).

This clinical classification is essential also to guarantee a correct communication between clinicians, in order to standardize diagnosis and treatment, to perform controlled clinical trial, and finally to analyze novel pathobiological alterations in specific patient cohorts.

This research is focused on aspects related to the first group of clinical classification.

#### 1.2. Group I: PAH

PAH includes an heterogenic group of diseases characterized by a progressive increase of pulmonary vascular resistance leading to right ventricular failure and premature death [1]. The prognosis of PAH is severe, prior to the advent of modern therapies, life expectancy for adults with idiopathic PAH was 3 years from diagnosis; for children, it was 10 months [2].

PAH in adults includes at least nine clinical subgroups with virtually identical obstructive pathologic changes (table 2) in the distal pulmonary arteries: idiopathic, heritable, drug- and toxininduced, associated with connective tissue diseases, HIV infection, portal hypertension, congenital heart disease, schistosomiasis, and chronic hemolytic anemia [3].

All type of PAH are characterized by abnormalities in pulmonary vascular biology in each compartment of the blood vessel, defined pulmonary hypertensive arteriopathy. The lumen has a prothrombotic diathesis, the endothelium displays an excessive production of vasoconstrictors relative to vasodilators, as well as an increase of mitogenic mediators. Vascular cells increase their proliferation and migration leading to a progressive reduction of vascular lumen [4]. Despite PAH has origin at pulmonary vascular level, the clinical symptoms and severe prognosis are principally related to right ventricle failure.

#### Table 2. Updated Clinical Classification of Pulmonary Hypertension (Dana Point, 2008)

#### 1 - Pulmonary arterial hypertension (PAH)

- 1.1 Idiopathic PAH
- 1.2 Heritable
- 1.2.1 BMPR2
- 1.2.2 ALK1, endoglin (with or without hereditary haemorrhagic telangiectasia)
- 1.2.3 Unknown
- 1.3 Drugs and toxins induced
- 1.4 Associated with (APAH):
- 1.4.1 Connective tissue diseases
- 1.4.2 HIV infection
- 1.4.3 Portal hypertension
- 1.4.4 Congenital heart disease
- 1.4.5 Schistosomiasis
- 1.4.6 Chronic haemolytic anaemia
- 1.5 Persistent pulmonary hypertension of the newborn
- 1' Pulmonary veno-occlusive disease and/or pulmonary capillary haemangiomatosis

#### 2 - Pulmonary hypertension due to left heart disease

- 2.1 Systolic dysfunction
- 2.2 Diastolic dysfunction
- 2.3 Valvular disease

#### 3 - Pulmonary hypertension due to lung diseases and/or hypoxia

- 3.1 Chronic obstructive pulmonary disease
- 3.2 Interstitial lung disease
- 3.3 Other pulmonary diseases with mixed restrictive and obstructive pattern
- 3.4 Sleep-disordered breathing
- 3.5 Alveolar hypoventilation disorders
- 3.6 Chronic exposure to high altitude
- 3.7 Developmental abnormalities

#### 4 - Chronic thromboembolic pulmonary hypertension

#### 5 - PH with unclear and/or multifactorial mechanisms

- 5.1 Haematological disorders: myeloproliferative disorders, splenectomy
- 5.2 Systemic disorders, sarcoidosis, pulmonary Langerhans cell histiocytosis, lymphangioleiomyomatosis,

#### neurofibromatosis, vasculitis

- 5.3 Metabolic disorders: glycogen storage disease, Gaucher disease, thyroid disorders
- 5.4 Others: tumoral obstruction, fibrosing mediastinitis, chronic renal failure on dialysis

ALK-1 indicates activin receptor-like kinase 1 gene; APAH, associated pulmonary arterial hypertension; BMPR2, bone morphogenetic protein receptor, type 2; HIV, human immunodeficiency virus; PAH, pulmonary arterial hypertension.

#### 1.2.1. Epidemiology of PAH

The PAH disease is a rare clinical condition and represent only the 3.5% of all form of PH. The most frequently clinical conditions responsible for the elevation of PAP are left heart diseases (Group 2) and diseases of pulmonary parenchyma (Group 3), corresponding to 78% and 10% of cases with PH respectively.

The epidemiologic characteristics of Idiopathic-PAH (IPAH) are recently analyzed in some national registries. Data relatives to French Registry [5] documented that females are predominant within IPAH patients, with a ratio females/males of 1.9/1. PAH affects a relatively young patient population (average age of 50 years) when compared with the more common thoracic organ diseases such as coronary artery disease and chronic obstructive lung disease. Within PAH, idiopathic form is the most frequent (39.2%), instead of it is documented that the heritable form (HPAH) is only 3.9% of cases. Considering the PAH associated to secondary diseases the most frequent are connective tissue diseases (15.3%) expecially Sclerodermia (SSc-PAH), besides congenital heart diseases (11.3%), portal hypertension (10.4%), drug- and toxin-induced (9.5%) and HIV infection (6.2%). In this registry the minimum prevalence of PAH and IPAH are 15 and 5.9 cases/ per million of adult population/ year, respectively.

The epidemiological data emerging from the Scottish Registry [6], the second European registry, and data from other studies confirmed that the prevalence of PAH, totally, ranges from ranges from 15 to 50 patients per million population [7, 8].

#### 1.2.2. Histopathology of PAH

Albeit the clinical, hemodynamic, and prognostic aspects of different type of PAH belonging the Group I of PH are heterogeneous, the histopathological substrate is virtually indistinguishable and characterized by proliferative and obstructive lesions of pulmonary vascular structures defined as pulmonary hypertensive arteriopathy [9]. This is a pathological process that involves primarily the distal pulmonary arteries, in particular muscularized pre- and intra-acinar arteries (resistance vessels), but it can involve also venous and capillary vessels.

The arteriopathy induces structural alterations including tunica media hypertrophy, tunica intima thickening, tunica adventitia thickening, complex obstructive endoluminal lesions with reparative/proliferative characteristic [10].

The tunica media hypertrophy is responsible for the increase in the transversal section wall area of pre-acinar muscularized pulmonary arteries. All type of PAH are characterized by this process, its extension and severity can change for each condition. Hypertrophy and hyperplasia of both smooth

muscle and fibroblast cells contribute to 'neointima' formation. Interestingly, even the partially- and non-muscularized arterioles can origin neo-muscularized layer. Rarely, this is the only vascular lesion present, and the histological condition is defined 'isolated hypertrophy of tunica media', however most frequently it is associated to thickening and fibrosis of tunica intima.

In addition, all type of PAH are characterized by the thickening of tunica intima, due to an increase of proliferative capacities of cells that lead to a reduction of lumen until occlusion of vessel. The ultrastructural and immunohistochemical analyses in several reports have revealed that the intimal cells have typical characteristic of fibroblasts, myofibroblasts and smooth muscle cells. The increase in intima can be concentric laminar, eccentric of non-laminar concentric. All this type of thickening can be generate occlusion of the arteriole.

The thickening of tunica adventitia is frequent in all types of PAH and contribute to a reduction in elasticity of vessel wall. This phenomenon is caused by the expansion of perivascular connective tissue and it stimulated by growth factors which are activated by serum elastases produced in smooth muscle cells (SMCs) of fibrocellular tissue.

The complex endoluminal lesions are the structural alteration of vessels. They are distinguished in reparative and proliferative lesion and included the plexiform lesions, angiomatoid lesions, and necrotizing arteritis.

The plexiform lesions are morphologically comparable to renal glomeruli, they are constituted by a plexus of capillaries and frequently they are associated to thrombi. They are characterized by highly proliferative endothelial cells (including monoclonal proliferation) [11] surrounded by myofibroblasts, SMCs and connective tissue. Although the plexiform lesion can occur at various sites within the lung one distinctive presentation is within an aneurysmal dilatation of a small arterial branch close to its origin from the parent vessel. The branch containing the plexiform lesion has been called a supernumerary artery and characteristically originates at right angles to the parent vessel. When plexiform lesions occur in a supernumerary artery, concentric laminar intimal fibrosis is consistently found close to its origin. Moreover, distal to the plexiform lesion is a constellation of thin vessels dilated and tortoises (varicose lesions) which make-up the so-called 'dilatation' or 'angiomatoid' lesions [12].

The necrotizing arteritis is generally associated to severe PAH. It is characterized by segmental fibrinoid necrosis of muscular pulmonary arterioles and inflammatory cells infiltration.

The incidence of plexiform lesions varies from 20 to 90% of PAH patients. Although the lesion may be detected in low numbers (0.1 to 1 1 lesions/cm<sup>2</sup> or 5% of the pulmonary arteries), the plexogenic form bears a poor prognosis. their presence denote a severe obstructive vasculopathy. The pathogenesis of this type of lesions in controversial, in fact it not clear if they represent a sort of

unspecific cellular proliferation or an attempt of compensatory neovascularization in response to an hypoxic condition or local ischemia.

Thrombotic lesions in situ and parietal thrombosis are additional histological hallmarks of pulmonary hypertensive arteriopathy. The thrombotic lesions involve prevalently small arteries and pulmonary venules. The parietal thrombosis involve elastic type of pulmonary arteries, usually it is a secondary condition to several factors including vascular dilatation, presence of intimal atherosclerotic lesions, and plasmatic prothrombotic factors, as well as perivascular inflammatory infiltrate composed principally by macrophages and lymphocytes.

## 1.2.3. Pathobiology of PAH

The pathobiology of the distal pulmonary arteries in PAH patients is multifactorial and involves various biochemical pathways and cell types (Figure 1).

Excessive vasoconstriction has been related to abnormal function or expression of potassium

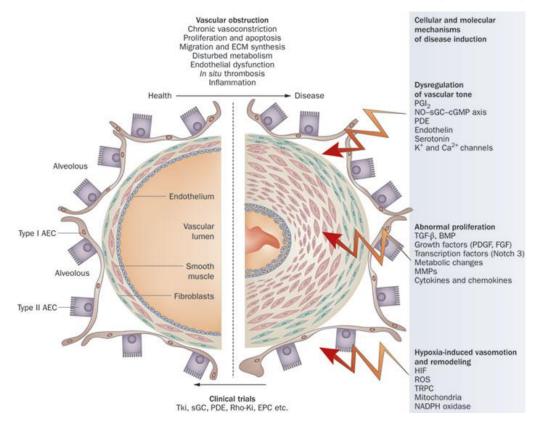


Figure 1. Pathobiology of PAH. Cellular and molecular mechanism of disease induction. Image from Schermuly RT et al., Nat Rev Cardiol. 2011

channels in the SMCs [13] and to endothelial dysfunction [14].

Endothelial dysfunction leads to chronically impaired production of vasodilator and antiproliferative agents such as nitric oxide and prostacyclin, along with over-expression of vasoconstrictor and proliferative substances such as thromboxane A2 and endothelin [14]. Many of these abnormalities both elevate vascular tone and promote vascular remodeling by proliferative changes that involve several cell types, including endothelial and as well as fibroblasts. In addition, in the adventitia, there is increased production of extracellular matrix including collagen, elastin, and fibronectin and of matrix-bound smooth muscle cell mitogens, such as basic fibroblast growth factor. Other matrix metalloproteases can stimulate the production of tenascin, a smooth muscle cell mitogenic cofactor. Several additional growth factors including vascular endothelial growth factor, platelet-derived growth factor, insulin-like growth factor-1, and epidermal growth factor have been implicated in the development of remodeling and all have been reported to be increased (the molecule and/or the specific receptors) in the lung and/or in the blood of PAH patients. Reduced plasma levels of other vasodilator and antiproliferative substances such as vasoactive intestinal peptide have also been demonstrated. Angiopoietin-1, an angiogenic factor essential for vascular lung development, seems to be up-regulated in cases of PAH correlating directly with the severity of the disease. Receptors of the bone morphogenetic protein pathway, involved in cellular proliferation and apoptosis, are down-regulated and/or malfunctioning in the lung vasculature of both heritable and acquired PAH. Inflammatory cells, cyto- and chemokines, and platelets (through the serotonin pathway) may also play a significant role in PAH. Prothrombotic abnormalities have been demonstrated in PAH patients and thrombi are present in both the small distal pulmonary arteries and in proximal elastic pulmonary arteries.

K+ channels are important in modulating both vessel tone and smooth muscle cell proliferation. The reduced expression and the malfunction of voltage-dependent k+ channels in IPAH patients [13] lead to an inhibition of transmembrane currents of K+ and therefore the depolarization of cellular membrane; this induces the activation of contractile apparatus and pulmonary artery vasoconstriction through enabling the increase in cytoplasmic calcium (Ca2+). Moreover, the intracellular accumulation of Ca2+ in cytosol induces smooth muscle cell proliferation. Remain to discover if the changes in the expression of K+ channels are caused by a genetic modification at origin of the disease–or if they occurred as a consequence of PAH. In this sense it has been demonstrated that some anorexiant drugs (dexfenfluramine and aminorex) are direct blockers of specific subtypes of K+ channels [15].

#### **Endothelial dysfunction**

Several studies have characterized the histological changes occurring in the endothelial cells of both large and small vessels in response to chronic PH [16]. In chronic hypoxic PH, increases in intimal thickness secondary to hypertrophy and hyperplasia is observed in both the endothelial and subendothelial layers.

The structural changes in pulmonary endothelial cells and their plasma membranes observed in PH are accompanied by alterations in the physiological and metabolic function of the cell. For instance, hypoxic exposure decreases the antithrombotic potential, increases the permeability, impairs normal regulation of vascular tone, promotes release of cytokines and growth factors, and interferes with a variety of plasma membrane–dependent receptor, metabolic, and transport functions of the endothelial cell, leading to endothelial dysfunction. Most of these alterations are cause of both the increase of vascular tone and vessel wall remodeling. Chronic PH is associated with changes in the production and release of potent vasoactive substances by the endothelium. Several vasoactive agents possess growth-regulatory properties, and pulmonary vascular remodeling could result from an imbalance of growth-inhibitory vasodilators and growth-promoting vasoconstrictors.

Changes in the local production of vasodilator substances in chronic pulmonary hypertensive states are well described. The prostacyclin is a potent pulmonary vasodilator, through cyclic AMP (cAMP) pathway activation it inhibits the smooth muscle cell proliferation and reduces the platelet aggregation. The nitric oxide is an additional potent pulmonary vasodilator, it exerts its action through cyclic GMP (cGMP) pathway. It well known that both prostacyclin and nitric oxide production is reduced in patient affected by PAH. Furthermore, recent studies have demonstrated little or no expression of nitric oxide (NO) synthase in the pulmonary vascular endothelium of patients with PH [17].

The endothelial cell is also capable of producing and releasing potent vasoconstrictors, such as endothelin-1 (ET-1) and thromboxane [18, 19].

ET is the most potent vasoconstrictor known [20]. Three isopeptides (ET-1, ET-2, and ET-3), encoded by different gene loci, act on two distinct G-protein-coupled receptors (ETA and ETB) with different affinities.

ET-1 expression and release is found increase in blood and lungs of animal models and in patients affected by PH [21-24]. suggesting that ET-1 is a major isotype of ET involve in the disease.

In addition to vasoconstrictive effects on vascular SMCs, ET stimulates proliferation of vascular SMCs [25, 26]. However, its potency as a smooth muscle mitogen is poor in the absence of other growth factors. ET-1 also stimulates pulmonary artery adventitial fibroblast proliferation and chemotaxis [27] and up-regulates fibroblast collagen synthesis [28] contributing to fibrosis.

Besides, alterated concentrations of non-endothelial derived factors with vasoactive function have been identified in blood of PAH patients. In particular, it has been observed an increase in serum level of serotonin (5-HT), and a decrease in level of vasoactive intestinal polypeptide (VIP) [29].

The 5-HT is produced by intestinal enterochromaffin cells and stored in platelets, it induces vasoconstriction and it stimulates pulmonary vascular smooth muscle cell proliferation, instead of the VIP is a neurotransmitter which induces systemic and pulmonary vasodilation through the activation of cGMP and cAMP systems; in addition, VIP inhibits SMC proliferation and platelet aggregation.

#### Vascular remodeling

Albeit the pulmonary vasoconstriction is a primary condition in pulmonary hypertensive vasculopathy development, the vascular remodeling is currently considered a key element in the pathogenesis of PAH. In fact, many factor related to vascular tone modulation are strictly involved in other processes, as well as proliferation, inflammation and thrombosis [30].

The vascular remodeling involves changes in all three levels of the vessel wall: the adventitia, media, and intima (luminal side). Thus, at the cellular level, the process involves all type of vessel cell: the fibroblasts, smooth muscle cells, and endothelial cells [4, 15]. The intermediate cell and the pericytes (present in the small, partially muscularized and unmuscularized vessels, respectively) are also prominent in the remodeling process; actually, they can be stimulated to differentiate and proliferate under various normal and abnormal conditions. The principal histopathological hallmarks of vascular remodeling are medial smooth muscle hypertrophy, distal smooth muscle proliferation with neomuscularization of small pulmonary vessels, and mild intimal changes.

Longitudinal bundles of SMCs have been described in all three layers of the vessel [31].

Several studies in patient with severe PAH have also disclosed significant adventitial changes with deposition of collagen and extracellular matrix, marked intimal proliferation, unique endothelial cell changes, and plexogenic lesions [9, 11, 32].

At biomolecular level it is note that many growth factors are involved in vascular remodeling.

An increased expression of vascular endothelial growth factor (VEGF), platelet derived growth factor (PDGF), fibroblast growth factor beta (bFGF), insulin-like growth factor 1 (IGF-1) and epidermal growth factor (EGF), angiopoietin-1, an angiogenic factor essential for the development of pulmonary vascular system, have been found in tissues of PAH patients [15, 33].

#### Inflammatory process

The concept that immunological reactions in PH play a significant role in the development and worsening of the disease is now well accepted. Autoimmune infiltration of immune cells and inflammatory reactions have been shown to contribute to the pathogenesis of IPAH [34].

Inflammatory processes are prominent in IPAH, but also in PAH related to more classical forms of inflammatory syndromes, such as connective tissue diseases, HIV infection, or other viral etiologies [35]. Indeed, a clinical improvement after immunosuppressive therapy is obtained in patients with PAH

associated to systemic inflammatory diseases, as well as tissue connective diseases, in particular SSc-PAH and lupus erythematous [34]. Similarly, inflammation seems to play a significant role in experimental animal models of PH.

Inflammation is an adaptive response that is triggered by deleterious stimuli and conditions, such as infection and tissue injury [36]. It is characterized by the sequential release of cytokines,

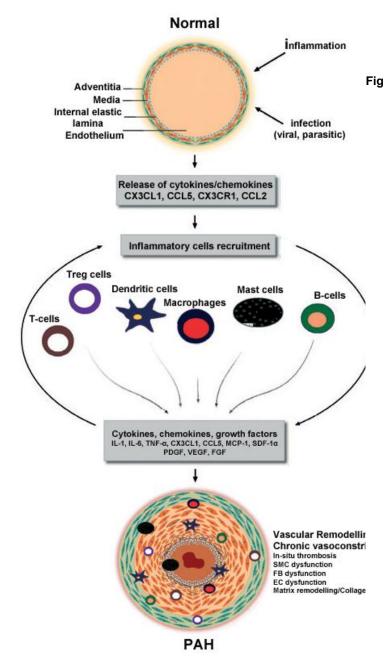
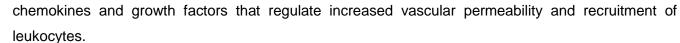


Figure 2. Schematic illustration of infectionmediated and inflammation-mediated vascular remodelling: In response to infection and inflammatory events, lung vascular cells produce inflammatory mediators (chemokines and cytokines), thereby recruiting the inflammatory cells (macrophages, dendritic cells, cells, B-cells, T-cells and mast regulatory T-cells). With the coordination of inflammatory mediators, inflammatory cells may perpetuate the release of cytokines, chemokines and growth factors. Finally, these processes lead to vascular remodeling though matrix remodelling, collagen deposition, vascular cell proliferation, migration, thrombosis. and in situ CCL2, chemokine (C-C motif) ligand 2; CCL5, chemokine (C-C motif) ligand 5 or RANTES (regulated upon activation, normal T-cell expressed and secreted); CX3CL1, chemokine (C-X3-C motif) (fractalkine); ligand 1 CX3CR1. chemokine (C-X3-C motif) receptor 1; EC, endothelial cells; FB, fibroblasts; FGF, fibroblast growth factor; IL-1, interleukin-1; IL-6, interleukin 6; MCP-1, monocyte chemotactic protein-1; PDGF, plateletderived growth factor; PAH, pulmonary arterial hypertension; SDF-1a, stromal cell-derived factor 1a; SMC, smooth muscle cells; TNF-a, tumour necrosis factor-a; Treg cell, regulatory T-cell; VEGF, vascular endothelial growth factor. Image from Pullamsetti SS et al. Clinical Microbiology and Infection, 2011.



Increased vascular permeability also results in extravasation of plasma proteins, which further amplify the inflammatory reaction. Inflammation is an adaptive response for restoring homeostasis, however, when it persists (chronic inflammation), it can cause tissue damage and loss of function. Chronic inflammation may occur because of the persistence of infection or antigen, recurring tissue injury, or a failure of endogenous anti-inflammatory mechanisms [36].

In the PAH context (Figure 2), the inflammatory and immune components of structurally altered vessels includes circulating monocytes, neutrophils, dendritic cells, macrophages and lymphocytes, as well as fibroblasts, resident endothelial cells and smooth muscle cells. Presumably, circulating cells are directed to the sites of injury, adhere to or come close to endothelial cells, invade the internal elastic lamina, and release a variety of inflammatory mediators.

These substances that act on the local environment and promote chemotaxis, can be derived also from plasma proteins or secreted by resident cells of the vasculature. They can be vasoactive amines, vasoactive peptides, fragments of complement components, lipid mediators, cytokines, chemokines or proteolytic enzymes [37, 38]. Chemotactic cytokines play a role in leukocyte recruitment and trafficking in PH, such as rolling, activation, adhesion and extravasation into the inflamed tissue along a chemoattractant gradient involving chemokines (soluble, secreted basic proteins).

Released cytokines and growth factors produce the effects of inflammation by mediating communication between and among circulating and resident vascular cells. Finally, all of these interactions result in vascular remodelling through matrix remodelling, collagen deposition, proliferation and migration of all vascular cell types [38].

Patients with idiopathic or associated PAH exhibit higher circulating levels and pulmonary expression of IL-1b, IL-6 and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) than healthy controls [39].

#### Thrombosis and platelet dysfunction

Two additional processes involved in the pathogenesis of pulmonary hypertensive vasculopahty are thrombosis and platelet dysfunction. Thrombolysis and thrombotic lesions in pulmonary microcirculation and in elastic pulmonary arteries have been found in PAH patients [4]. It is evident that the presence of alterated coagulation process, platelet and endothelial dysfunctions can favor the development and the progression of thrombosis in situ.

High serum level of D-dimer (degradation product of fibrin), fibrinopeptide A (thrombin activity indicator) [40], and an increase in urinary excretion of thromboxane A2 metabolites (platelet activation index) [41] are altogether elements indicating thrombotic diathesis.

The platelet dysfunction role in PAH is not limited to altered coagulation process. Actually, in response to specific stimuli platelet are able to produce prothrombotic, vasoactive and mitogen factors as thromboxane A2, PDGF, 5-HT, TGF- $\beta$  and VEGF, which contribute to vascular remodeling.

Furthermore, the presence of endothelial damage serum markers, as Von Willebrand factor and Plasminogen activator inhibitor-1 [42], leads to hypothesized that pulmonary endothelial damage induces thrombogenic surface and favors thrombotic lesion formation in situ.

The mural thrombi in central elastic pulmonary arteries can be a consequence of several factors including thrombophilic state, intimal atherosclerotic lesions, vascular dilation and reduced cardiac output. In addition, the peripheral embolization of proximal thrombi can lead to a progression of obstructive lesions in small caliber vessels.

#### 1.2.4. Genetic

Familial cases have long been recognized, and in 2000, bone morphogenetic protein receptor type 2 (BMPR2) was identified following linkage analysis [43-45] as the gene responsible for more than 70% of Heritable PAH (HPAH) and approximately 20% of IPAH cases [46-49]. Crude indirect estimates of the population carrier frequency for BMPR2 mutations lie in the frequency range of 0.001% to 0.01% [50].

Two further receptor members of the TGF-beta cell signaling superfamily are also recognized as uncommon causes of HPAH. Heterozygous mutations in activin-like kinase-type 1 (ALK1) [51] and endoglin (ENG) [52] cause hereditary hemorrhagic telangiectasia (HHT) and may rarely lead directly to the development of PAH.

HPAH is inherited as an autosomal dominant trait with incomplete penetrance and an estimated lifetime risk of 10% to 20% [53]. The disease is more frequent in women, with a ratio of at least 1.7:1 women to men [5, 54, 55]. Both incomplete penetrance and the significantly skewed gender ratio suggest interactions between BMPR2 disease mutations and environmental exposures that may include hormones, together with a role for modifying genes.

HPAH and IPAH have a similar clinical course. HPAH is associated with a slightly younger age of onset and a slightly more severe hemodynamic impairment at diagnosis, but with similar life expectancy [56]. Patients with PAH and disease-causing BMPR2 mutations are, however, less likely to respond to acute vasodilator testing during RHC and are unlikely to benefit from treatment with calcium channel blockade [56-58].

Families with BMPR2 mutations have been reported to have genetic anticipation, or earlier age of diagnosis in subsequent generations [55]. However, no systematic population-based study has been performed to avoid the ascertainment bias that could result in the recruitment and study of families associated with earlier-onset disease in more recent generations. Furthermore, the usual genetic mechanisms for anticipation, including trinucleotide repeat expansions, are not present in BMPR2. The question of genetic anticipation can be better addressed in future registries in which all

patients with HPAH and IPAH can be genetically characterized and unbiased family studies can be performed.

#### 1.2.5. Diagnosis and Clinical presentation of PAH

The evaluation process of a patient with suspected PH requires a series of investigations intended to confirm the diagnosis, clarify the clinical group of PH and the specific etiology within the PAH group, and evaluate the functional and hemodynamic impairment. Since PAH, and particularly IPAH, is a diagnosis of exclusion, the experts created a diagnostic algorithm, in order to have an helpful starting point in any case of suspected PH [3]. Many techniques are available for physicians to assess PAH: Electrocardiogram, Chest radiograph, Pulmonary function tests and arterial blood gases, Echocardiography, Ventilation/perfusion lung scan, High-resolution computed tomography, contrast-enhanced computed tomography, and pulmonary angiography, Cardiac magnetic resonance imaging, Blood tests and immunology, Abdominal ultrasound scan, RHC and vasoreactivity test.

Above all, RHC is required to confirm the diagnosis of PAH, to assess the severity of the hemodynamic impairment, and to test the vasoreactivity of the pulmonary circulation. It is really important that it is performed in an experienced center, actually only in this case RHC procedures have low morbidity (1.1%) and mortality (0.055%) rates [59].

The clinical presentation is similar in idiopathic and associated type of PAH. Symptoms of PH do not usually occur until the condition has progressed.

They are mild, nonspecific often associated to other comorbidities, or only present during demanding exercise. For these reasons, individuals with PAH may go years without a diagnosis. Actually, in the most of registers the delay of patient diagnosis is about 2 years [5, 54]. The first symptom of PH is usually shortness of breath (or dyspnea) with everyday activities, such as climbing stairs. Symptoms at rest are reported only in very advanced cases. The most likely cause for dyspnea in PH is the inadequacy of cardiac output compared to the metabolic requirements. Along with dyspnea, patients may have fatigue, weakness, syncope, and abdominal distention. In addition, due to pulmonary artery stretching or right ventricular ischemia patients could have angina despite normal coronary arteries. Besides, the rupture of distended pulmonary vessels can cause hemoptysis which is a rare but potentially devastating event.

Abnormalities detected on physical examination tend to be localized in the cardiovascular system. A careful examination often allow to detect signs of PH and right ventricular hypertrophy.

The findings on lung examination are nonspecific but may point to the underlying cause of PH. For instance, wheezing may lead to a diagnosis of chronic obstructive pulmonary disease (COPD), and basilar crackles may indicate the presence of interstitial lung disease.

#### 1.2.6. Pathophysiology of PAH

The increase of pulmonary vascular resistance in PAH patients is therefore related to different mechanisms, including vasoconstriction (functional alterations), proliferative and obstructive remodeling of the pulmonary vessel wall, inflammation, and thrombosis (overall fixed alterations).

Vasoconstriction is likely prevalent in the small group of patients responding to the acute vasoreactivity test [3]. Only about 10% of patients with IPAH will meet these criteria. These patients, defined 'responder', are most likely to show a sustained response to long-term treatment with high doses of calcium channel blockers [60] and they are the only patients that can safely be treated with this type of therapy.

The increase in pulmonary vascular resistance leads to right ventricular overload, hypertrophy, and dilatation and eventually to right ventricle failure and death. The importance of the progression of right ventricle failure on the symptoms, exercise limitation, and outcome of PAH patients is confirmed by the prognostic impact of right atrial pressure, cardiac index and PAP, the three main hemodynamic factors linked to right ventricle pump function. Echocardiography and cardiac magnetic resonance parameters and brain natriuretic peptide plasma levels can also identify non-invasively the presence and extent of right ventricular dysfunction. Afterload mismatch remains the leading determinant of right heart failure in patients with PAH because its removal, as follows lung transplantation, leads almost invariably to sustained recovery of right ventricle function. It is, therefore, conceivable that the drug therapies tested in PAH patients have included compounds which could potentially interfere with the pathobiological mechanisms of the disease trying to achieve a reverse remodeling of the obstructive lesions and a reduction of the right ventricular afterload.

#### 1.2.7. Current available therapies

Two decades ago, patients with idiopathic PAH were defined as the 'kingdom of the near-dead' [61] to outline their dismal median survival rate that, at that time, was 2.8 years from the diagnosis [62], despite any available supportive treatment.

Current specific drug therapies include those targeting the pathobiological abnormalities of PAH such as prostanoids, endothelin receptor antagonists (ERA) and phosphodyesterase type-5 (PDE-5) inhibitors (Figure 3).

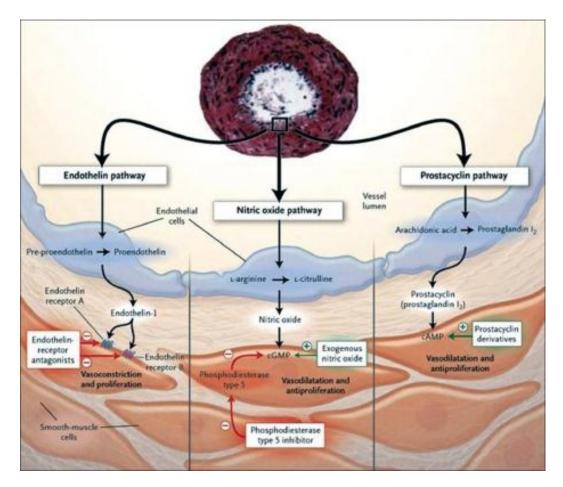


Figure 3. Targeted medical therapy for pulmonary arterial hypertension based on the prostacyclin pathway, the nitric oxide pathway and the endothelin pathway. Image from Humbert M. et al., N Engl J Med 2004.

#### **Prostanoids**

Dysregulation of the prostacyclin metabolic pathways has been shown in patients with PAH as assessed by reduction of prostacyclin synthase expression in the pulmonary arteries and of prostacyclin urinary metabolites [63].

Epoprostenol (synthetic prostacyclin) is available as a stable freeze-dried preparation that needs to be dissolved to allow intravenous infusion. It has a short half-life (3–5 min) and it is stable at room temperature for only 8 hours; then it must be administered continuously with infusion pumps and permanent tunnellized catheters. The efficacy of continuous intravenous administration of epoprostenol has been tested in three unblinded clinical trials in IPAH [64, 65] and in SSc-PAH patients [66]. Epoprostenol improves symptoms, exercise capacity and hemodynamics in both clinical conditions, and is the only treatment shown to improve survival in IPAH in a randomized study.

Optimal dose varies between individual patients, ranging from 20 to 40 ng/kg/min [67, 68]. Serious adverse events related to the delivery system include pump malfunction, local site infection, catheter obstruction and sepsis. Abrupt interruption of the epoprostenol infusion should be avoided because, as observed in some patients, it may induce a rebound worsening of their PH with symptomatic deterioration and even death.

Treprostinil is a tricyclic benzidine analogue of epoprostenol, with sufficient chemical stability at room temperature, it can be administered intravenously or subcutaneously. The effects of treprostinil in PAH were studied in the largest worldwide randomized controlled trial in which it has been performed subcutaneous administration by micro-infusion pumps and small subcutaneous catheters. This trial showed improvements in exercise capacity, hemodynamics and symptoms [69]. The greatest exercise improvement was observed in patients who were more compromised at baseline and in subjects who could tolerate upper quartile doses (>13.8 ng/kg/min). Infusion site pain was the most common adverse effect of treprostinil, leading to discontinuation of the treatment in 8% of cases on active drug and limiting dose increase in an additional proportion of patients. Among the 15% of patients who continued to receive subcutaneous treprostinil alone, survival appears to be improved [69].

In another long-term, open-label study, sustained improvement in exercise capacity and symptoms with subcutaneous treprostinil was reported in patients with IPAH or CTEPH, with a mean follow-up of 26 months [70]. Treprostinil has been recently approved in the USA for intravenous use in patients with PAH: the effects appear to be comparable with those of epoprostenol but at a dose 2 to 3 times higher. It is more convenient for the patient because the reservoir can be changed every 48 hours as compared to 12 hours with epoprostenol.

lloprost is a chemically stable prostacyclin analogue available for intravenous, oral and aerosol administration. Inhaled therapy for PAH is an attractive concept that has the theoretical advantage of being selective for the pulmonary circulation. Iloprost has been evaluated in one research clinical trial in which daily repetitive drug inhalations (6 to 9 times, 2.5–5 µg/inhalation, median 30 µg daily) were compared with placebo inhalation in patients with PAH and CTEPH [71]. The study showed an increase in exercise capacity and improvement in symptoms, PVR and clinical events in enrolled patients. A second research clinical trial on 60 patients already treated with bosentan increased in exercise capacity in the subjects randomized to the addition of inhaled iloprost, compared with placebo. Overall, inhaled iloprost was well tolerated. Continuous intravenous administration of iloprost appears to be as effective as epoprostenol in a small series of patients with PAH and CTEPH [72].

#### **Endothelin Receptor Antagonists**

Activation of the ET-1 system has been demonstrated in both plasma and lung tissues of PAH patients [22] Although it is not clear if the increased ET-1 plasma levels are a cause or a consequence of PH [23], studies on tissue ET-1 expression confirm its prominent role in the pathogenesis of PAH [21].

Bosentan is a first-in-class of oral active dual ETA and ETB receptor antagonists. It has been evaluated in PAH in five research clinical trials that have shown improvement in exercise capacity, functional class, hemodynamics, echocardiographic and Doppler variables, and time to clinical worsening [73-76].

Long-term observational studies have demonstrated the durability of the effect of bosentan over time. Increases in hepatic aminotransferases occurred in 10% of the subjects but were found to be dose dependent and reversible after dose reduction or discontinuation. For these reasons liver function tests should be performed at least monthly in patients receiving bosentan. Sitaxsentan, a selective orally active ETA receptor antagonist, has been assessed in two research clinical trials in patients with IPAH, SSc-PAH and congenital heart diseases [77]. The studies demonstrated improvements in exercise capacity and hemodynamics. A one-year, open-label observational study demonstrated the durability of the effects of sitaxsentan over time [78]. Incidence of abnormal liver function tests, which reversed in all cases, was 3%-5% for the approved dose of 100 mg (monthly monitoring is required). Interaction with warfarin requires the reduction of the anticoagulant dose by about 80% to stabilize the international normalized ratio.

Ambrisentan, a non-sulfonamide, propanoic acid-class ERA selective for the ETA receptor, has been in evaluated in a pilot study [79] and in two large research clinical trials that demonstrated efficacy on symptoms, exercise capacity, hemodynamics and time to clinical worsening The openlabel continuation study has demonstrated the durability of the effects of ambrisentan for at least one year [80]. Ambrisentan has been approved for the treatment of WHO/NYHA functional class II patients. The current approved is 5 mg once daily (OD), which can be increased to 10 mg OD if the drug is tolerated with the initial dose. Incidence of abnormal liver function tests ranges from 0.8% to 3%. However even in patients treated with ambrisentan, liver function tests are required at least monthly. Caution is suggested for the co-administration of ambrisentan with ketoconazole and cyclosporine.

#### **Phosphodiesterase Type-5 Inhibitors**

Sildenafil is an orally active, potent and selective inhibitor of PDE-5 that exerts its pharmacological effect by increasing the intracellular concentration of cGMP. A number of uncontrolled studies have reported favorable effects of sildenafil in IPAH, PAH associated to connective tissue diseases and to congenital heart diseases, and in CTEPH [81, 82]. A pivotal

research clinical trial in 278 PAH patients treated with sildenafil 20, 40, or 80 mg 3 times daily (TID) has confirmed favorable results on exercise capacity, symptoms and hemodynamics [83]. Although the approved dose is 20 mg TID, the durability of effect up to one year has been demonstrated only with the dose of 80 mg TID. In clinical practice, up-titration beyond 20 mg TID. (mainly 40 to 80 mg TID) is frequently needed. Most side effects of sildenafil were mild to moderate and mainly related to vasodilation. Tadalafil is an OD dosing, selective PDE-5 inhibitor, currently approved for the treatment of erectile dysfunction.

A pivotal research clinical trial on 406 PAH patients treated with tadalafil 5, 10, 20, or 40 mg OD has shown favorable results on exercise capacity, symptoms, hemodynamics and time to clinical worsening for the largest dose [84]. Side effects profile was similar to sildenafil.

#### **Combination Therapy**

Combination therapy is the simultaneous use of more than one PAH-targeted class of drugs, eg, ERA, PDE-5 inhibitors, prostanoids, and novel substances. Although long-term safety and efficacy have not yet been amply explored, numerous case series have suggested that various drug combinations appear to be safe and effective. Different randomized controlled studies have shown the efficacy of the combination of bosentan and epoprostenol [75], of the addition of inhaled iloprost to patients on background therapy with bosentan [85], of bosentan in patients on background therapy with sildenafil [74], of sildenafil in patients on background treatment with epoprostenol [86], of inhaled treprostinil in patients with background treatment with either bosentan or sildenafil and of tadalafil in patients on background treatment with bosentan [84]. Additional trials with novel compounds are ongoing. There are many open questions regarding combination therapy, including the optimal combination and timing. Candidates to combination therapy are patients whose status is defined as stable but unsatisfactory or unstable and deteriorating [3]. Given the complexities related to combination therapy, it is recommended that candidates be referred to expert centers.

#### 1.2.8. Lung transplantation

Transplantation has been performed in patients with IPAH and is considered by some to be the final effective treatment for selected patients with IPAH

Lung and heart–lung transplantation in PAH has been assessed only in prospective uncontrolled series, since formal research clinical trials are considered unethical in the absence of alternative treatment options [87]. The 3-year and 5-year survival after lung and heart–lung transplantation is approximately 55% and 45%, respectively [88]. Both single and bilateral lung transplantation have

been performed for IPAH and these operations have been combined with repair of cardiac defects in Eisenmenger's syndrome.

Recipient survival rates have been similar after single and bilateral lung transplantation and after heart–lung transplantation for PAH. However, many transplant centers currently prefer to perform bilateral lung transplantation. Lung and heart–lung transplantation are indicated in PAH patients with advanced WHO/NYHA class III and class IV symptoms that are refractory to available medical treatments. The appropriate timing of listing for transplantation is complicated by the unpredictable waiting period and the donor organ shortage.

#### 1.2.9. Animal models in PAH

Several animal models are currently available to study PH. The most commonly used animal models of PH are the chronic hypoxic and the monocrotaline injury models. Although they don't reflect completely the human disease, these animal models have been used for quite some time and have undoubtedly contributed to a better understanding of the pulmonary hypertensive process. The most commonly used animal models are rats and mice.

#### **Chronic hypoxia**

Normo- and hypobaric hypoxia are frequently utilized to induce PH in a wide variety of animal species. This model is very predictable and reproducible within a selected animal strain, however. responses are significantly affected by age, as younger individuals with rapidly maturing lungs are more susceptible to this trigger [89]. Structural changes in hypoxia-induced PH are very similar (albeit of differing magnitude) in almost all mammals investigated. An increase in cells expressing alpha-smooth muscle actin (alpha-SMA) into previously nonmuscularized arterioles rapidly occurs.

Many possibilities could account for these changes: the differentiation of pericytes, migration of smooth muscle cells (SMC), recruitment and differentiation of local fibroblasts, mononuclear cell/progenitor cell recruitment, and transdifferentiation of endothelial cells into mesenchymal-like cells through endothelial-mesenchymal transition process [89, 90]. Subsequently, due to medial SMC proliferation and hypertrophy there is increased thickening of the previously muscularized precapillary pulmonary arteries.

Furthermore, inflammation appears to play a significant role in the hypoxia-induced remodeling process in at least some strains of rats. Recently, it was reported that hypoxia induced an early and persistent pulmonary artery-specific vascular inflammatory response [91]. The increased expression of chemokine/ chemokine receptors preceded the appearance of inflammatory cells, which, in the case of hypoxia, are primarily mononuclear. In addition, there is significant thickening and fibrosis of the

large proximal pulmonary arteries, and these vessels have been documented to have significant stiffening [92]. After 2 weeks of hypoxia, rats develop moderate PH with a doubling of mean pulmonary artery pressure that seems to correlate with the progression of structural changes. RV hypertrophy occurs, but there is little evidence of RV failure. It should be noted that fawn-hooded (FH) rats develop more severe PH and remodeling than other strains, as for example Sprague-Dawley rats, with exposure to hypoxia and represent the most severe spectrum of hypoxia induced PH in rodents [93, 94]. In addition, pulmonary artery SMC of these rats show increased ET production, which may account for their heightened pressure and remodeling responses to hypoxia .

Even if causing an elevation in pulmonary artery pressure, chronic hypoxia in mice it seems to induce only minimal vascular remodeling, certainly less than the rat. The most common findings are muscularization of previously nonmuscularized vessels and a minimal medial thickening of muscular resistance vessels [92].

From a molecular point of view, definite differences between the response of the rat and mouse to hypoxia have also been shown. Microarray analysis of the lung tissue demonstrates distinct differences in gene expression induced by hypoxia between the species [95]. Chronic hypoxic exposure in the rat increased expression of genes involved in endothelial cell proliferation and decreased expression of those associated with apoptosis.

However, it should be acknowledged that recent studies demonstrate that the responses in hypoxia in mice are strain-specific and that these intra-species comparisons could vary significantly depending on strains compared [96].

In contrast, neonatal calves exposed to chronic hypobaric hypoxia, even at less severe conditions of hypoxia (12.5 vs. 10%), develop severe PH with pulmonary artery pressures equal to or exceeding systemic pressures and vascular remodeling that is far more striking in both distal and proximal pulmonary arteries than that observed in the rat or mouse [89]. In some animals there is significant intimal thickening, especially in proximal vessels, and in distal vessels there is remarkable thickening of the media and adventitia. Excessive proliferation of the vasa vasorum occurs in the adventitia of these animals, to the extent that they may even be confused with the plexiform lesions. In addition, there is marked accumulation of mononuclear cell infiltrates and mesenchymal progenitors [97]. Despite these severe inflammatory and fibrotic lesions, and in distinct contrast to the PAH described in humans, the disease is reversible with return to normoxic conditions, a finding that is also true for the hypoxic rat and mouse models. It should also be noted that people who develop significant PH at altitude, so-called Monge's disease, improve markedly when returned to sea level conditions [92].

The chronic hypoxic models of PH in rodents could be regarded as models for less severe PH (not PAH) and should be regarded as having relevance to human PH associated with hypoxia as it

occurs in pulmonary parenchymal disease, sleep disordered breathing, severe (COPD), and residence at high altitude.

#### Monocrotaline injury

Monocrotaline (MCT) is a toxic pyrrolizidine alkaloid present in the plant Crotalaria spectabilis. More than 40 years ago PH was first described after repeated oral ingestion in laboratory rats [92]. It is known that MCT is metabolized in the liver to the MCT pyrrole (MCTP), which leads to vascular injury. MCT models, particularly in rats, can now be achieved by injection with a single subcutaneous or intraperitoneal injection of MCT, making this a very simple and thus technically appealing animal model available to a wide spectrum of investigators. Unfortunately, the response to MCT is variable among species, strains, and even animals because of differences in the hepatic metabolism by cytochrome P-450. The preferred species for the study of monocrotaline-induced PH is currently the rat.

Although the exact mechanism through which MCT causes PH is not known, it is speculated by many that it causes direct endothelial damage that then triggers the inexorable development and progression of severe and eventually lethal PH [98]. This is based on observations showing that the onset of increased pulmonary arterial pressures and vascular remodeling is delayed until 1–2 weeks after the initiating dose [99]. Other investigators have suggested that the increases in pulmonary artery pressure and vascular remodeling are caused by early and often dramatic accumulation of mononuclear inflammatory cells in the adventitial sheath of the small intra-acinar vessels [100]. This change occurs in both the pulmonary arteries and veins and precedes the evidence of smooth muscle hypertrophy in the media. Thus adventitial inflammation, particularly macrophage accumulation, is suggested by some to have more important effects on the pathogenesis of PH than the endothelial cell [100, 101]. There is significant RV hypertrophy and RV dysfunction, which is important for study in PH models. Following high doses of MCT injection, RV systolic pressures reaching 80 mmHg after 5 weeks have been reported, which was associated with a low survival rate of 35% [98, 99].

#### 1.3. Smooth muscle cells

Smooth muscle cells (SMCs) are essential for good performance of the vasculature. By contraction and relaxation, they modify the luminal diameter, which allows blood vessels to maintain an appropriate blood pressure.

However, vascular SMCs also achieve other functions, which become progressively more important such as in pregnancy, during exercise, or after vascular injury [102]. In these cases, SMCs

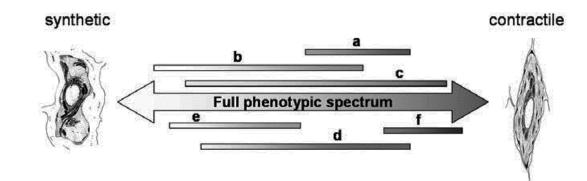


Figure 4. Whereas the SMCs in a vessel can collectively cover the whole spectrum of phenotypes, a given population of SMCs (indicated by 'a' to 'f', respectively) can only cover a limited area of this spectrum. The boundaries of the spectrum for any given SMC population are defined by (epi)genetic programs. SMCs can modulate their phenotype within the boundaries, a process which is controlled by the integration of environmental factors. Image from Rensen SSM et al Netherlands Heart Journal, 2007.

synthesize large amounts of extracellular matrix (ECM) components and increase proliferation and migration.

The functions that SMCs can exert reflect their different phenotypes, ranging from contractile to synthetic. These have clearly different morphologies. Contractile SMCs are elongated, spindle-shaped cells, whereas synthetic SMCs are less elongated and have a cobblestone morphology (Figure 4), which is referred to as epithelioid or rhomboid [103].

Synthetic SMCs contain a high number of organelles involved in protein synthesis, whereas these are largely replaced by contractile filaments in contractile SMCs. Moreover, synthetic and contractile SMCs have different proliferative and migratory characteristics. Generally, synthetic SMCs exhibit higher growth rates and higher migratory activity than contractile SMCs [103].

The variations between SMCs within a particular vessel, both in term of marker gene expression and functional and morphological characteristics, suggest that there may be a genetic basis for SMC diversity. After all, such SMCs share similar embryological origins and experience comparable local conditions. This concept is substantiated by several studies reporting the persistence of *in vivo* phenotypes in culture, despite changed conditions. For example, within the bovine pulmonary artery, four SMC phenotypes with distinct marker protein expression profiles and different morphologies have been described [104]. The existence of distinct SMC populations within the same artery has also been demonstrated in rat [105], pigs [106], and humans [107]. In all these studies, *in vivo* differences were maintained *in vitro*. Interestingly, the studies summarized that although SMC phenotype appears to be genetically programmed, local environmental cues can still modulate the characteristics of the SMCs. This raises the question of the relative importance of the local environment versus genetic programming, especially in pathobiological processes. SMCs isolated from the human internal thoracic artery form a particularly illustrative example [107]. These SMCs were cloned after enzymatic digestion, producing epithelioid as well as spindleshaped cell types. Both had a contractile gene expression profile, but only spindle-shaped cells expressed meta-vinculin. They also had higher SM-MHC and SM-calponin levels and a higher hcaldesmon/l-caldesmon ratio. Apart from these differences, the two types of clones differed with respect to proliferation rate, ECM build-up and responses to various growth factors and hormones. One typical spindle-shaped clone, designated HITB5, was able to adopt either a synthetic or a contractile phenotype, depending on serum concentrations [108]. This shows that even though SMC phenotypes can be stable in culture, they can also be manipulated to adopt a certain phenotype, allowing the study of agents that modulate phenotypes. SMC clones that are capable of reversible modulation to both ends of the phenotype spectrum have also been derived from porcine coronary artery SMCs [109]. These cells displayed phenotypic modulation after fibroblast growth factor (FGF)-2 or platelet-derived growth factor (PDGF)-BB treatment or withdrawal. PDGF-BB drove spindle-shaped SMC clones towards the rhomboid phenotype. Concomitantly, proliferation and migration increased and SM-MHC and smoothelin expression greatly diminished.

Many biocompounds have been reported to affect expression of SMC phenotype markers, some of which even have phenotype-dependent effects. These factors include PDGF (see the next paragraph), TGF- $\beta$ , activin A, retinoids, angiotensin II, and TNF- $\alpha$ . Besides these, compounds such as FGF, insulin-growth factor (IGF)-I and -II, endothelin-1, nitric oxide (NO), reactive oxygen species, peroxisome proliferator-activated receptor-gamma ligands and complement 3 protein have been shown to affect SMC phenotype.

## 1.4. Platelet-derived growth factor pathway

PDGF plays a critical role in cellular proliferation and development.

The biologically active form of PDGF is a dimer formed from the A and B chains. Interestingly, it is active to a differing degree depending on which dimer is formed (AA, AB, or BB).

The PDGF Receptor (PDGFR) is also a dimer and can form from the combination of the alpha and beta chains in any order (alpha-alpha, alpha-beta, beta-beta). The PDGFR dimer is only formed after ligand binding so the alpha/beta composition of the receptor can be influenced by the form of PDGF that is present. Upon binding of ligand the PDGFR is tyrosine phosphorylated (autophosphorylation) and leads to the phosphorylation of several other cellular proteins [110] including ras-dependent activation of p42/p44 mitogen–activated protein kinases (MAPKs) and activation of phosphoinositol 3 kinase (PI3 kinase). Both events are critical for the mitogenic effects of PDGF. It is well understood that sustained activation of signal transduction pathways, particularly p42/p44 MAPK and PI3 kinase is required for progression through G1 to S [90].

PDGF, in particular PDGF-BB, is synthesized by many different cell types including SMCs, ECs, and macrophages. Worthy to note, its receptor, PDGFR-β, is mainly expressed in SMCs. It has been proposed as a key mediator in the progression of several fibroproliferative disorders such as atherosclerosis, lung fibrosis, and PH [111].

In the context of PH it may contribute to pulmonary vascular remodeling via several mechanisms. At first, PDGF is a potent mitogen which induces the proliferation of PASMCs and fibroblasts. In addition, PDGF increases cell migration and extracellular matrix deposition by inducing the expression of metallomatrix proteases, particularly matrix metalloproteinase 1, 3, and 9. PDGF also potently inhibits apoptosis in vascular SMCs through the phosphatidylinositol 3 kinase (or PI3K)/Akt pathway [112].

Besides, hypoxia is known [113] to induce PDGF gene expression in cultured vascular endothelial cells derived from bovine pulmonary arteries and human umbilical vein. In accordance, rats that developed PH following long-term exposure to hypoxia demonstrate increased expression of PDGF-A and PDGF-B isoforms [114]. Moreover, increased expression of PDGF-A, PDGF-B, and PDGF receptors (PDGF-R  $\alpha$ , and PDGF-R  $\beta$ ) have been assessed by reverse transcription-polymerase chain reaction (PCR), performed on laser-captured microdissected pulmonary arteries from native lungs of patients with severe IPAH who underwent lung transplantation [115] as compared with control subjects.

Recently, novel therapeutic agents, such as tyrosine kinase inhibitors, have been tested in experimental models of PH [116] and in clinical trials.

Within this class of drugs imatinib, a tyrosine kinase inhibitor licensed for the treatment of chronic myeloid leukemia, has been investigated [116-118] as a possible therapy for PAH. In fact, it showed a significant inhibition of PDGF-BB–induced proliferation and migration of PASMCs [51]. Imatinib acts by blocking the functioning of PDGF receptors as well as other kinases by targeting the adenosine triphosphate binding site of tyrosine kinases. Schermuly R.T. et al [116] used the MCT rat and a mouse hypoxic models to demonstrate that animals treated with imatinib had significantly reduced pulmonary artery pressures, higher cardiac index, increased arterial oxygenation, and improved survival when compared to sham-treated animals. The inhibition of PASMC proliferation was observed in the imatinib-treated groups. A single clinical case study [118] in a patient with familial PAH showed dramatic improvements after imatinib therapy in 6 min-walk distance (6MWD) as well as in a reduction in pulmonary vascular resistance. Two further successful cases [117] of longer term treatment have since been reported.

A phase II proof-of-concept study found that once daily imatinib in patients on established therapies did not significantly improve 6MWD but did result in a significant reduction in PVR and an increase in CO; post-hoc analysis suggested a greater treatment effect in those patients with more

severe hemodynamic compromise [119]. Imatinib is not without potentially serious side effects, but these initial reports suggest that the drug may be beneficial for patients with advanced PAH who are not responding to established therapies.

### 1.5. Histone deacetylase enzymes

All reactions within the chromatin substrate including transcription, replication, recombination, and repair, must be initiated and regulated by DNA-binding factors. The interaction of these factors with their target DNA requires chromatin to be partially relaxed. Actually, the packaging of eukaryotic DNA into chromatin poses a fundamental accessibility problem. In this context, many studies have established that such chromatin flexibility is achieved by two principal molecular mechanisms. First, ATP-dependent chromatin remodeling factors alter histone–DNA interactions such that nucleosomal DNA becomes more accessible to interacting proteins [120, 121]. Second, the amino-terminal tails of the core histone proteins are subjected to a variety of covalent post-translational modifications including acetylation, phosphorylation, methylation, ubiquitination, and ADP-ribosylation [122-124]. These modifications play essential roles in generating the dynamic state of chromatin.

Thus far, the most extensively studied post-translational histone modification is the Acetylation, a process linked mainly to transcriptional activation. It is catalyzed by a group of enzymes known as histone acetyltransferases, which transfer an acetyl group from the acetyl coenzyme A, metabolic intermediary, to the amino group of lysine residues in histone tails [125].

It is generally accepted that the primary effect of acetylation is to partially neutralize the positive charge of histones, resulting in a decrease in their affinity for negative charges of DNA and thereby generating a permissive structure for the binding of proteins to the nucleic acid. Additionally, acetylated histone tails can recruit other chromatin-associated proteins.

The functional importance of acetylation lies in its highly reversible nature that depends on the accuracy and efficiency of the reverse reaction, histone deacetylation, which is catalyzed by a group of enzymes known as histone deacetylases (HDACs).

HDAC family consists of at least 18 members which can be divided phylogenetically into four classes.

Class I shows homology to the yeast protein reduced potassium deficiency 3, includes: HDAC1, 2, 3 and 8.

HDACs of Class II are homologous to the yeast enzyme HDA1, they are further grouped into two subclasses Class IIa and Class IIb according to their sequence homology and domain organization.

Class IIa includes HDAC4, 5, 7, 9; and Class IIb includes HDAC6 and 10.

Class III show distinct homology with the yeast enzyme Sir2, includes: sirtuin (SIRT) 1 to 7.

It was reported that SIRT1, 2, 3, 5 and 6 induce deacetylation of histones or non-histone proteins, whereas SIRT4 and 7 do not possess *in vitro* deacetylase activity [126].

Class IV: HDAC11 is the most recently identified member.

Furthermore, they can be divided into two structural categories: zinc-dependent enzymes (Class I, Class II and Class IV) and nicotinamide adenine dinucleotide (NAD+)-dependent enzymes (Class III).

These isoforms have generally distinct gene expression patterns and likely vary also in cellular localization and function, though these have been poorly characterized. In term of cellular localization, HDAC1, 2 and 3 are primarily nuclear, HDAC6 is primarily cytoplasmic and HDAC4, 5, 7 and 9 are believed to shuttle between the nucleus and cytoplasm [127, 128]. The cellular localization of HDAC8 has not been resolved since it has been reported as being both nuclear and cytoplasmic [129, 130].

Most HDACs do not contain intrinsic DNA-binding activities; other cellular factors are required for their proper recruitment to specific locations in the genome. In addition to histones, many HDACs can deacetylate non-histone proteins *in vitro* and *in vivo*, this suggest a role of these enzymes not only in nucleus but also in the cytoplasm.

Concerning the tissue distribution of HDACs, it is well known that Class I HDACs 1, 2 and 3 are ubiquitously expressed and are almost exclusively found in the nuclei of normal cells. Weak to moderate nuclear protein expressions has been reported in fibroblasts and myofibroblasts. SMCs of either organ or vessels walls express the proteins as well [131, 132]. In addition, endothelial cells were positive to a variable degree. Inflammatory cells, especially lymphocytes and macrophages, occasionally expressed HDAC1, 2 and 3 [131, 132]. In contrast, expression of class II HDAC8 was found to be restricted to cells with smooth muscle/myoepithelial differentiation [133] and consequently has been suggested as a diagnostic marker for uterine tumors with smooth muscle differentiation [129]. Expression of class II HDAC6 was not observed in lymphocytes, stromal cells and vascular endothelial cells [134, 135].

#### 1.6. Histone deacetylase inhibitors

As describe in the previous paragraph, the lysine acetylation is deeply implicated in the control of highly regulated biological functions (including cell cycle control, differentiation, and apoptosis), and its balance on histones and non-histone proteins is regulated by specific enzymes, histone acetyl transferases and HDACs. It is well-known that alteration of the acetylation status is involved in the development of various cancerous [136, 137] and non-cancerous diseases, including PAH [138].

Over the last 30 years, numerous synthetic and natural products, including a broad range of dietary compounds, have been identified as HDAC modulators.

These molecules, HDAC inhibitors (HDACi), have shown antineoplastic activity *in vitro* and in animal models *in vivo* [139]. Indeed, they have been tested as therapeutic agents for the treatment of certain forms of cancer [140-142] and a broad variety of these substances are currently tested in clinical trials of all phases [143]. Identification of cellular complexes containing protein phosphatases (PP) and HDACs suggested a potential new mechanism by which both enzymatic activities may be coordinated in the covalent modification of proteins that regulate cell growth and function.

Brush et al. [144] demonstrated that the anti-neoplastic activity of HDACi may in part be associated with the disassembly of HDAC/phosphatase complexes and the resulting changes in protein acetylation and phosphorylation may inhibit cell growth and transformation.

Most of these molecules have a binding site for catalytic zinc, a tail (linker) mimicking the side chain of lysine and a "cap" for obstructing the entrance to the active site. These inhibitors can be classified according to their structure into five categories: short-chain fatty acids, hydroxamates, cyclic peptides, benzamides and depsipeptide [145, 146]. Nevertheless, many molecules with a potential inhibitory activity against HDAC but with a chemical structure different than the first five have been identified. A significant number of these molecules were isolated from natural sources [147].

Within the chemical class of short-chain fatty acids, sodium butyrate is present in the gastrointestinal tract as a consequence of microbial fermentation of dietary fiber. It has been shown that butyrate inhibits HDAC classes I, IIa and IV [148]. This compound leads to growth arrest, differentiation of leukemic cells and induces apoptosis following the deterioration of the anti-apoptotic protein Bcl-2 [149, 150]. The apparent lack of clinical efficacy may be explained by the low plasma levels of sodium butyrate due to its short half-life *in vivo* [151].

### 1.7. Peripheral blood mononuclear cells

Peripheral blood mononuclear cells (PBMCs) consist of circulating mononuclear cells, including monocytes, lymphocytes and macrophages. The lymphocyte population consists of T cells (CD4 and CD8 positive ~75%), B cells and natural killer cells (~25% combined). These blood cells are a critical component in the immune system to fight infection and adapt to intruders.

Besides, PMBCs play a critical role in inflammatory pathways leading to different pathological conditions and they have emerged in recent years as valuable tool to find markers of several diseases, including inflammatory (e.g. preeclampsia, rheumatoid arthritis, and chronic pancreatitis), malignant (chronic lymphocytic leukemia, renal cell carcinoma, pancreatic cancer) [152-156], and cardiovascular diseases [157].

The clinical accessibility of PBMCs is a very important aspect for PAH studies. Indeed, due to high risks lung biopsies are not routinely performed in PAH patients. Moreover, even if explanted

lungs are available, they don't allow to study early development of the disease. In this context, this cell population could be an interesting surrogate tissue to find new molecular targets or markers involved in PAH.

# 2. Aim of the study

## 2.1. In vitro animal studies

Due to their antiproliferative and antimigratory effects, HDACi have also been largely studied in cancer and in atherosclerotic diseases, while their specific action in PAH has long remained unexplored. Among HDACi, sodium butyrate (BU) is widely reported to elicit many cytoprotective, chemopreventive and chemotherapeutic activities mainly through the arrest of cell proliferation, induction of apoptosis or stimulation of cell differentiation by selectively altering gene expression. In the meantime this research was ongoing, the application of HDACi in PH has been published for the first time by Cavasin et al. [166]. Very recently, Zhao et al reported that protein levels of HDAC1 and 5 were elevated in patients with idiopathic PAH, and that HDACi were able to mitigate the development of hypoxia induced PH in rats and exerted anti-proliferative effects on human and animal vascular cells in culture [158]. Nevertheless, the intimate molecular mechanisms underlying the HDACi action on PAH-PASMCs proliferation and signaling remain to be largely unraveled. Based on this lack of knowledge, this study was designed to explore whether BU may modulate the proliferative action of PDGF-BB in PASMCs isolated from rats with monocrotaline (MCT)-induced PAH.

### 2.2. In vivo animal studies

In addition to studies *in vitro* prompting to highlight whether BU counteracted the stimulatory role of PDGF-BB on proliferation and migration of PASMCs, the aim of this research is to evaluate the effect of HDACi *in vivo*. To this end, a rat model of PAH induced by MCT has been optimized.

The observation time for rat model of PAH is usually 4 or 5 weeks after induction of with MCT. In this study 2 weeks-treatment with BU was tested starting 3 weeks after MCT injection and the sacrifice have been performed at 5<sup>th</sup> week.

## 2.3. Patients' blood related studies

Pulmonary hypertension (PH) is a common and severe pathophysiological condition characterized by inadequate pathobiological human data and limited treatment resources.

Three type of patients affected by PH belonging group 1 have been included in the study, in particular subject with IPAH, further divided in responder to vasoreactivity test (resp IPAH) and non-

responder (n-resp IPAH); subject with heritable PAH (HPAH) due to a mutation on BMPR2. All patients have been compared to healthy subjects (control group) age and sex-matched.

The overall objective of this project is related to the identification of novel pathobiological pathways in PBMCs of patients, as compared with those of healthy subjects individuals, by using high-throughput Super-SAGE (Serial Analysis Gene Expression).

Specific study objectives:

- Significant genes expression differences between normal individuals and three groups of PAH patients.

- Significant genes expression differences among the three groups of PAH patients.

- Multiple sorted genes entry analysis (Ingenuity Pathway Analysis) will be also performed to identify possible clustering of differentially expressed genes in specific pathways and biological processes.

# 3. Material and Methods

## 3.1. In vitro animal studies

## 3.1.1. Reagents and antibodies

Monocrotaline (MCT), Sodium Butyrate, Calyculin A, LY294002, Cell Growth Determination Kit, MTT based, propidium iodide, protease and phosphatase inhibitors cocktail, BSA, trichloroacetic acid and PMSF (SIGMA-Aldrich); DMEM, fetal bovine serum (FBS), penicillin and streptomycin, and L-Glutamine (Lonza); PDGF-BB (PeProtech); Liquid scintillation ULTIMA Gold uLLT (PerkinElmer), Cultrex BME (Tema Ricerca), annexin-V-Fluos staining kit (BD), protein A/G PLUS agarose immunoprecipitation reagent (Santa Cruz). Primary antibodies: ki67 (Novocast); c-myc, anti-Acetyl Lys, Akt and P-AktS473 (Cell Signaling); Actin and Cyclin D1 (BD); p27, p21, and PCNA (Santa Cruz). Secondary antibodies: goat anti-mouse AlexaFluor555 (Invitrogen), HRP goat anti-rabbit IgG (Cell Signaling), goat anti-mouse (BD), NovoLinkTM Polymer Detection System (Novocastra Laboratories Ltd). Qiagen quantitect primers: cdkn2b (p15), kdr, tgfbr1, actb, gapdh, pcna, ednra, pdgfrb, ednrb, myc, cdkn1a (p21), cdkn1b (p27).

### 3.1.2. Cell isolation and culture conditions

Rat PASMCs were isolated from pulmonary artery of animal receiving subcutaneously 60 mg/kg of MCT (see paragraph *in vivo* studies). After 28 days from the injection, all rats were anesthetized in a CO<sub>2</sub>/O<sub>2</sub> mixture and subsequently killed by cervical dislocation to isolate pulmonary artery smooth muscle cells (PASMCs) using a modification of previously described method [116]. Intrapulmonary arteries were isolated and cleaned of connective tissue under a stereoscopic microscope. The tissue was digested at 37°C for 20 min in DMEM containing collagenase type I, 250 U/ml (SIGMA-Aldrich). FBS 10% was added to stop the reaction and the digested pieces were placed into petri dish containing fresh complete medium and allowed to rest for one week. Cells were cultured in complete medium consisting of high glucose DMEM supplemented with 10% FBS, 100 U/ml penicillin and 100 µg/ml streptomycin, 4mM L-Glutamine at 37°C in a humidified atmosphere of 5% CO2.

#### 3.1.3. *In vitro* model of hyper-proliferation: experimental plan

For all experiments, PASMCs were starved the day after seeding with medium containing lower percentage of serum (0.5% FBS). After 24 hours, cells were induced to proliferate and migrate by replacing starvation medium with fresh medium containing 20 ng/ml PDGF-BB. BU was added concurrently with the growth factor, while the inhibitors used to study the mechanism, such as 1  $\mu$ M Calyculin A, and 25  $\mu$ M LY294002 (LY), were administered one hour before the treatment. PASMCs from the third to the fourth passages were used for all studies. All experiments were repeated at least three times, unless otherwise mentioned.

#### 3.1.4. Cell proliferation and viability

Cell proliferation was evaluated using the MTT method, following the manufacturer's instructions (SIGMA). Briefly, MTT solution (0.5 mg/ml, final concentration) was added to each well at the end of treatment and incubated for 2 hours at 37°C. The converted dye, insoluble purple formazan, was solubilized by adding 10% SDS in a 10 mM HCl solution directly into the well. Data were collected at 570 nm with a multi-well plate reader (Dynex Technology).

For cell death detection, double labeling with propidium iodide (PI) and annexin-V (AV) was carried out using an annexin-V-Fluos staining kit, according to the manufacturer's instructions (BD). At least 10000 events were recorded by the aid of FACS Aria instrument (BD). After the appropriate markings for the negative and positive populations were set, the percentage of AV- /PI- (living cells), AV+/PI- (early apoptosis), AV+/PI+ (late apoptosis, necrosis) staining were determined.

#### 3.1.5. Immunofluorescence

PASMCs were seeded (5000 cells/cm<sup>2</sup>), starved and treated with molecules in low serum media. After 24 hours, cells were washed with PBS and fixed in methanol for 10 min at room temperature (r.t.). Permeabilization and saturation were performed using 0.1% Triton for 15 min and 5% BSA in PBS for 1 hour at r.t., respectively. PBS added with 0.05% TWEEN 20 was used for washes. Primary antibody for ki67 and secondary antibody alexafluor555-conjugated were suspended in BSA 1% in PBS solution and incubated for 1 hour at 37°C. Nuclei were labeled using DAPI (10 ng/ml) for 15 min at r.t. Antifade Prolong (Invitrogen) has been used to mounting glasses. The images was captured using a microscope equipped with a digital sight camera (Nikon).

#### 3.1.6. Cell cycle analysis

Cell cycle distribution was determined after propidium iodide (PI) staining, as previously described Nusse's method [159]. Trypsinized cells were centrifuged for 5 min at 800xg, and 2x10<sup>6</sup> cells were resuspended in 1 ml solution I (584 mg/L NaCl, 1139 mg/L Nacitrate, 10 mg/L RNase and 0.3 ml/L Nonidet P-40). After 1 hour on ice, 1 ml of solution II (15 g/L citric acid, 85 g/L sucrose and 50 mg/L PI) was added and the samples were briefly vortexed. PI fluorescence forward scatter (FSC) and side scatter (SSC) of cell suspension were used to assess cell cycle distribution of cells (10000 events) by flow cytometry.

#### 3.1.7. Gene expression

Total RNA was isolated using RNeasy Micro Kit (Qiagen) following the manufacturer's instructions. For RT-PCR, cDNA was synthesized in a 20-µl reaction volume with 1 µg of total RNA and SuperScript III RT (Invitrogen). To assess indicated genes 0.2 µg of cDNA were used for real-time RT-PCR performed with a Lightcycler system (Roche Diagnostics) and with the SYBR Green fast start kit (Lightcycler® FastStart DNA MasterPLUS SYBR Green I). Qiagen quantitect primers were used in real-time RT-PCR: cdkn2b (p15), kdr, tgfbr1, actb, gapdh, pcna, ednra, pdgfrb, ednrb, myc, cdkn1a (p21), cdkn1b (p27). For each primer a melting curve analysis was performed and real-time PCR efficiency was calculated. Data were normalized using gapdh and actin b (actb) as an index of cDNA content after reverse transcription. Results were analyzed and related plots were created using Relative expression Software Tool (REST 2009 V2.0.13, Qiagen) [160].

#### 3.1.8. SDS-PAGE and Western Blotting

Proteins were obtained using M-PER (Pierce) mammalian protein extraction reagent, following manufacturer's instructions. Cells were washed with PBS, trypsinized and lysed with M-PER extraction buffer additioned with 1 mM PMSF, protease and phosphatase inhibitor cocktail (SIGMA). Lysates were subjected to SDS-PAGE and transferred to PVDF membranes. After blocking, the membranes were probed overnight at +4°C with following primary antibodies: anti- cMyc, anti-Akt, anti-phospho (S473) Akt (P-Akt), and anti-Acetyl Lys antibody (all from Cell Signaling); anti- Actin and anti-Cyclin D1 antibodies (all from BD); anti- p27, anti-p21, anti-PCNA, and anti-PP1 antibody (all from Santa Cruz). Afterwards, the membranes were incubated for 1 hour at room temperature with secondary antibodies conjugated to horseradish peroxidase (HRP): HRP goat anti-rabbit IgG (Cell Signaling) or goat anti-mouse (BD). Bound antibodies were detected with the use of Immobilon Western HRP

Chemiluminescent Substrates (Millipore) and quantified by densitometry. The intensity of phosphoprotein or protein bands was normalized respectively to that of the corresponding total protein, or housekeeping protein, as indicated in the figures and legends.

#### 3.1.9. Electron microscopy

The ultrastructural features of PASMCs were investigated by transmission electron microscope (TEM). To preserve the natural morphology, cells were immediately washed and fixed in Karnowsky fixative (2% glutaraldeyde, 4 % formaldeyde in 0.1 M phosphate buffer) directly in the culture plate for 20 minutes at room temperature. After mechanical removal, the cells were pelleted, fixed further for 24 hours with the same fixative at 4°C, and processed for TEM analysis. Samples were rinsed in PBS, post-fixed in 1% buffered osmium tetroxide for 1 hour at room temperature, dehydrated through graded ethanol and embedded in Araldite resin. Serial semi-thin sections were stained with Toluidine blue. Ultrathin sections were counterstained with uranyl acetate and lead citrate and observed in a Philips 400T (FEI Company, Milan, Italy) transmission electron microscope.

#### 3.1.10. Mitosis analysis

For conventional histological analysis, pelleted cells were fixed in 10% buffered formalin and embedded in paraffin; 4 µm-thick sections were stained with hematoxylin & eosin (H&E) and viewed in a light microscope using the Image-Pro Plus® 6 software (Media Cybernetics, Silver Spring, MD). Images were digitalized through a video camera (JVC 3CCD video camera, KY-F55B, Yokohama, Japan) connected with a Leitz Diaplan light microscope (Wetzlar, Germany). Each sample was entirely digitized using a 40x objective (final magnification 400x = high power field, HPF). The mitotic index was calculated by counting the number of mitosis/ HPF.

#### 3.1.11. Nucleolar Organizer Regions Silver morphometric analysis

The nucleolar organizer regions (NOR) are chromosomal landmarks consisting in tandemly repeated sequences of ribosomal genes (rRNA). These portions of chromosomes are associated with a nucleolus after the nucleus divides. NOR staining and quantification was carried out to evaluate proliferation state by assessing proteins associated to the nucleolar organizer. Cells seeded on glass slides were fixed in 2% paraformaldehyde in PBS supplemented with 1% Triton X-100. After washing in distilled water, samples were stained with silver (Ag) for 13 minutes at 37°C in the dark using a solution of one volume 2% gelatin in 1% aqueous formic acid, and two volumes of 50% silver nitrate.

After washing, cell seeded glasses were finally dehydrated and mounted in a synthetic medium with no counterstaining. Morphometric analysis of Ag-stained NORs (AgNOR) was carried out by using the Image-Pro Plus software, as previously reported [161]. For each experimental condition, AgNOR intensely stained nucleolar (na) and nuclear areas (Na) of at least 60 nuclei were measured, and results expressed as na/Na ratio x 100.

#### 3.1.12. Wound healing assay

For scratch wound assays, confluent cells were starved and stimulated with PDGF in the absence or presence of BU. Untreated cells were used as a control. After 16 hours, cell layers were wounded using a 200 µl micropipette tip, the floating cells were washed away with starvation medium, and wound closure was monitored by phase microscopy until 24 hours. To exclude the proliferation effect the time point utilized for measurement of migration was 6 hours. At this time cells were fixed using methanol for 10 min and stained with 0.1% of crystal violet solution in 25% methanol for 30 minutes. The migration area was determined for quantitative assessment using software NIS-Elements D3.2 (NIKON), and compared to the wound area at time zero.

### 3.1.13. Pulmonary artery ring assay

Pulmonary arteries were removed from rats receiving MCT (60 mg/ Kg), and immediately transferred to a 50-ml tube containing 40 ml of ice-cold, serum-free DMEM. The fibro-adipose tissue was carefully removed and artery rings were sectioned (1 mm), and rinsed extensively in three consecutive washes of DMEM. The rings were embedded in 0.3 ml of Cultrex BME and the 48-well plates were incubated at 37°C for 30 min to harden the semi-solid medium. Then, 0.3 ml of treatment medium were added to each well, the cultures were kept at 37°C in a humidified environment, and fed every three days. Time zero, three-, six-, and ten-day cultures were photographed using a Nikon microscope equipped with a digital camera.

#### 3.1.14. Immunoprecipitation assay

For the immunoprecipitation analysis, cells were dissolved in M-PER extraction buffer additioned with 1mM PMSF, protease and phosphatase inhibitors (SIGMA). Cell extracts were centrifuged at 10,000g for 10 min at 4 °C, the obtained supernatants were incubated 1 hour with anti-Akt or anti-Acetyl-Lysine antibody (Cell Signaling) and immunoprecipitated with Protein A/G–Agarose overnight at 4°C. Subsequently the beads were isolated by centrifugation and washed five times with M-PER to

extraction of protein fraction. Samples were eluted in 2x loading buffer and boiled for 5 minutes to dissociate the immunocomplexes from the beads. Immunoprecipitates were separated by SDS PAGE, followed by immunoblotting. The blot was probed with antibodies against against total Akt, Phospho-Akt and Acetyl-Lysine.

## 3.1.15. Statistical analysis

Significant differences were determined among various groups by ANOVA followed by appropriate post-test, or using a two-tailed, unpaired Student's t test. Values were expressed as mean±SEM from 3 to 9 independent experiments. Differences at P<0.05 and P<0.01 were considered statistically significant and extremely significant, respectively.

## 3.2. *In vivo* animal studies

## 3.2.1. Ethics Statement

Animal use was approved by the Bioethics Committee of the University of Bologna, in compliance with Directive 2010/63/EU of the European Parliament.

### 3.2.2. Monocrotaline model of PAH in rats

Adult male Sprague-Dawley rats (200–250 g in body weight; Harlan Laboratories) were subjected to a single subcutaneous injection of 60 mg/kg of MCT (SIGMA-Aldrich), saline was used for untreated rats (sham) as described previously [116]. MCT (50mg/ml, final concentration) was dissolved in HCl 1 N and NaOH 1 N was added to neutralize the solution. One hundred twenty microliters of this solution have been injected for every 100 g of body weight. Animals received food and water ad libitum and were housed under controlled conditions of light and temperature (23–25°C).

### 3.2.3. Study design

On Day 0 all animals were randomly divided into four groups as following:

- 1. Healthy group or sham: animals receiving saline solution (vehicle for MCT).
- 2. MCT group or CTR group or untreated group: animals receiving MCT, but any treatment with BU.

3. BU 20 group: animal receiving MCT and treated with Sodium Butyrate at concentration 20 mg/kg/day.

On day 0 MCT rats received MCT (group 2 and 3) or saline (group 1) and three week after they have been treated with BU (group 3) or saline (group 1 and 2).

The animals have been sacrificed by overdose of anesthesia at 5<sup>th</sup> week. Heart and lungs were collected for further analyses.

## 3.2.4. **BU administration**

Since BU has a short half-life, Alzet® osmotic pumps (Model# 2002) were used to allow a continuous administration (0.5  $\mu$ l/ hour). For sham and untreated animals the osmotic pumps have been filled with saline solution.

The ALZET® osmotic pumps have been implanted subcutaneously on the back of rats, slightly posterior to the scapulae following manufacturer's guidelines:

- Animal under anesthesia has been shaved and the skin over the implantation site has been washed.
- A mid-scapular incision has been performed.
- An hemostat has been inserted into the incision, and, by opening and closing the jaws of the hemostat, a pocket for the pump has been created.
- The filled pump has been inserted a into the pocket.
- The wound has been closed with wound clips or sutures.

## 3.2.5. Assessment of right ventricular hypertrophy

To assess right ventricular hypertrophy, hearts were removed and kept in physiological salt solution. Subsequently, they were weighed and the atria were removed. Right ventricle (RV) was separated from left ventricle (LV) and septum (S). Each part was weighed and the ratios of right ventricle to left ventricle plus septum [RV/(LV+S)].

## 3.2.6. Histological analyses

Heart and lung samples were fixed in buffered formalin 4% overnight, and after paraffin embedding the sections will be stained with hematoxilin-eosin (H&E).

To perform the histological analysis of right ventricle hypertrophy, the rat heart will be serially sectioned into 2mm–thick sections from the apex of the heart perpendicular to the base-to-apex axis. The third whole section from the apex will be processed for comparisons between the different groups.

Samples were fixed in buffered formalin and embedded in paraffin, and 4-µm-thick sections were used for histological and immunohistochemical analysis.

For conventional histopathological analysis, sections were stained with H&E.

The muscularization of arteries in lungs was investigated with an antibody directed against alpha-smooth muscle actin ( $\alpha$ -SMA). Specimens were deparaffinated with xylene, rehydrated through decreasing concentrations of ethanol, rinsed in distilled water, and subjected to an antigen retrieval treatment. Antigens were unmasked with citrate buffer, pH 6.0, at 120 °C, 1 atm. for 20 min. After cooling and washing, endogenous peroxidase activity was neutralized using a 3% H<sub>2</sub>O<sub>2</sub> solution in methanol absolute for 10 min at room temperature in the dark; sections were then processed for immunohistochemistry with a non-biotin-amplified method (NovoLinkTM Polymer Detection System, Novocastra Laboratories Ltd.). After washing with TBS, the slides were incubated with NovocastraTM protein block for 5 min in a wet chamber to reduce the nonspecific binding of primary antibody and polymer reagent and rinsed twice with TBS. After washing, slides were incubated for 30 min at room temperature with NovocastraTM post-primary block to enhance penetration of the next polymer reagent, rinsed in TBS, and incubated with NovoLinkTM Polymer for 30 min at RT, and subsequently with 3,3'-diaminobenzidine, prepared from NovocastraTM 3,3'-diaminobenzidine chromogen and NovoLinkTM 3,3'-diaminobenzidine substrate buffer. Sections were rinsed in distilled water, counterstained with Gill's hematoxylin. Negative control was obtained by omitting the primary antibody.

Successively, samples were dehydrated, coverslipped, and viewed by light microscopy using the Image-Pro Plusprogram. Images were digitized through a video camera (JVC 3CCD video camera, KY-F55B) connected with a Leitz diaplan light microscope; original images were analyzed using Image- Pro Plus6 software (Media Cybernetics, Inc.).

Myocytolysis has been assessed after H&E staining of RV sections. Lighter stained cytoplasm (with clear patches), due to the presence of glycogen in place of contractile elements in the cytoplasm is an hallmark of this damage. To evaluate the differences between different groups a score value from 0 to 5 has been attributed, meaning the absence and high presence of myocytolysis, respectively. The injury score is a ratio between the mean of each section score and the number of examined sections.

46

#### 3.2.7. Statistical analysis

Significant differences were determined among various groups by unpaired Student's t test. Values were expressed as mean±SEM. Differences at P<0.05 and P<0.01 were considered statistically significant and extremely significant, respectively.

## 3.3. Patients' blood related studies

#### 3.3.1. Study design

Three type of patients affected by PH belonging group 1 have been included in the study, in particular subject with Idiopathic PAH (IPAH), further divided in responder to vasoreactivity test (resp IPAH) and non-responder (n-resp IPAH); subject with heritable PAH (HPAH) with BMPR2 mutation. All patients have been compared to healthy subjects (control group) age and sex-matched.

PBMCs have been isolated from 20 ml of venous blood samples, and RNA extracted has been stored at 80°C until performing the analysis. Five microgram of total RNA was used for SAGE and 4 µg was retrotrascribed to cDNA for subsequent validation with real time PCR analysis.

SAGE library construction has been carried out in 8 healthy subjects and 13 patients affected by PAH, including 4 n-resp IPAH, 3 resp IPAH and 6 HPAH.

Libraries have been sequenced using SOliD technique by Genomnia srl (Milano).

The identification of genes with significant differential expression between different groups have been performed by Genomnia srl (Milano) using Bioconductor software, in particular "edgeR" and DESeq.

Then, each sorted gene will be individually analyzed for his potential interest in the pathobiology of PH, as a target for treatment and as a marker of severity. This assessment has been performed through "raw" molecular function, literature association, gene expression experiment's data (GEO), mendelian inheritance peculiarity (OMIM) and oddities. A multiple genes entry analysis will be also performed to identify possible clustering of differentially expressed genes in specific pathways and biological processes.

Further analyses are required to validate down- and up-regulated gene ensued by SAGE. To this end, real time RT-PCR on PBMC samples from the same subjects and additional samples will be performed in the future.

#### 3.3.2. Patient and healthy volunteers enrolment

This study has been carried out according to the principles of Declaration of Helsinski, and written informed consent was obtained from all patients and healthy volunteers.

The enrolment has been performed by S.D.D. Center of PH at S. Orsola-Malpighi Hospital in Bologna, coordinated by Prof. Nazzareno Galiè.

At baseline all patients referred to the Center were assessed by physical examination, 6MWD, RHC, and vasoreactivity test.

Male and female subjects over 18 years of age with idiopathic PAH diagnosed according to current PAH guidelines [3] in WHO functional class II and III were included in this study. The presence of PAH has been defined by a mean pulmonary arterial pressure (mPAP) > 25 mmHg at rest, by pulmonary capillary wedge pressure (PCWP)  $\leq$  15 mmHg and pulmonary vascular resistance (PVR) > 3 mmHg/ I/ min (Wood units). Patients were 'naïve', any specific therapy for PAH was a requisite to exclusion.

Patients were excluded from the study if they had PH, belonging to the groups 2 to 5 of the Dana Point classification, PH owing to left heart disease (PCWP > 15mmHg, PH owing to lung diseases or hypoxia chronic thromboembolic PH, and PH with unclear multi-factorial mechanisms).

#### 3.3.3. Peripheral Blood Mononuclear Cells isolation

Venous blood samples were collected in the morning and processed within 30 minutes. The blood was drawn from a peripheral venipuncture into vacutainer tubes (BD) containing ethylenediaminetetraacetic acid. PBMCs were isolated from 20 ml of whole blood with Lympholyte®-H (CEDARLANE) following the manufacturer's instructions. Briefly, blood were diluted 1:2 with PBS and stratified onto the equal volume (20 ml) of Lympholyte. After centrifugation performed at room temperature 1500g for 30 minutes avoiding acceleration and deceleration steps, the ring related to PBMCs was collected and transferred in a new tube. Hemolysis and washes were performed to obtain a pure population of cells.

RNA was isolated from PBMCs with RNeasy Micro kit (Qiagen) following the manufacturer's instructions. The integrity of RNA was assessed using a microfluidics-based platform, Agilent 2100 Bioanalyzer and NanoDrop.

### 3.3.4. Serial Analysis Gene Expression (SAGE) library construction

High-throughput Super-SAGE<sup>™</sup> combines Super-SAGE with SOLiD platform, a Next Generation Sequencing (NGS) technique.

SOLiD<sup>™</sup> SAGE<sup>™</sup> is based on two major principles:

• A sequence tag cleaved from the 3'-most NIa III restriction site in each transcript contains sufficient information to uniquely identify the transcript.

• The expression level of the transcript can be quantified by the number of times a particular tag is observed (one tag = one transcript).

The 3' end SOLiD SAGE library construction was used to generate library of 27 bp 'tags' for all the transcripts in a cell, followed by Sequencing by Oligonucleotide Ligation and Detection (SOLID) sequencing of the tags and downstream mapping to RefSeq mRNA and genome databases. To this purpose SOLiD-SAGE kit (life technology) was used and all passages have been performed following manufacturer's instructions.

The SOLiD<sup>™</sup> SAGE<sup>™</sup> system employs a modified version of the original protocol (Velculescu) that generates a longer tag (27-bp) per transcript, using EcoP15I digestion. Briefly, polyA RNA is directly captured from cell lysates using oligo-dT coated beads and converted to cDNA. A frequently cutting anchoring enzyme, usually NIaIII, is used to cleave cDNA molecules, leaving the 3' end of the cDNA attached to the beads. Linkers are ligated to the immobilized cDNA fragments. These contain a site for a type III restriction enzyme EcoP15I used as the tagging enzyme. EcoP15I binds to a recognition sequence in the adapter adjacent to the CATG site and cleaves the cDNA 27 bp downstream from the adapter.

#### **Description of the procedure:**

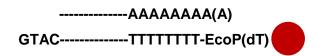
1. RNA Binding: Bind purified total RNA to Dynabeads® Oligo(dT) EcoP magnetic beads. The beads capture poly(A) RNA directly from total RNA.

## -----AAAAAAAA(A) TTTTTTTT-EcoP(dT)

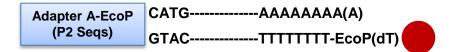
2. cDNA Synthesis: Synthesize double-stranded cDNA from the RNA on the beads using SuperScript® III Reverse Transcriptase and E. coli DNA polymerase. Performing all the enzymatic steps in one tube enhances the efficiency of cDNA synthesis.

-----AAAAAAAA(A) -----TTTTTTT-EcoP(dT)

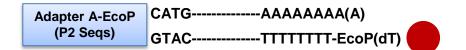
3. Nla III Digestion: Digest the double-stranded cDNA with Nla III, a sequence-specific restriction endonuclease that cleaves ~99% of all human transcripts in RefSeq. Nla III is used as an anchoring enzyme, because Nla III sites are known to occur approximately every 250 bp.



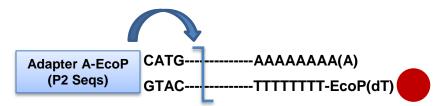
4. Adapter A Ligation: Adapter A contains a cohesive 4-bp overhang complementary to the Nla III-digested cDNA, an EcoP15I restriction enzyme recognition site at the 3' end, and a priming site for PCR amplification (P2).



5. EcoP15I Digestion: EcoP15I is a Type III restriction endonuclease used as the tagging enzyme. EcoP15I binds to a recognition sequence in the adapter adjacent to the CATG site and cleaves the cDNA ~27 bp downstream from the adapter, releasing a tag with a 2-bp overhang. The tag consists of 33 bp of adapter sequence and 27 bp of unique sequence from a single transcript.



6. Adapter B Ligation: Adapter B contains the other PCR priming site (P2) and SOLiD<sup>™</sup> sequencing initiation sites.



7. PCR Check: Purify and PCR amplify if necessary. Proceed to emulsion PCR and SOLiD<sup>™</sup> sequencing, followed by analysis using SOLiD<sup>™</sup> SAGE<sup>™</sup> software.

Adapter A-EcoP	CATGNNN	Adapter B
(P2 Seqs)	GTAC	(P1 Seqs)

## 3.3.5. Quality and control of SAGE library

The quality of the SAGE tags can be determined by PCR, followed by visualization of the 100-bp tags by gel electrophoresis. Table 3 showed the procedure of sample preparation.

Experimental sample corresponds to 'tag template from Adapter B Ligation' (at the end of step 7), the negative controls are represented by the no-ligase negative control from EcoP15I Digestion (steps 5 and 6, respectively).

Reagents	Experimental	No-template	No-ligase
	Template	Control	Control
5´ Amp Primer	0.5 µl	0.5 µl	0.5 µl
3´ Amp Primer	0.5 µl	0.5 µl	0.5 µl
Platinum® PCR SuperMix High Fid.	48 µl	49 µl	48 µl
SAGE tag template (20 µL total)	1 µl	_	_
No-ligase negative control	_	_	1 µl
Total volume	50 µl	50 µl	50 µl

#### Table 3. Sample preparation

Amplification step of PCR has been performed using the cycling parameters showed in table 4. During the PCR reaction tagged template at 5, 10, 15, and 20 cycles have been collected to gel electrophoresis analysis.

#### Table 4. Cycling parameters

Temperature	Time	Cycles
95°C	2 minutes	1
95°C 55°C 72°C	30 seconds 1 minute 1 minute	20 total (collect template at 10, 15, and 20)
72°C	5 minutes	1

PCR products have been run on a 4% agarose gel in TAE buffer with a DNA mass ladder 100bp.

The SAGE tagged template should appear as a clear 100-bp band. The manufacturer informed that the cycle number that provides the optimal amplification can vary from sample to sample. Bands of lower molecular weight may indicate adapter self-ligation products or primer dimers.

The negative controls (No-template and No-ligase Controls) should not contain any contaminating amplified product of the size of the tags.

After analyzing the quality of the SAGE tags, a bioanalyzer have been used for quantification.

Purification of the tags has been performed using PureLink PCR Micro kit (Invitrogen) that can distinguish the 100-bp tags from spurious 70–80 bp artifacts, such as primer dimers or adapter self-ligations.

Samples have been sent on dry ice to Genomnia srl (Milano) for next steps: Emulsion PCR preparation and SOLiD<sup>™</sup> Sequencing.

#### 3.3.6. Statistical analysis

SAGE data analysis was performed by Genomnia srl (Milano) with "edgeR" (R 2.13.0 version for Windows 64 bit), an open-source-interpreted computer language for statistical computation and graphics, and tools from the Bioconductor project.

Each library lead to about six million of sequenced tags, the computation analysis revealed about 14,000 corresponding transcritpts. Statistical analysis lead to define significant differential expressed genes within different comparisons. For each comparison a tagwise dispersion plot has been created. This is a dotplot, in which the y and x axis correspond to the Fold Change and the abundance of transcripts (expressed as concentration), respectively. Each dot correspond to a particular transcript. In red have been reported all transcripts with significant differential expression P value<0.005. The tagwise dispersion plot is useful to evaluate the existing variations (including biological and technical variations).

Results, reported as Ref\_seq, fold change significance and annotation, have been exported in an Excel file.

# 4. Results

## 4.1. In vitro animal studies

#### 4.1.1. **Proliferation and viability assays**

MTT viability assay revealed that a single pulse of PDGF-BB markedly increased PASMC proliferation up to 120 hours, as compared to the control group (Figure 5); BU dose-dependently reduced the growth factor effect after 24 hours of treatment, with cell proliferation remaining lower than that detected in cells cultured in presence of PDGF-BB alone throughout the overall observation

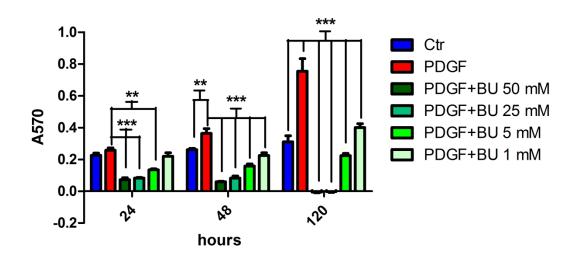
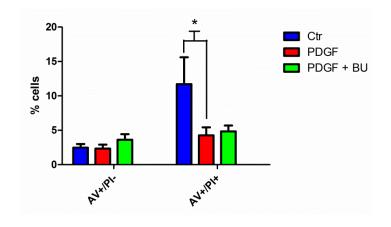


Figure 5. Proliferation in PASMCs isolated from PAH-rats. MTT assay at 24, 48, and 120 hours using a scale concentration of BU (n= 5). Significance versus stimulated cells (PDGF) was shown. Statistical analysis: ANOVA with Bonferroni post Test. Significance \* p<0.05, \*\* p<0.01, \*\*\* p<0.001.

#### period.

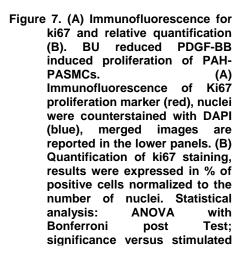
However, since 25 and 50 mM BU depressed cell viability, all the experiments were performed in the presence of a lower physiological concentration of 5 mM [162]. At this concentration, Annexin V-FITC and PI staining revealed that the percentage of cells in early apoptosis (AV+/PI-) was not affected compared to the control group or cells exposed to PDGF-BB alone (Figure 6). Furthermore, the percentage of cells in late apoptotic/ necrotic death (AV+/PI+) was not altered by BU compared to PDGF-BB stimulated cells (Figure 6).

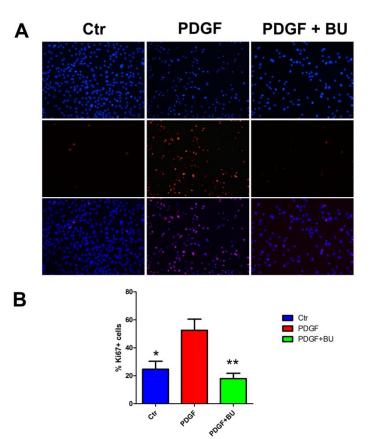
Figure 6 . Annexin V assayPI and annexin-V (AV) double labeling. Data were expressed as percentage of living cells (AV-/PI-), early apoptosis (AV+/PI-), and late apoptosis/ necrosis (AV+/PI+) (n=5). Significance versus stimulated cells (PDGF) was shown. Statistical analysis: ANOVA with Bonferroni post Test. Significance \* p<0.05, \*\* p<0.01, \*\*\* p<0.001.



#### 4.1.2. Cell cycle analysis

Immunofluorescence analysis showed that following 24 hours of PDGF-BB treatment 52±7.96 % of PASMCs expressed the proliferating marker ki67, while the presence of 5 mM BU remarkably





counteracted the growth factor effect, decreasing the percentage of ki67 positive cells (24±3.84 %) to a value superimposable to that observed in the control group (17±5.72 %) (Figure 7, A and B).

Akin to this observation, cell cycle analysis (Figure 8) revealed that PDGF stimulation significantly decreased the percentage of quiescent cells (G1/G0 phases) and increased the number of cells in the proliferative state (S+G2/M), as compared to the control group. BU arrested PASMC cell cycle mainly by blocking the transition throughout G0/G1 and S phase, thus increasing the number of quiescent cells.

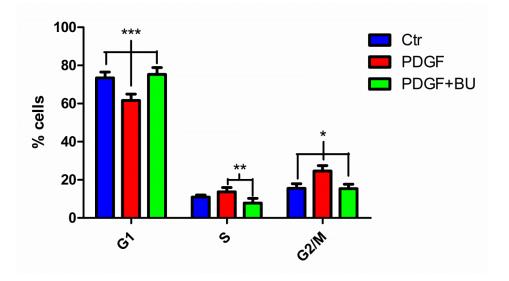


Figure 8. Cell cycle analysis. The percentage of cells in G0/G1, S and G2/M phases of the cell cycle were determined by cytofluorimetric analysis after PI staining (n=3). Statistical analysis: ANOVA with Bonferroni post Test; significance versus stimulated cells (PDGF) \* p<0.05, \*\* p<0.01, \*\*\* p<0.001.

### 4.1.3. Ultrastructural and morphometric analyses

TEM analysis showed that control PASMCs had the appearance of very thin, bipolar spindle-like elements, with extremely long cytoplasmic projections. Such ultrastructure remained unaltered when cells were treated with a combination of BU and PDGF-BB, whereas a plump spindle-like morphology

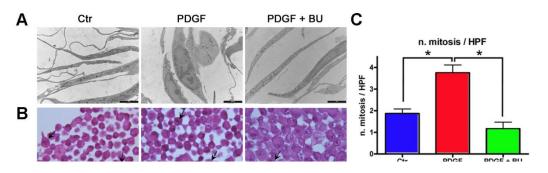


Figure 9. Ultrastructural, histological analysis of PASMCs. (A) TEM analysis at 24 hours of treatment. Scale bars: 5μm. (B) Representative images of pelleted cells used to count mitosis after H&E staining. Original magnification 25x. Scale bars: 50 μm. (C) Quantitative analysis of the total number of mitosis for each condition. \* p<0.05.</p>

was observed in the presence of growth factor alone (Figure 1, A). Consistent with these morphological observations, the number of mitotic nuclei was similar between the control group and PASMCs concomitantly exposed to PDGF-BB and BU, despite a remarkable increase in the mitotic figures observed in cells exposed to the growth factor alone (Figure 9, B and C).

The morphometric analysis of silver-stained Nucleolar Organizer Regions (AgNOR) has been

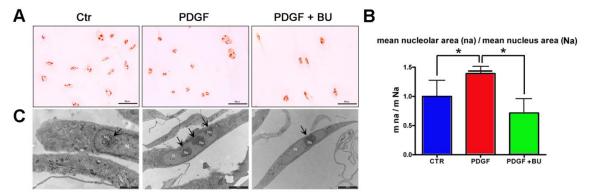


Figure 10. Ultrastructural, and morphometric analysis of PASMCs. (A) Morphometric analysis of silver-stained NORs proteins results were expressed as mean nucleolar/ nuclear areas (m na/ m Na) ratio normalized to control. \* p< 0.05 (B) PASMCs selectively stained for the AgNOR proteins. Original magnification 25x. Scale bars: 50 μm. (C) Ultrastructural features of PASMCs nucleoli (indicated by arrows). Scale bars: Ctr=2 μm; PDGF and BU=5 μm

used to evaluate the nucleolar/ nuclear areas (na/Na) ratio. Nucleoli within the PASMCs nuclei were intensely dark-stained without any counterstaining (Figure 10, A). Quantitative morphometric analysis reveals that the mean na/Na ratio normalized to control was increased after PDGF-BB treatment. On the contrary, in presence of BU, the mean na/Na ratio decreased compared to control quiescent cells (Figure 9, B). Similar results were yielded using electron microscopy where nucleoli were revealed trough their characteristic substructure (Figure 10, C).

### 4.1.4. Gene and protein expression analyses

Gene expression analysis showed that BU acted at the transcriptional level in PASMC proliferation, respectively decreasing or increasing the gene expression of important positive or negative cell cycle regulators. None of these genes was affected within the first 3 hours of treatment (data not shown). However, after 6 hours of BU exposure, pcna, c-myc, and cyclin D1 were significantly decreased, compared to PDGF alone (Figure 11). At the same time, the negative regulators p21 and p15 were significantly increased when compared to PDGF (Figure 11). After 24 hours of treatment with BU, only the pcna gene expression was still decreased (data not shown).

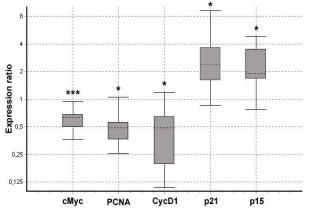
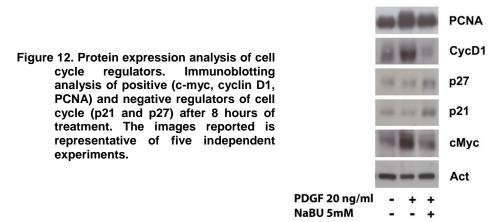


Figure 11. Gene expression analysis of cell cycle regulators. Gene expression analysis of positive (c-myc, pcna, and cyclin D1) and negative regulators (p21 and p15) after 6 hours of treatment. Realtime PCR data were normalized to gapdh and actin beta housekeeping genes and expressed as relative fold change of PDGF+BU compared to PDGF treatment (n=5). Statistical analysis, REST 2009 Qiagen: significance versus stimulated cells (PDGF) \* p<0.05, \*\* p<0.01, \*\*\* p<0.001.

At protein expression level, the treatment with HDACi decreased c-myc, cyclin D1, and PCNA



after 8 hours (Figure 12). At the same time, p27 expression was not affected, whereas p21 was increased (Figure 12).

As early as 3 hours of treatment, BU significantly reduced the gene expression of PDGFRbeta, compared to PDGF-BB treatment (data not shown), reaching a maximal transcriptional decrease after 6 hours (Figure 13).

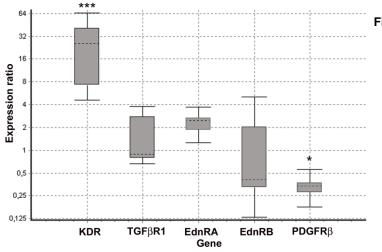


Figure 13. Gene expression analysis of crucial signaling receptors PDGFRbeta1, TGFRbeta1, KDR, Ednra and Ednrb, after 6 hours of treatment. Realtime PCR data were normalized to gapdh and actin beta housekeeping genes and expressed as relative fold change of PDGF+BU compared to PDGF treatment (n=5). Statistical analysis, REST 2009 Qiagen: significance versus stimulated cells (PDGF) \* p<0.05, \*\* p<0.01, \*\*\* p<0.001.

At 24 hours of treatment, BU downregulated the transcription of genes encoding for Ednra (ETA gene) and Ednrb (ETB gene) (Figure 14), two G protein-coupled receptors of the ET-1 family that are deeply involved in PAH progression [163]. Conversely, BU did not affect TGFRbeta1 gene expression, while increasing the transcription of KDR, a major VEGF receptor (Figure 14).

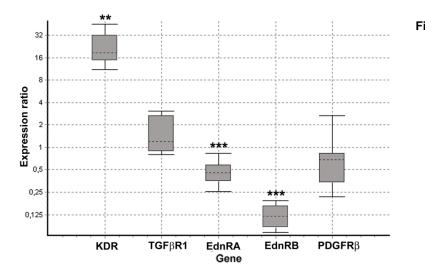


Figure 14. Gene expression analysis of crucial signaling receptors PDGFRbeta1, TGFRbeta1, KDR, Ednra and Ednrb, after 24 hours of treatment. Realtime PCR data were normalized to gapdh and actin beta housekeeping genes and expressed as relative fold change of PDGF+BU compared to PDGF treatment (n=5). Statistical analysis, REST 2009 Qiagen: significance versus stimulated cells (PDGF) \* p<0.05, \*\* p<0.01, \*\*\* p<0.001.

## 4.1.5. Migration assays

Two different migration assays have been considered to evaluate the effect of BU on PDGF-BB induced migration of PASMCs. First, we examined the effect of 5 mM BU on cell migration using a

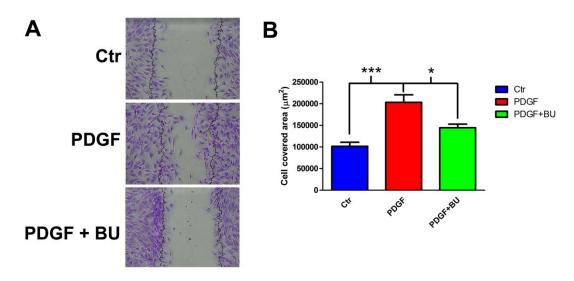


Figure 15. Wound healing assay. (A) PASMCs have been stained with crystal violet at 6 hour of migration time. Interrupted line indicates time zero. (B) Quantitative results of wound healing assay (n=6); the measure was obtained by the difference between migration area after 6 hour and the initial area of the wound. Statistical analysis: ANOVA with Bonferroni post Test; \*\* p<0.01, \*\*\* p<0.001.

wound healing migration assay (Figure 15, A and B). Starved PASMCs were exposed for 16 hours to PDGF in absence or presence of BU. At the end of the incubation time, wound was performed and migration was monitored. At each investigated time, the presence of BU remarkably counteracted the migratory action of PDGF-BB, even at late times (16-24 hours), when cells solely exposed to the growth factor were induced to completely cover the wound area. The area of migration was calculated after 6 hours (Figure 15, B) in order to reduce any effect due to the proliferation process.

To confirm the inhibitory effect of BU on PDGF-induced migration we performed pulmonary artery ring assays. In this model, starting from 6 days, PDGF-BB induced a progressive sprouting of the pulmonary artery that was completely arrested by BU throughout 12 days (Figure 16).

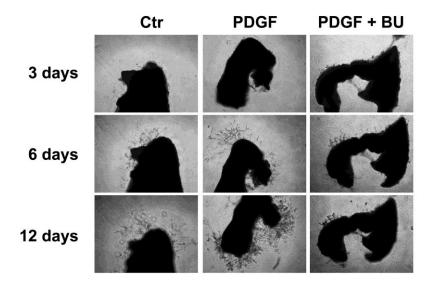


Figure 16. Pulmonary artery rings assay. Pulmonary artery isolated from MCTtreated rats have been cut and included in semisolid medium.t The day after they have been treated as indicated. The sprounting has been followed throughout 12 days.

## 4.1.6. Mechanism of action

It is well known that PDGF-BB promotes cell proliferation activating the Akt pathway. Interestingly, Akt phosphorylation was induced by the growth factor after 1 hour of treatment even in the presence of BU (Figure 17, A). However, after 7 hours of treatment in the presence of the HDAC inhibitors the phosphorylation of Akt at Ser473 was strongly reduced, and this effect was maintained up to 24 hours (Figure 17, A). The PI3K inhibitor, LY294002, completely suppressed Akt activation and consequently counteracted the stimulatory effect of PDGF-BB on proliferation (Figure 17, B) and migration (Figure 17, C). Conversely, no additive effect of BU and LY294002 was observed.

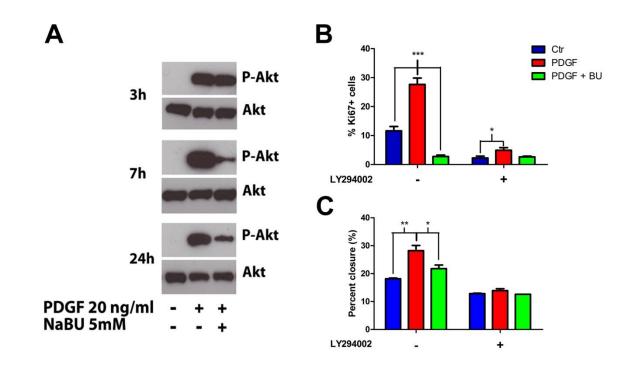
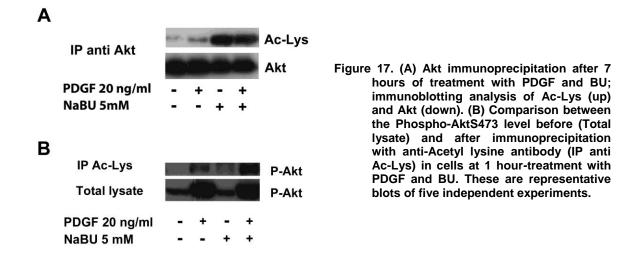


Figure 18. (A) Time-course of phospho-AktS473, and total Akt, in PASMCs treated with PDGF in presence or absence of BU or TSA; untreated cells were used as control. These are representative blots of 9 independent experiments. (B) Quantification of Ki67. staining after 24 hours in presence or absence of PI3K inhibitor, LY294002. Results were expressed in % of positive cells normalized to the number of nuclei. (C) Quantification of migrated cell surface area after 6 hour of migration time in presence or absence of PI3K inhibitor, LY294002. Statistical analysis for (B) and (C): ANOVA with Bonferroni post Test; \* p<0.05, \*\* p<0.01, \*\*\* p<0.001.</p>

We investigated whether BU may have inhibited PDGFBB-induced Akt activation through a deacetylase inhibitory action.



While basal acetylation was not affected by PDGF-BB, protein expression analysis revealed that after 7 hours, a time point at which BU elicited a significant downregulation in phosphorylated Akt, BU-treated cells also exhibited a remarkable increase in the level of acetylated Akt (Figure 18, A). Time

course analysis also revealed that BU-mediated acetylation was already evident at 1 hour, a time point at which Akt was still phosphorylated (Figure 18, B).

We next investigated whether the ability of BU to downregulate Akt phosphorylation may result from facilitation of Akt dephosphorylation by a mechanism involving protein phosphatases. To this end, we used two well-known inhibitors whit a distinct specificity towards phosphatases, okadaic acid which is selective for PP2A at low concentrations ( $\leq$  100 nM) [164, 165], and calyculin A which doesn't discriminate between PP1 and PP2A [166].

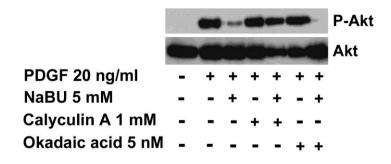


Figure 19. Immunoblotting for phospho-AktS473 and total Akt after 7 hour of treatment. Calyculin A and Okadaic acid were added 1 hour before the treatment to inhibit the phosphatase activity. The blots are representative of six independent experiments.

Calyculin A restored at 7 hours the Akt phosphorylation in BU treated cells (Figure 19), while okadaic acid was ineffective, suggesting a preferential involvement of PP1.

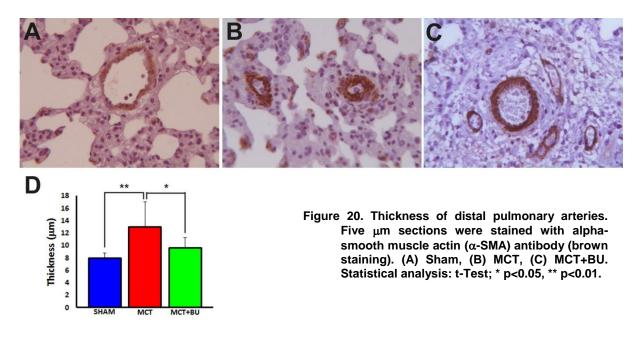
## 4.2. *In vivo* animal studies: preliminary results

At baseline Sprague-Dawley male rats were weighed and subjected to subcutaneous injection of MCT or saline solutions. Therefore, they have been divided randomly into three groups, as follow:

- Sham
- MCT group
- MCT+BU group

The treatment with BU (20mg/Kg/day) was applied from day 21 to 35 to MCT+BU group.

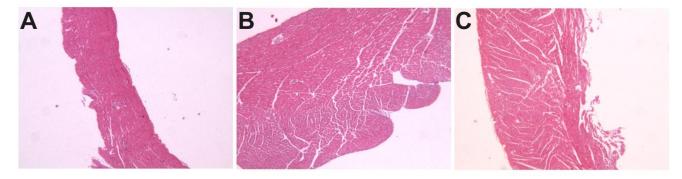
Since this HDACi has a short half-life we used Alzet® osmotic pump to allow its continuous administration. To this end, ALZET® were filled with a solution of BU 500 mM; on the other hand, for untreated animals (sham and MCT groups) the osmotic pumps were filled with saline solution.



Five weeks after, rats have been sacrificed by overdose of anesthesia, then hearts and lungs have been collected and processed for histological analyses.

The animals receiving MCT developed increase in pulmonary arteriolar muscularization (Figure 20) and right heart hypertrophy (Figures 21) compared to saline treated group.

Interestingly, the treatment with BU significantly reduced the thickness of distal pulmonary arteries compared to MCT-group, as shown in figures 20.



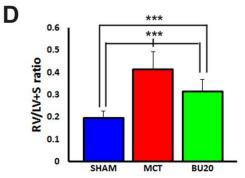
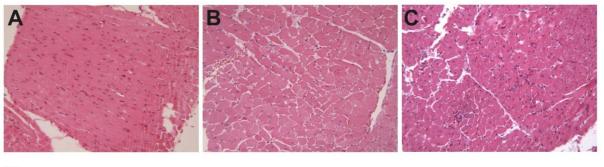
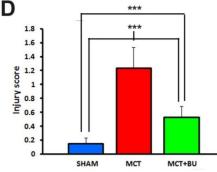
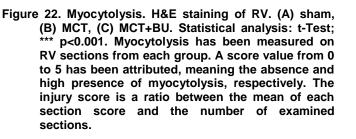


Figure 21. H&E staining of RV sections. (A) sham, (B) MCT, (C) MCT+BU. (D) RV/LV+S ratio were calculated as index of Right heart hypertrophy. Statistical analysis: t-Test; \*\*\* p<0.001. The ratio of RV weight to LV plus septum weight (RV/LV+S) increased from 0.19±0.03 (sham) to 0.41±0.08 (P<0.01 versus sham). BU caused a reduction of this ratio to 0.31±0.05 (P<0.05 versus MCT; P<0.01 versus sham) (Figure 20, D). Consistent with RV/LV+S ratio, the thickness of RV was significantly reduced in BU group (Figure 21, A-C).

Examination of right ventricular free wall, interventricular septum, and left ventricular posterior wall in the Control group revealed normal myocytes without evidence of inflammatory cells or collagen deposition. In contrast, in MCT-treated rats, a diffuse interstitial inflammatory infiltration was evident







throughout the myocardium. Interestingly, the treatment with BU strongly reduced MCT-induced myocytolysis in cardiac cells (Figura 22).

## 4.3. Patients' blood related studies: preliminary results

Patients have been assessed at baseline with 6 six minute walk test, vasoreactivity test, RHC and screened for BMPR2 mutation.

SAGE evaluation has been performed in 8 healthy subjects (Table 6) and in 13 PAH patients (Table 5), including 3 responder PAH (res PAH), 4 non responder PAH (n-res PAH), and 6 heritable PAH (HPAH). All patients and healthy subject have been screened for BMPR2 mutation by TAO laboratory at Cardiovascular Department of S.Orsola Malpighi Bologna.

Before sequencing step Quality & Control check of libraries have been performed using gel electrophoresis analysis and Bioanalyzer.

Table 5. Enrolled patients (PAH)

Sample	Type of PAH	age	sex	BMPR2 mutation
1-PAH	n-resp IPAH	30	F	WT
2-PAH	n-resp IPAH	41	М	WT
3-PAH	n-resp IPAH	57	М	WТ
4-PAH	n-resp IPAH	59	М	WТ
5-PAH	resp IPAH	27	F	WТ
6-PAH	resp IPAH	29	F	WТ
7-PAH	resp IPAH	48	F	WТ
8-PAH	HPAH	28	F	snp S775N Ex 12 (C): 2324 G/A
9-PAH	HPAH	35	F	intr10 1014-2A/G
10-PAH	HPAH	37	F	V341L - K342X
11-PAH	HPAH	38	F	S987F
12-PAH	HPAH	29	М	R899X
13-PAH	HPAH	59	М	R491W

Table 6. Enrolled healthy subjects (H)

Sample	age	sex	BMPR2 mutation
1-H	27	F	WT
2-H	30	F	WT
3-H	40	F	WT
4-H	55	F	WT
5-H	28	М	WT
6-H	46	М	WT
7-H	55	М	WT
8-H	61	М	WT

Amplification step of PCR has been performed and during the reaction tagged template at 5, 10, 15, and 20 cycles have been collected. PCR products have been run on a 4% agarose gel in TAE buffer with a DNA mass ladder 100bp (Figure 23).

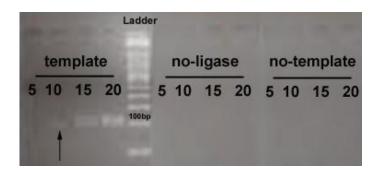


Figure 23. Quality&control of SAGE library. Gel Electrophoresis analysis. Negative controls (no-ligase and notemplate). Samples have been collected at 5, 10, 15, and 20 cycles of PCR.

For the quality control of libraries we used also bioanalyzer technology. In the electropherogram the peak at 135 bp is related to SAGE library (Figure 24). The absence of other significant peaks indicates a satisfactory grade of purity for sequencing analysis. Since, samples showed two bands at 15 cycles of PCR, 10 cycles amplification reaction has been performed on libraries before sequencing, in order to reduce amplification of any unspecific products. In all samples negative controls did not showed any bands, indeed any contaminating amplified product of the size of the tags was present in libraries.

Data analysis revealed 15000 entries (transcripts) from 6 million of tags. Generalized linear

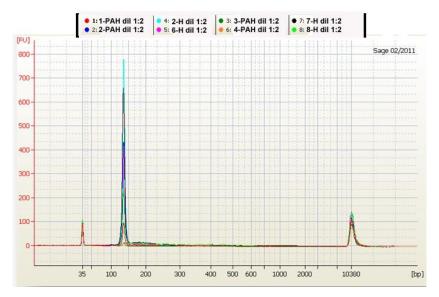


Figure 24. Quality&control of SAGE library Bioanalyzer Electropherogram: the peak at about 135 bp correspond to SAGE library.

model methods (GLMs) was used to detect differential expression in three comparisons:

- 1. n-resp IPAH vs Healthy subjects
- 2. res IPAH vs Healthy subjects
- 3. HPAH vs Healthy subjects

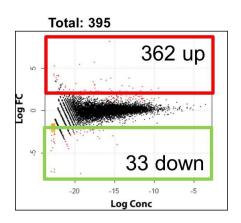


Figure 25. Tag wise dispersion plot related to the comparison between n-resp IPAH patients and Healthy volunteers. Logaritm of Fold Change (Log FC), abundance of transcripts expressed as Logaritm of concentration (Log Conc). In red have been reported all transcripts with significant differential expression, P value<0.005.

The comparison between n-resp IPAH patients and healthy subjects revealed 361 genes with significant differential expression (p<0.005). In particular 270 genes were up- and 91 were down-regulated (Figure 25). Clusterization of biological functions is reported in table 7.

Table 7. Comparison between n-resp IPAH patients' and healthy subjects' profiles: biological function clusterization	
within genes with significant differential expression	

n-resp IPAH		
within up regulated genes	number of genes	
Cancer	20	
Cell-To-Cell Signaling and Interaction	16	
Cellular Growth and Proliferation	16	
Drug Metabolism, Molecular Transport, Cell Death	13	
Hematological Disease	7	
Cardiovascular System Development and Function	7	
Hereditary Disorder	5	
Skeletal and Muscular System Development and Function	2	

The comparison between resp IPAH patients and healthy subjects revealed 395 genes with significant differential expression (p<0.005). In particular, 362 genes were up- and 33 were down-regulated (Figure 26).

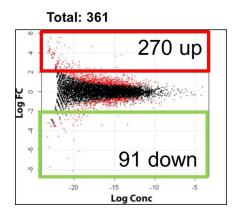


Figure 26. Tag wise dispersion plot related to the comparison between resp IPAH patients and Healthy volunteers. Logaritm of Fold Change (Log FC), abundance transcripts of expressed Logaritm as of concentration (Log Conc). In red have been reported all transcripts with significant differential expression, P value<0.005.

Biological function clusterization is reported in table 8.

Interestingly, the overlapping analysis of this two comparisons revealed 21 shared genes, biological function clusterization of which is reported in table 9. Within these 21 genes 7 are involved in cell-to-cell signaling and interaction, 3 in cellular movement, probably all of these are related to the inflammation process; besides, 5 are involved in cancer, increasing the hypothesis of parallelisms between tumor condition and PAH.

Table 8. Comparison between resp IPAH patients' and healthy subjects' profiles: biological function clusterization	
within genes with significant differential expression	

resp IPAH	
within Up-regulated genes	number of genes
Cellular Development (smooth muscle cells)	5
Cellular Growth and Proliferation (smooth muscle cells)	5
Cell-To-Cell Signaling and Interaction	2
Inflammatory Response	2
Cell Death (repopulation of fibroblasts)	2
Cancer	22
Cancer /hematological disease (large-cell lymphoma)	2
Cancer mammary tumor	11

Table 9. Overlapping analysis between n-resp IPAH vs H and resp IPAH vs H

Shared genes between resp IPAH and n-resp IPAH		
within shared genes (Total 21)	number of genes	
Cell-To-Cell Signaling And Interaction	7	
Cancer	5	
Cellular Movement	3	
Drug Metabolism	3	
Hematopoiesis Abnormal Morphology Of pro-Erythroblasts	1	

The comparison between HPAH patients and healthy subjects revealed 2039 genes with significant differential expression (p<0.005). In particular, 1246 genes were up-regulated and 792 were down-regulated (Figure 27).

Interestingly, the elevated number of genes with significant differential expression suggest an increased homogeneity within the group of HPAH with BMPR2 mutation compared to IPAH patients.

In addition, differing from the two previous comparisons in HPAH vs Healthy subject comparison the number of down-regulated genes was higher rather than up-regulated genes, probably due to

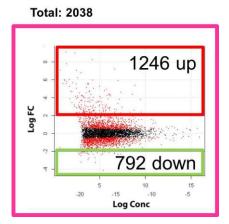


Figure 27. Tag wise dispersion plot related to the comparison between HPAH patients and Healthy volunteers. Logaritm of Change Fold (Log FC), abundance transcripts of expressed as Logaritm of concentration (Log Conc). In red have been reported all transcripts with significant differential expression; P value <0.005.

BMPR2 mutation.

Biological function clusterization is reported in table 10.

Worthy to note, according to BMPR2 mutation within down-regulated genes most are related to TGF-beta pathway. Besides, a high number of genes involved in immune system highlights the relevant role of inflammation in this disease.

 Table 10. Comparison between HPAH patients' and healthy subjects' profiles: biological function clusterization of genes with significant differential expression

НРАН	
within Down-regulated genes	number of genes
TGF-beta receptor signaling activates SMADs	6
Signaling by TGF-beta Receptor Complex	8
Dephosphorylation of AKT by PP2A	3
Hyaluronan metabolism	3
within Up-regulated genes	number of genes
Immune System	78
Cytokine Signaling in Immune system	31
Toll Receptor Cascades	13
Interferon alpha/beta signaling	12
Growth hormone receptor signaling	7
Signaling by constitutively active EGFR	3
TNF signaling	2

# 5. Discussion

## 5.1. In vitro animal studies

HDACi are recognized as one of the promising target for handling cell growth and differentiation. Nevertheless, apart from their use in cancer patients with both solid and liquid tumors, the potential exploitation of HDACi in complex vascular diseases like PAH has long remained elusive, and prompted only very recently [158, 167].

Here, we provided evidence that BU was able to control, at both gene and protein expression level, multiple positive and negative regulators of proliferation in PASMCs isolated from PAH rats.

Inhibition of PASMC proliferation in response of PDGF-BB could be achieved at physiological BU concentrations that did not impair cell viability. Noteworthy, BU was able to downregulate the gene expression of PDGFRbeta, and the transcription of Ednra (ETA) and Ednrb (ETB), as well as PASMC migration and PDGF-BB induced vessel sprouting from the pulmonary artery of PAH animals. These findings further support the hypothesis that the action of this HDACi is fashioned at multiple interconnected levels of the molecular plight that is impacting PASMC biology and PAH progression.

BU mediated inhibition of PDGF induced proliferation and migration was associated with a remarkable reduction in Akt phosphorylation after 7 hours, an effect that was also achieved in the presence of TSA. These inhibitory effects were mimicked by the PI3K inhibitor LY294002, with no additive effect of BU, indicating that the anti-proliferative/-migratory action of BU was mediated by Akt dephosphorylation. The ability of the phosphatase inhibitor calyculin A to rescue Akt phosphorylation in the presence of BU and PDGF strongly suggests that phosphatase-mediated dephosphorylation of Akt may be a major underlying mechanism of the HDACi action.

Failure to restore Akt phosphorylation by okadaic acid, which is selective for PP2A at low concentrations [164, 165], suggests a major involvement of PP1, compared to PP2A.

Further insights within the mechanism(s) regulating BU-mediated phospho-Akt/phosphatase interplay can be inferred from the BU effect on Akt acetylation. Our data show that BU enhanced the level of acetylated Akt, concomitantly with a phosphatase inhibitor-relievable dephosphorylation of the kinase. These observations from one hand raise the issue of investigating which histone acetyltransferase(s), or HDAC/acetyltransferase interplay, may be responsible for the fine tuning of Akt acetylation. On the other hand, the BU effect suggests that HDAC inhibition may have blocked HDAC/phosphatase interaction(s), thus promoting the release of phosphatase and its subsequent association with Akt, its acetylated form being more prone to phosphatase binding. Such a hypothesis is consistent with previous observations showing that HDAC can disrupt HDAC 1 and 6 interaction

with PPI in human glioblastoma cells, resulting in the formation of a PP1/Akt complex and inhibition of kinase activity [168], and that Akt deacetylation promotes its phosphorylation and activation [169].

Although HDACi are well known to induce chromatin plasticity and remodeling [170], acetylation of nonhistone proteins has been demonstrated to modulate protein functions by altering their stability, cellular localization and protein–nucleotide/protein–protein interactions. Well-characterized targets of nonhistone acetylation include important cellular factors such as p53, nuclear factor-κB (NF-κB), p65, CBP, p300, STAT3, tubulin, PC4, GATA factors, nuclear receptors, c-Myc, hypoxia-inducible factor (HIF)-1α, FoxO1, heat-shock protein (Hsp)-90, HMG, E2F, MyoD, Bcr–Abl, the FLT3 kinase, c-Raf kinase and so on [171-173].

Our results indicate an intriguing interplay between HDACs, protein phosphatase(s), and Akt acetylation/deacetylation. To this end, acetylation and deacetylation of histones and nonhistone proteins increasingly appear to be regulated through multifaceted interrelated networks and epigenetic modification, the overall plan remaining mostly enigmatic [174], and still awaiting for further clarification.

#### 5.2. *In vivo* animal studies

Our preliminary results suggested the in vivo efficacy of HDAC inhibition in a preclinical model of PH. Indeed, a continuous administration of BU reduced MCT-induced PAH in rats in a manner that correlated with suppression of medial thickening of distal pulmonary arteries and inhibition of smooth muscle cell proliferation in these vessels.

Cho YK et al. [175], shown that valproic acid blocks RV cardiac hypertrophy in response to PA banding, as well as in the setting of PH caused by MCT-induced lung injury. Furthermore, Cavasin et al. [166] demonstrated that HDACi suppress hypoxia-induced cardiopulmonary remodeling through an antiproliferative mechanism.

In agreement with previous published findings, we also demonstrated that RV hypertrophy induced by MCT was blunted by BU. In fact, RV/LV+S ratio and the thickness of RV were significantly reduced in MCT+BU group compared to MCT-group.

Akhavein F. et al. demonstrated that MCT has effect on the myocardium or coronary vessels per se. Myocytes of MCT-treated animals showed degenerative changes, fragmentation, coagulative myocytolysis, and necrosis [176]. However, the presence of such myocardial changes in the right ventricle could be explained as a consequence of myocardial injury resulting from PH. Our preliminary results suggested that BU strongly reduced myocytolysis in RV. Further experiments are required to clarify whether these results of HDACi are due to a direct action on heart tissue or they are consequence of pulmonary pressure reduction.

Very recently, Zhao et al [157] reported that protein levels of HDAC1 and 5 were elevated in patients with idiopathic PAH, and that HDACi were able to mitigate the development of hypoxia induced PH in rats and exerted anti-proliferative effects on human and animal. However, the intimate molecular mechanisms underlying the HDACi action remain to be largely unraveled.

It has been shown that BU inhibits HDAC classes I, IIa and IV [148]. This compound leads to growth arrest, differentiation of leukemic cells and induces apoptosis following the deterioration of the anti-apoptotic protein Bcl-2 [149, 150]. The apparent lack of clinical efficacy may be explained by the low plasma levels of sodium butyrate due to its short half-life *in vivo* [151].

Albeit Cavasin et al. supported the hypothesis that isoform-selective HDAC inhibition could be safer than general HDAC inhibition in the setting of RV pressure overload. BU is well tolerated by humans, thus highlighting the translational potential of the present findings.

## 5.3. Patients' blood related studies

To date, PBMCs have been used to identify PH specific genes [95] as well as distinguishing between IPAH and SSc-PAH [177]. In general, however, these studies have shown considerable heterogeneity when examining directly the contrast in gene expression profiles in PBMC between SSc-PAH and SSc patients [178, 179].

Current 'next-generation' sequencing (NGS) technologies measure gene expression by generating short reads or sequence tags, that is, sequences of 35–300 base pairs that correspond to fragments of the original RNA.

There are a number of technologies and many different protocols, in this study we used Super-SAGE with SOLiD<sup>™</sup> platform. This technique was found to be more quantitatively reproducible compare to microarray technique [180]. The sequencing requirement of SAGE gives it a unique advantage. Its digital database facilitates direct comparisons between SAGE libraries. In contrast, comparing microarray experiments may be more difficult due to a number of random and systematic errors between different investigators or laboratories [181]. In addition, it allow to valid results also starting from a small number of libraries.

In this study, we demonstrate significant differences in gene expression of peripheral blood cells between PAH patients and Healthy subjects.

In particular, we define a panel of genes with significant differential expression for each comparison, including n-resp IPAH vs Healthy, resp IPAH vs Healthy, and HPAH vs Healthy.

The overlapping analysis allow to define shared and unique genes for different conditions.

During the course of this study we developed an algorithms for a wide ranging analysis in patients. Statistical methods are developed by Genomnia srl. for estimating biological variation on a

genewise basis and separating it from technical variation. A limitation of this study include the small sample size of our patients groups, due to a restricted inclusion criteria as well as the absence of specific PAH therapy. Indeed, most frequently patients referred to SSD PH Center of S. Orsola-Malpighi Hospital (Bologna, Italy) are not naïve for PAH therapy. On the other hand, this criteria is a strong point of the study, actually to date there are no studies considering this condition.

An empirical approach has been developed for sharing information between genes, allowing for gene-specific variation even when only a few biological replicates are available. Furthermore, we will addressed this issue by confirming a number of identified genes in a separate, larger, and more diverse validation group of patients and healthy subjects.

Future analysis are required to validate selected genes with significant differential expression, as well as real time PCR. If the changes found in PBMCs phenotype can be related back to the pathobiology of the disease, they may allow to find the future therapeutic targets. Furthermore, thanks to naïve condition differentially expressed genes could represent markers of prognosis during the therapy administration.

# 6. Conclusions

Despite significant advances in the elucidation of genetic basis for some patients and despite progresses in PAH therapy, the prognosis remains poor. The current treatment strategy, optimized in recent guidelines [3], remains inadequate. In fact, the mortality rate continues to be high, and the functional and hemodynamic impairments are still extensive in many patients. Prior to the advent of modern therapies, life expectancy for adults with idiopathic PAH was 3 years from diagnosis; for children, it was 10 months [2]. The specific drugs approved for PAH are able to slow the progression of the disease, but cannot be considered a cure for the majority of patients [1].

Within this context, the development of treatments that may afford a reverse remodeling of vascular architecture and biology in PAH would have relevant biomedical implications.

While additional studies are required to dissect the intimate mechanism(s) of the effects of HDAC inhibitors on Akt dynamics and the patterning of other nonhistone proteins, the present findings on the BU action highlight a new role for an old molecule. BU ability to behave as a fine tuner of a crucial protein kinase conveys features characteristic of cell survival, proliferation and memory.

In vivo preliminary studies in rats suggested that BU has in vivo efficacy in reversing PAH induced by MCT treatment. From such a view angle, BU can also be conceived as a molecule with a "one component-multiple target logics", paving the way to novel perspective(s) in the clinical use of HDACi in PAH.

Besides, SAGE combined with a NGS technique revealed differences in PBMCs profiling of naïve patients and healthy subjects. This suggests that PBMCs could be an interesting surrogate of tissue useful to find new molecular targets and/or biomarkers involved in PAH. Unlike lung tissue obtained from explants, the high accessibility of this cell population allow to study disease even at early stages.

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