Pathogenetic mechanisms in mitochondrial optic neuropathies

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Abstract
Leber’s hereditary optic neuropathy (LHON) and Autosomal Dominant Optic Atrophy (ADOA) are the two most common inherited optic neuropathies and both are the result of mitochondrial dysfunctions. Despite the primary mutations causing these disorders are different, being an mtDNA mutation in subunits of complex I in LHON and defects in the nuclear gene encoding the mitochondrial protein OPA1 in ADOA, both pathologies share some peculiar features, such as variable penetrance and tissue-specificity of the pathological processes.

Probably, one of the most interesting and unclear aspect of LHON is the variable penetrance. This phenomenon is common in LHON families, most of them being homoplasmic mutant. Inter-family variability of penetrance may be caused by nuclear or mitochondrial ‘secondary’ genetic determinants or other predisposing triggering factors.

We identified a compensatory mechanism in LHON patients, able to distinguish affected individuals from unaffected mutation carriers. In fact, carrier individuals resulted more efficient than affected subjects in increasing the mitochondrial biogenesis to compensate for the energetic defect. Thus, the activation of the mitochondrial biogenesis may be a crucial factor in modulating penetrance, determining the fate of subjects harbouring LHON mutations. Furthermore, mtDNA content can be used as a molecular biomarker which, for the first time, clearly differentiates LHON affected from LHON carrier individuals, providing a valid mechanism that may be exploited for development of therapeutic strategies. Although the mitochondrial biogenesis gained a relevant role in LHON pathogenesis, we failed to identify a genetic modifying factor for the variable penetrance in a set of candidate genes involved in the regulation of this process. A more systematic high-throughput approach will be necessary to select the genetic variants responsible for the different efficiency in activating mitochondrial biogenesis. A genetic modifying factor was instead identified in the MnSOD gene. The SNP Ala16Val in this gene seems to modulate LHON penetrance, since the Ala allele in this position significantly predisposes to be affected. Thus, we propose that high MnSOD activity in mitochondria of LHON subjects may produce an overload of H$_2$O$_2$ for the antioxidant machinery, leading to release from mitochondria of this radical and promoting a severe cell damage and death.

ADOA is due to mutation in the OPA1 gene in the large majority of cases. The causative nuclear defects in the remaining families with DOA have not been identified yet, but a small number of families have been mapped to other chromosomal loci (OPA3, OPA4, OPA5, OPA7, OPA8). Recently, a form of DOA and premature cataract (ADOAC) has been associated to pathogenic mutations of the OPA3 gene, encoding a mitochondrial protein. In the last year OPA3 has been investigated by two different groups, but a clear function for this protein and the pathogenic mechanism leading to ADOAC are still unclear.
Our study on OPA3 provides new information about the pattern of expression of the two isoforms OPA3V1 and OPA3V2, and, moreover, suggests that OPA3 may have a different function in mitochondria from OPA1, the major site for ADOA mutations. In fact, based on our results, we propose that OPA3 is not involved in the mitochondrial fusion process, but, on the contrary, it may regulate mitochondrial fission. Furthermore, at difference from OPA1, we excluded a role for OPA3 in mtDNA maintenance and we failed to identify a direct interaction between OPA3 and OPA1. Considering the results from overexpression and silencing of OPA3, we can conclude that the overexpression has more drastic consequences on the cells than silencing, suggesting that OPA3 may cause optic atrophy via a gain-of-function mechanism. These data provide a new starting point for future investigations aimed at identifying the exact function of OPA3 and the pathogenic mechanism causing ADOAC.
Introduction
Mitochondria

Mitochondria are key organelles in life and death of eukaryotic cells, since they are the main players in many cellular processes such as energy production, apoptosis, reactive species of oxygen (ROS) production, thermogenesis and calcium homeostasis.

According to the endosymbiotic theory, these organelles originated from aerobic bacteria, incorporated into an eukaryotic cell ancestor and maintained during the evolution (Margulis, 1975). Mitochondria are the only cytoplasmic organelles containing their own DNA, in multiple copies, which encodes a small number of proteins, essential for functioning of oxidative phosphorylation (OXPHOS) (Anderson et al., 1981).

In the last 20 years several human disorders including neurodegenerative diseases, cancer and diabetes, have been shown to be due to mitochondrial dysfunctions. Furthermore, the mitochondrial dysfunction may be involved also in the natural process of aging (DiMauro and Schon, 2003; Wallace, 2005).

Mitochondrial structure

Mitochondria are double-membrane organelles. This complex double membrane system is composed by the outer membrane (OM) and the inner membrane (IM), which separates the inner membrane space (IMS) from the matrix space. The OM is highly permeable, containing many pores for small molecules transit, whereas the IM is impermeable to most small molecules and ions, being responsible for the maintenance of the electrochemical gradient between the matrix and the IMS (Nicholls 2002 ???). The IM is organized in different compartments, the peripheral inner membrane and lamellar double-membrane interdigitations called cristae . These compartments are joined by a limited number of discrete sites called cristae junctions (Frey and Mannella, 2000; Perkins et al., 2001) (Fig. 1). Since the IM is the site of OXPHOS, the morphology of cristae and cristae junctions can have profound implications in the rate of ATP production (Perotti et al., 1983; D'Herde et al., 2001). Moreover, the number and shape of these structures can also limit the diffusion of cytochrome c by compartmentalization and consequently regulate the propensity to cell death (Bernardi and Azzone, 1981; Scorrano, 2002).
The schematic shows the structure of mitochondria. A transmission electron microscopy image of mitochondria in ultrathin sections of human fibroblast cells is also shown.

The oxidative phosphorylation

Mitochondria are commonly referred as “the energy powerhouse” of the cell, since they produce most of the energy required from the cell in the form of ATP. In fact, they are the site of the electron transport chain and the OXPHOS system and through this they oxidizes hydrogen derived from our dietary carbohydrates (TCA cycle) and fats (β-oxidation) with oxygen to generate heat and ATP.

The OXPHOS system is composed of five multimeric enzymatic complexes (I-V) and consists of approximately 90 subunits, 13 encoded by the mitochondrial DNA (mtDNA). All the complexes are integrated in the lipid bilayer of the mitochondrial IM and together with two mobile electron carriers, ubiquinone (CoQ) and cytochrome c (cyt c), they make up the electron transport chain (Fig. 2). The electron transport is coupled to the generation of a proton gradient across the IM, which is used by Complex V to synthesize ATP from ADP and inorganic phosphate (Saraste 1999).

Complex I (NADH dehydrogenase) is the largest of the respiratory complexes and is composed approximately of 45 subunits, 7 encoded by mtDNA (Carroll et al., 2002). The enzyme transfers electrons from nicotinamide adenine dinucleotide (NADH) to CoQ generating ubiquinol (CoQH$_2$), with the simultaneous translocation of four protons across the IM into the inner membrane space (Nicholls and Ferguson, 2002).

Complex II (succinate dehydrogenase) is the only respiratory enzyme completely encoded by nuclear DNA (nDNA) and it is composed of 4 subunits. Complex II is an alternative source of CoQH$_2$, which is produced by the electrons transfer from flavin adenine dinucleotide (FADH$_2$) to
CoQ (Rustin et al., 2002). A third further source that transfers electrons to CoQ to generate ubiquinol is glycerol 3-phosphate dehydrogenase.

Complex III (ubiquinol cytochrome c oxidoreductase) has only one mtDNA-encoded subunit, cytochrome b and 10 nDNA-encoded subunits (Berry et al., 2000).

This complex transfers two electrons from CoQH$_2$ to cyt c, which then shuttles the electrons to Complex IV (cytochrome c oxidase, COX). Complex III couples electron transfer to the translocation of two protons across the IM.

Complex IV is the terminal component of the respiratory chain, composed of 13 subunits, three of them encoded by mtDNA. Through Complex IV four electrons are transferred to two molecules of oxygen, the final acceptor, producing water. During this reaction four protons are translocated from the matrix side to the inner membrane space (Schultz and Chan, 2001). The electrochemical gradient, due to the flow of the electrons through the respiratory chain, is finally used by Complex V (ATP synthase) to generate ATP (Mitchell, 1961).

**Figure 2 – Mitochondrial respiratory chain** (Bellance et al., Front. Biosc. 2009)

Complex V is composed of a membrane-bound portion (F$_0$) and a large extra-membranous portion (F$_1$), exposed to the matrix space. Of 17 subunits, only two subunits of this complex are encoded by the mtDNA and they take part to the F$_0$ (Abrahams et al., 1994). The ATP synthesized is exported across the inner mitochondrial membrane by an exchange mechanism, importing cytosolic ADP by the adenine nucleotide translocator (ANT).
Reactive Oxygen Species (ROS) production

Mitochondria are the major source of ROS under normal physiological conditions, with superoxide radicals being the primary ROS produced by these organelles. Complex I and complex III are the major superoxide ($O_2^-$)-producing sites in mitochondria (Lenaz, 1998). The $O_2^-$ is rapidly converted into hydrogen peroxide ($H_2O_2$) by manganese superoxide dismutase (MnSOD) and is further metabolized by glutathione peroxidise ($GP_X$) to $H_2O$. In the presence of transition metals, the $H_2O_2$ can also form the hydroxyl radical ($OH$) through the Fenton reaction. Moreover, $O_2^-$ may produce peroxynitrite (ONOO$^-$) reacting with nitric oxide (NO$^-$).

ROS play an important role in regulating several cellular processes, including apoptosis and immune response, and act as second messengers in cellular signaling. ROS affect these normal cellular functions by altering the activities of various tyrosine and serine/threonine kinases, mitogen activated protein kinases, and transcription factors (Halliwell and Gutteridge, 1992; Rhee, 2006). Oxidative damage to DNA causes potentially mutagenic modifications, possibly contributing to cancer, premature ageing and neurodegenerative diseases. In particular, due to the peculiar structure, mtDNA is very susceptible to ROS attack and the oxidative damage is much higher than that in the nuclear DNA. Therefore, ROS induced damage is the principal cause of mitochondrial genomic instability leading to respiratory dysfunctions (Bohr, 2002).

Excessive ROS production may cause local damage to the Fe-S clusters of respiratory enzymes (complexes I, II and III), as well as to tricarboxylic acid cycle enzymes (aconitase). Moreover, peroxynitrite can nitrate tyrosine residues or thiolic groups of nearby proteins and both complex I and MnSOD have been reported to be damaged by this process (Rotig et al., 1997; Melov et al., 1999). Oxidized proteins are recognized by proteases and degraded.

Lastly, another important damaging process is lipid peroxidation; this affects vital mitochondrial functions, such as respiration and oxidative phosphorylation, inner membrane barrier properties, maintenance of mitochondrial membrane potential ($\Delta \psi m$), and mitochondrial Ca2+ buffering capacity (Zhang et al., 1990; Albano et al., 1991; Bacon et al., 1993). In particular, cardiolipin (CL), a phospholipid located at the level of the IMM and known to be involved in mitochondrial-dependent apoptosis and mitochondrial stability and dynamics (Perier et al., 2005; Ban et al., 2010; Paradies et al., 2010), is particularly prone to peroxidative attack by ROS. CL peroxidation has been shown to play a critical role in several physio-pathological situations (Paradies et al., 2010).

Many enzyme systems exist in the cell to detoxify ROS. Superoxide dismutases (SODs) are the primary ROS scavenging enzymes of the cell and catalyze the dismutation of superoxide radicals to hydrogen peroxide and molecular oxygen. Three forms of SOD, encoded by different genes, exist in cells. Homodimeric copper- and zinc-containing SOD (CuZnSOD, SOD1) is localized primarily in
the cytoplasm, but a portion of it is also found in mitochondria, concentrated in the IMS (Kawamata and Manfredi, 2010). Extracellular SOD (ECSOD, SOD3), which shares 40–60% amino acid homology with CuZnSOD and has copper and zinc in its active site, is found in the extracellular region of the cell. Manganese-containing SOD (MnSOD, SOD2) is a homotetramer located in the mitochondrial matrix (Holley et al., 2010).

Mitochondria use two major enzyme systems to decompose hydrogen peroxide into water and molecular oxygen. Glutathione peroxidase (GPx) exists in two forms in mitochondria: GPX1 and phospholipid-hydroperoxide GPX (PHGPx). GPX1 is localized mainly in the mitochondrial matrix, whereas PHGPx is found primarily in the inner membrane of mitochondria. These enzymes use reduced glutathione (GSH) to reduce hydrogen peroxide to water with simultaneous formation of glutathione disulfide (GSSG).

The inactivation of hydrogen peroxide through the production of water and molecular oxygen is also catalyzed by catalase (CAT), an enzyme located in peroxisomes or in cytoplasm (Forsberg et al., 2001a).

Peroxiredoxin (PRX) also detoxifies hydrogen peroxide to water using thioredoxin as a reducing agent. Two forms of PRX are found in mitochondria (PRX III and PRX V), and both reside in the mitochondrial matrix (Holley et al., 2010).

**Mitochondrial pathways of apoptosis**

Apoptosis is a form of programmed cell death essential for homeostasis, which is frequently dysregulated in human pathologies such as cancer, neudegenaritive diseases or viral infections (Meier et al., 2000; Vaux and Korsmeyer, 1999). The defining morphological characteristics of apoptosis include cell shrinkage, nuclear fragmentation, chromatin condensation and membrane blebbing, all of which are due to the proteolytic activity of the caspase proteases. Caspases orchestrate apoptosis through the cleavage of numerous proteins, ultimately leading to the phagocytosis of the apoptotic cell, without any release of cytoplasmic content into the extracellular matrix or inflammatory response induction (Kerr et al., 1972; Taylor et al., 2008).

In eukaryotic cells, two major pathways of apoptosis are distinguished. The “extrinsic” pathway is triggered by the activation of death receptors of the TNF/Fas family, whereas the “intrinsic” pathway involves mitochondria and is activated by many stimuli as cytotoxic stress, DNA damage and growth factor deprivation (Jourdain and Martinou, 2009). The mitochondrial pathway is a complex signalling cascade, regulated by the Bcl-2 family proteins, which needs the release of apoptogenic factors from mitochondria to switch on the caspase activation.
The intrinsic pathway can be divided in three well defined phases: induction, mitochondrial and execution phases.

During the induction phase external and internal stimuli activate different signalling pathways and this signal is transduced to mitochondria by Bcl-2 family proteins. This family includes more than 30 members, which share a high degree of homology although they have different functions, being either pro-apoptotic or anti-apoptotic (Jourdain and Martinou, 2009). Anti-apoptotic proteins have usually four Bcl-2 homology (BH) domains (Bcl-2, Bcl-xL, Bcl-W and Mcl-1), whereas pro-apoptotic proteins display either three BH domains (BH1,2,3 : Bax and Bak) or only one (BH3-only: Bid, Bad, Bim, Noxa and Puma), corresponding to the most numerous proteins (Adams and Cory, 1998; Kroemer et al., 2007; Youle and Strasser, 2008).

The second apoptotic step is characterized by an alteration of the OM and a release of apoptogenic factors in the cytosol. This process is still debated and currently there are at least two hypothesis put forward to explain this phenomenon, involving two different channels. These channels are the permeability transition pore (PTP) in the IM and the mitochondrial apoptosis-induced channel (MAC) in the OM. Whatever is the mechanism of the mitochondrial permeabilization, the final result is the release of apoptogenic factors (cytochrome c, AIF, endonuclease G, Smac/DIABLO and Omi/HtrA2) (Eskes et al., 2000; Suzuki et al., 2000; van Gurp et al., 2003; Zamzami and Kroemer, 2001) (Fig. 3).

The last step in apoptosis is the executive phase and the major players are specific proteases called caspases (cysteine aspartyl-specific proteases) that cleave their substrates at aspartic acid (Asp) residues (Thornberry et al., 1998; Cryns and Yuan, 1999). This family of intracellular proteases is composed in humans at least of 12 members, even if not all directly involved in apoptosis, sharing an high sequence homology and substrates specificity. Caspases are produced as inactive zymogens with three domains: a regulatory N-term, and two highly conserved catalytic domains. They can be activated by proteolytic cleavage at conserved Asp residues and can cooperate in proteolytic cascades, where caspases activate themselves and each other, and finally cleave their substrates. Caspases have as substrates several proteins with structural and enzymatic functions that need to be cleaved to continue and conclude the apoptotic process (Taylor et al., 2008).
The mitochondrial genome

Human mtDNA is a double-stranded, circular molecule of 16569 bp, present in multiple copies within the cell. The two strands of mtDNA, based on their nucleotide composition, can be separated in a cesium chloride gradient and are therefore called the “heavy strand” (H-strand), rich in guanine, and the “light strand” (L-strand), rich in cytosine (Fernández-Silva et al., 2003). The mtDNA sequence is completely elucidated and contains 37 genes: 13 genes encoding for subunits of the respiratory chain, 22 tRNA and 2 rRNA necessary for translation of these proteins (Anderson et al., 1981) (Fig. 4).
In spite of the nuclear genome, the mtDNA has no repetitive sequences, introns or intergenic regions. Only two non-coding regions exist in this genome, and they contain most of the known regulatory functions. The major one is the D-loop (displacement loop), characterized by the presence of a triple strand structure due to the association of the new H-strand in this region (Fernández-Silva et al., 2003). The D-loop contains the origin of H-strand DNA replication (O_H) and is also the site of transcription from opposing heavy and light strand promoters (Clayton, 2000; Scarpulla, 2008). The second non-coding region is located in a cluster of five tRNA genes around two thirds of the mtDNA length from the O_H (Anderson et al., 1981; Fernández-Silva et al., 2003).

Within mitochondria, the mtDNA molecules are packaged in DNA-protein complexes called nucleoids (Legros et al., 2004; Wang and Bogenhagen, 2006), which provide a submitochondrial organization of mtDNA, allowing for efficient maintenance of mtDNA in discrete segregating units (Gilkerson, 2009).
This peculiar organization not only protects the mtDNA from various insults, but is also likely to put constraints on any transactions involving the DNA, such as replication, repair and transcription (Spelbrink et al., 2010). Several proteins have been suggested to be part of the mitochondrial nucleoids, but the composition and structure of these proteins have not been fully elucidated. Proteins identified have been divided into different groups: proteins involved in mtDNA maintenance, chaperon proteins and proteins involved in intermediary metabolism, membrane transport and interaction with the cytoskeleton (Wang and Bogenhagen, 2006; Kaufman et al., 2007). The major nucleoid component is the mitochondrial transcription factor A (Tfam) (Bogenhagen et al., 2008; Garrido et al., 2003). In its active form Tfam is a homodimer that can bind, unwind and bend DNA, without sequence specificity, coordinating in this way the fully compaction of several DNA molecules together to form the mitochondrial nucleoids (Kaufman et al., 2007).

Mitochondrial genetics

Mitochondrial genetics follows its specific rules and shows a series of peculiarities and differences compared to the nuclear genome:

1. Mammalian cells contain hundreds of mitochondria and, in turn, each mitochondrion contains several (2-20) copies of mtDNA. The condition where all mtDNA molecules are identical in sequence (wild type or mutant) is called homoplasmy, whereas the case in which molecules of mtDNA differing in their sequence coexist in the same cell is called heteroplasmy. Since mitochondria and their genomes are randomly distributed to daughter cells during cells division, starting from a given heteroplasmic situation, different levels of heteroplasmy can segregate into different cell lineages. Thus, considering heteroplasmic pathogenic mutations, a minimal critical percentage of mtDNA molecules have to be mutated to exert the pathogenic effect (threshold effect). The threshold is also dependent on the tissue energy requirement, since high energy demand tissues are more vulnerable to mtDNA mutations (DiMauro and Schon, 2006).

2. The mitochondrial genome is maternally inherited; the few mitochondria deriving from the sperm cells that could enter the oocyte during the fertilization are completely degraded in a ubiquitin-dependent mechanism (Sutovsky and Moreno, 1999; Sutovsky et al., 2000). During
the oogenesis only a small subset of mtDNA molecules are amplified and transmitted to the offspring; this phenomenon is known as “bottleneck” and can explain the rapid shift of some heteroplasmic mutation to homoplasmy in few generations (Marchington et al., 1998).

3. The evolution rate of mtDNA is much faster than that of the nuclear DNA (Brown et al., 1979). This high mutation rate and the maternal inheritance have made the study of mtDNA sequence interesting for human population genetics and evolutionary studies (Stoneking, 1994). A great number of mtDNA variants have been fixed and accumulated characterizing different maternal lineages. The mitochondrial haplogroup is defined by different clusters of population-specific polymorphisms, present both in coding and control regions. The mitochondrial haplogroups usually tend to be restricted to particular geographic areas and populations. The most of European population (95%) belongs to haplogroup H, I, J, K, M, T, U, V, W or X (Torroni et al., 1996). The mitochondrial haplogroups have been often investigated for the possible association with multifactorial disease and aging, based on the assumption that any non-synonymous variant may have functional relevance.

4. Mitochondrial genes are translated using a specific genetic code, different from the universal genetic code. Thus, in mammals, UGA specifies tryptophan instead of a termination codon, AUA, AUC and AUU are used as translation and integration initiation codons and AGA and AGG are termination codons instead of encoding arginine. In addition, a simplified codon–anticodon pairing system allows translation to proceed with only 22 tRNAs (Attardi and Schatz, 1988).

Mitochondrial DNA replication, transcription and translation

Mitochondrial DNA replication takes place in the mitochondrial matrix and, differing from nuclear DNA replication, is independent from cell cycle (relaxed replication) and some mtDNA molecules are preferentially replicated while others do not replicate at all (Clayton, 2003). Mitochondrial genome copy number per cell is kept at a relatively constant level in a proliferating cell culture for a given cell type; however, depending on the tissue/cell-type, mtDNA copy number has been shown to vary, with highest levels being present in the most energy demanding tissues such as muscle, liver, brain and pancreatic islets and in the ovum (Moraes, 2001; Shoubridge, 2000; Mao and Holt, 2009).

The mtDNA replication system requires at least three proteins: the polymerase γ (POLγ), the helicase TWINKLE and the mitochondrial single-stranded DNA-binding protein (mtSSB). These three proteins together form a processive replisome, able to replicate the entire mtDNA (Falkenberg and Larsson, 2007). POLγ is an RNA dependent DNA polymerase and in human is a heterotrimer
composed by a catalytic subunit (POLγA, 140kDa), with polymerase, 3’-5’exonuclease, and 5’-deoxyribose phosphate lyase activities, and two smaller accessory subunits (POLγB, 55kDa), able to increase the catalytic activity of POLγA (Gray and Wong, 1992; Pinz and Bogenhagen, 1998; Kaguni, 2004; Pinz and Bogenhagen, 2000).

The mtDNA replication mechanism is still unclear and at least two models has been proposed and currently debated (Fig. 5). The strand-displacement model describes mtDNA replication as an asynchronous displacement mechanism involving two unidirectional, independent origins. In this model replication starts from the origin of replication of the H-strand (OH), proceeds along the parental L-strand to produce a nascent H-strand (leading strand). When H-strand has reached two thirds of genome, the parental H-strand is displaced, the origin of L-strand (OL) is exposed, and lagging strand synthesis starts and proceeds in the opposite direction, producing the L-strand. Replication is completed when the primers are removed and the completed DNA molecules are ligated (Clayton, 1991; Falkenberg and Larsson, 2007).

The more recent proposed model suggests that mtDNA replicates symmetrical, with leading and lagging strands synthesis progressing from multiple bidirectional replication forks, in a specific initiation site that includes cyt b and ND5-6 genes (Holt et al., 2000; Yang et al., 2002; Bowmaker et al., 2003).

Moreover, a novel major replication origin has been found at position 57 in the D-loop region, probably responsible for mtDNA maintenance under steady-state conditions, while the previously characterized origins may be more important for recovery after mtDNA depletion and to improve the DNA synthesis in response to certain stimuli (Fish et al., 2004).

Mitochondrial transcription produces three polycistronic molecules, starting from three different transcription origins, one for L-strand and two for the H-strand (Montoya et al., 2006). The primary transcripts are processed, according to the “tRNA punctuation” model, to generate the mature RNAs after an endonucleolytic cleavage, triggered by the maturation of tRNAs secondary structure (Montoya et al., 1983; Ojala et al., 1981).

The proteins required for the transcription process are the RNA polymerase mtRPOL, the initiations factors Tfam, TFB1M and TFB2M, and the termination factor mTERF. Interestingly, Tfam levels correlate well with mtDNA copy number, suggesting that it can function as a limiting determinant of mtDNA abundance (Scarpulla, 2008).
The mitochondrial mRNAs are translated in the matrix with a specific translational machinery, the mitoribosomes, and using the mitochondrial genetic code. The mitoribosomes are composed by two mitochondrial rRNAs (12s and 16s) and nuclear encoded proteins (Fernández-Silva et al., 2003).

**Mitochondrial biogenesis**

Mitochondrial biogenesis is a complex process involving the coordinated expression of both nuclear and mitochondrial genes. Since the protein coding capacity of mtDNA is restricted to the expression of 13 respiratory subunits, nuclear genes play a predominant role in the biosynthesis of the respiratory chain and in the expression of the mitochondrial genome. Although the complete pathways controlling mitochondrial biogenesis has not been elucidated, in the last few years our
understanding about this process is much improved. The expression of mitochondrial proteins encoded by nuclear genome participating in oxidative phosphorylation, heme biosynthesis, mitochondrial protein import, and mtDNA transcription and replication, is regulated by transcription factors and transcriptional coactivators (Diaz and Moraes, 2008; Scarpulla, 2006).

The most important transcription factors involved in the mitochondrial-nucleus communication are the nuclear respiratory factors 1 and 2 (NRF-1, NRF-2) (Virbasius et al., 1993) and the estrogen-related receptor (ERRα) that cooperate with the transcriptional coactivators belonging to the peroxisome proliferator-activated receptor γ-coactivator 1 (PGC-1) family (PGC-1α, PGC-1β, PRC) (Scarpulla, 2002).

**The PGC-1 coactivators family**

This family is composed by three members sharing a sequence homology and regulating several metabolic pathways such as cellular respiration, thermogenesis and hepatic glucose metabolism (Scarpulla, 2006; Kelly and Scarpulla 2004). Although all these factors can stimulate mitochondrial biogenesis, PGC-1α is mainly involved in the regulation of gluconeogenesis and PGC-1β in the regulation of β-oxidation of fatty acids, and PRC in the coordination of nuclear and mtDNA replication during the cell cycle progression (Lin et al., 2003; Ling et al., 2004; Diaz and Moraes, 2008).

The three proteins show conserved domains with well characterized features, such as a transcriptional activation domain with the major nuclear hormone receptor-interacting (LXXLL) in the N-terminus and an RNA-binding motif, able to enhance the RNA splicing, and a serine-arginine-rich (RS) domain in the C-terminus (Fig. 6).

PGC-1α exhibits a tissue-enriched expression pattern and is highly inducible. This coactivator is enriched in tissues with high-capacity mitochondrial system, as brown fat, heart, oxidative skeletal muscle fibres; moreover PGC-1α is rapidly induced by cold exposure, short-term exercise and fasting, conditions known to increase the demand of ATP and heat from mitochondria. All these observations suggest a prominent role of PGC-1α in the physiologic control of mitochondrial function (Kelly and Scarpulla, 2004).
In the last few years several lines of evidence proved the key role of PGC-1α in regulating mitochondrial biogenesis in mammals: the activation of mitochondrial uncoupling protein-1 (UCP-1) (Puigserver et al., 1998), the induced expression of NRF-1, NRF-2, Tfi (Wu et al., 1999) and the mitochondrial proliferation in skeletal muscle accompanied by the switch in fibre type composition (from glycolytic type to oxidative type) in transgenic mice overexpressing PGC-1α (Lin et al., 2002b).

Multiple PGC-1α targets have now been identified such as PPARα (Vega et al., 2000), thyroid hormone receptor, retinoid receptors, glucocorticoid receptors, estrogen receptor, estrogen-related receptors (ERRs) and several non-nuclear partners including myocyte-enhancing factor 2 (MEF-2) and FOX-01 (Kelly and Scarpulla, 2004).

PGC-1α gene expression can be modulated by several pathways in response to different stimuli (Fig. 7):

- In adipocyte, in response to cold exposure, β-adrenergic receptors are activated. This causes the signal transduction via protein G and adenylate cyclise, associated with an increase of cAMP concentration. The subsequently PKA (Protein kinase A) activation induces the phosphorylation of CREB or ATF2 (Activating Transcription Factor 2) and PGC-1α transcription.
• During fasting, the activation of CREB and subsequent PGC-1α increase can induce the gluconeogenetic pathway in mouse liver (Yoon et al., 2001).

• Prolonged exercise in vivo or an increase of Ca^{2+} levels in myotubes can promote a strong activation of PGC-1α by activation of AMPK (AMP-activated protein kinase) and CaMK (calcium/calmodulin-dependent protein kinase). MEF-2 induction via calcineurin A is also involved in PGC-1α activation in skeletal muscle (Zong et al., 2002; Handschin et al., 2003).

• Nitric oxide (NO) generation can also activate PGC-1α transcription through the pathway of cGMP signaling (Nisoli et al., 2003; Nisoli and Carruba, 2006).

**Figure 7 – Different signaling pathways regulated by PGC-1α** (Scarpulla, Physiol. Rev. 2008).

PGC-1α can be stabilized through phosphorylation in three sites (Thr262, Ser265, Thr298) by p38 mitogen-activated protein kinase (p38 MAPK) (Puigserver et al., 2001) and repressed by interaction with p160 myb-binding protein (Fan et al., 2004); lastly, it can be activated through deacetylation operated by Sirt1 (Rodgers et al., 2005).

PRC (PGC-1-related coactivator) was the first PGC-1α relative identified through a database search. PRC has several domains homologous to PGC-1α (Fig. 6) and functional studies indicated that it is able to regulate mitochondrial function in a manner similar to PGC-1α. PRC interacts directly with NRF-1, promoting its activation, and furthermore it can activates the transcription of cyt c, a NRF-1
target, through the cooperation with others factors including CREB. Although PRC shares similar features with PGC-1α, it presents also some differences: it is ubiquitously expressed, is only slightly induced in response to cold exposure and is cell-cycle-regulated (Andersson and Scarpulla, 2001). The third member of the family, PGC-1β, was also identified through database searching and it shows a greater degree of homology to PGC-1α than PRC (Fig. 6). The expression pattern of PGC-1β is very similar to that of PGC-1α, being enriched in heart and brown adipose tissue. This coactivator is induced by fasting, but not in response to cold exposure and is able to coordinate mitochondrial biogenesis inducing NRF-1 target genes (Kressler et al., 2002; Lin et al., 2002a).

**Nuclear respiratory factors (NRF-1 and NRF-2)**

NRF-1 is a transcription factor that recognizes directly a palindromic sequence (5'-YGCGCAYGCGR-3') in the promoter of several nuclear encoded mitochondrial genes (Chau et al., 1992; Evans and Scarpulla, 1990). This transcription factor binds the recognition site as an homodimer and is a protein of 503 amino acids, with a N-terminal Ser-phosphorylation domain, a central DNA binding domain and a C-terminal transactivation domain (Scarpulla, 2008). NRF-1 has been associated with the expression of many genes required for mitochondrial respiratory function, including the vast majority of nuclear genes that encode subunits of the five OXPHOS complexes. Moreover, there are several evidences supporting the idea that NRF-1 could be an integrative factor that coordinates respiratory subunit expression with the mitochondrial transcriptional machinery. NRF-1 binds and activates not only the promoters of Tfam and TFB, but also genes of the respiratory chain complexes, heme biosynthesis, and mitochondrial transmembrane transporters (Scarpulla, 2008).

Human NRF-2 is composed of five subunits, a DNA-binding α subunit and four others accessory subunits (β1, β2, γ1 and γ2) that form a complex with α subunit do not bind DNA alone. All the accessory subunits contain a transcriptional activation domain. NRF-2 binding sites contain the GGAA core motif, found in many mitochondrial genes promoters, such as all 10 nuclear encoded cytochrome oxidase subunits, Tfam, the two isoforms of TFB and three subunits of SDH (Scarpulla, 2008; Larsson et al., 1998; Rantanen et al., 2001; Falkenberg et al., 2002).

**Mitochondrial network morphology and dynamics**

Mitochondria are dynamic organelles able to change number and shape in living cells during development, mitosis, and in response to physiological or toxic conditions (Johnson and Asbury, 1980; Catlett and Weisman, 2000; Malka et al., 2005). In many eukaryotic cell types, mitochondria
continuously move along cytoskeletal tracks and frequently fuse and divide. The antagonistic and balanced activities of the fusion and fission machineries shape the mitochondrial compartment, allowing the cell to respond to its ever-changing physiological conditions (Westermann, 2010).

A shift toward fusion enables the cell to build an extended and interconnected mitochondrial network, whereas a shift toward fission generates many distinct organelles (Fig. 8). The large mitochondrial network generated by fusion is beneficial in metabolically active cells, in which it avoids the dissipation of energy (Skulachev, 2001). Furthermore, the connectivity of the mitochondrial network is an important factor that determines the cellular response to calcium signals (Szabadkai et al., 2006), and fusion of mitochondria is an essential step in certain developmental processes such as embryonic development (Chen et al., 2003) and spermatogenesis (Hales and Fuller, 1997). Loss of fusion has been linked to reduced respiratory activity, embryonic lethality, apoptosis and neurodegeneration (Okamoto and Shaw, 2005; Detmer and Chan, 2007; Suen et al., 2008).

Moreover fusion is postulated to promote a protective biological function by allowing the exchange of mitochondrial contents, an activity that is thought to be a defence mechanism against aging (Chen and Chan, 2006; Chan, 2007).

In quiescent cells mitochondria are usually present like numerous small spheres or short rods (Collins et al., 2002). Mitochondrial fission also plays a key role in cell life and death. Since growth and division of pre-existing organelles propagate mitochondria, mitochondrial inheritance depends on mitochondrial fission during cytokinesis. The mitochondrial fission machinery actively participates in the programmed cell death pathway (apoptosis) by inducing fragmentation of the mitochondrial network before the release of cyt c and caspase activation (Youle and Karbowski, 2008).
Wild-type (Wt) mouse fibroblasts have tubular mitochondria. Inhibition of fusion (left panels) by deletion of both Mfn1 and Mfn2 (Mfn null) or knock-down of OPA1 (OPA1-RNAi) causes mitochondrial fragmentation. Inhibition of fission (right panels) by knock-down of Drp1 (Drp–RNAi) causes excessively elongated and interconnected mitochondria that often collapse into perinuclear aggregates (bottom right).

Furthermore, fission following selective fusion generates a subpopulation of non-fusing mitochondria with reduced membrane potential, to facilitate their removal by autophagy (Twig et al., 2008).

Although most of the evolutionarily conserved core components of the mitochondrial fusion and fission systems have been described in the past 15 years (Fig. 9), the number of regulatory proteins of these processes is steadily increasing.
Mitochondrial fusion machinery

The first step in cellular membrane fusion events is the formation of trans complexes involving proteins on the surface of both fusion partners. The first mediator of mitochondrial fusion identified was the *Drosophila Melanogaster* Fuzzy onions protein (Fzo 1), an evolutionarily conserved, large transmembrane GTPase localized in the mitochondrial OM (*Hales and Fuller, 1997*). This protein is the founding member of a conserved protein family, the mitofusins, that has members in yeast, worms and mammals (*Rapaport et al., 1998; Hermann et al., 1998; Kanazawa et al., 2008; Santel and Fuller, 2001*). Mammals have two mitofusin isoforms, MFN1 and MFN2, showing high homology (81%) and similar topologies, both residing in the OM (*Santel and Fuller, 2001; Rojo et al., 2002; Chen et al., 2003*). These proteins contain two transmembrane regions in the OM, with a short loop in the intermembrane space and the major parts of the protein facing the cytosol (*Rojo et al., 2002; Fritz et al., 2001*) (Fig. 9). Recent results provide clear evidences that mitofusins act early, in the initial step of fusion and are essential for OM fusion (*Song et al., 2009*). Deletions of either Mfn1 or Mfn2 results in mitochondrial fragmentation and poor mitochondrial function (*Chen*...
et al., 2005). However, MFN2 seems to have a different role from MFN1. First, it has been shown that MFN1 has a higher GTPase activity than MFN2, although its affinity for GTP is lower; thus, MFN1 exhibits a higher capacity to induce fusion (Ishihara et al., 2004). Moreover, MFN2 has also other functions, such as control of mitochondrial metabolism (Bach et al., 2003) and tethering of mitochondria to the ER (de Brito and Scorrano, 2008).

Mgm1 is a dynamin-related large GTPase that is essential for IM fusion in yeast (Meeusen et al., 2006). The mammalian orthologue, optic atrophy protein 1 (OPA1), and related proteins in worms and flies have also been shown to be required for mitochondrial fusion (Cipolat, 2004; Yaroshi, 2008). Mgm1 and OPA1 display a sequence identity of approximately 20% and maintain a highly conserve secondary structure, consisting of a N-terminal mitochondrial target sequence (MTS) composed of positively charged amino acids residues, two consecutive hydrophobic segments, a GTPase domain, a middle domain, and a C-terminal coiled-coil domain, corresponding to GTPase effector domain (GED) (Fig. 9) (Satoh et al., 2003).

OPA1 is present in eight isoforms that are generated by alternative splicing of exons 4, 4b and 5b, that might be present or absent (Olichon et al., 2007a). Precursors translated from the OPA1 mRNAs are targeted to mitochondria via their MTS, which is removed upon import by the mitochondrial processing peptidase (MPP) to give rise to long isoforms (l-OPA1) (Olichon et al., 2002; Satoh et al., 2003). Each l-OPA1 isoform is then subjected to a limited proteolysis generating one or two short isoforms (s-OPA1) (Ishihara et al., 2006; Song et al., 2007). Both short and long isoforms of OPA1 are associated to mitochondrial membranes, and it is proposed that l-OPA1 is anchored to the IM while s-OPA1 is peripherally attached to the IM, a fraction of it having the possibility to diffuse in the IMS and to associate to OM (Olichon et al., 2002; Griparic et al., 2004; Satoh et al., 2003; Cipolat et al., 2006). To date, two cleavage sites have been identified in the primary sequence of OPA1, S1 and S2, located respectively in exon 5 and 5b (Ishihara et al., 2006; Song et al., 2007). Isoforms containing exon 4b are totally processed in s-OPA1 (Song et al., 2007), but the cleavage site has not been identified yet.

Several proteases have been implicated in processing of mammalian OPA1, including the rhomboid-related protease presenilins-associated rhomboid-like (PARL) (Cipolat et at, 2006), AAA proteases in the matrix and in the inner membrane space (Griparic et al., 2007; Ishihara et al., 2006; Song et al., 2007; Ehses et al., 2009) and the inner membrane peptidase OMA1 (Ehses et al., 2009; Head et al., 2009).

Loss of function of OPA1 by RNAi or gene knockout causes fragmentation of the tubular mitochondrial reticulum (Griparic et al., 2004; Olichon et al., 2003; Song et al., 2009). Conversely, overproduction of this protein promotes mitochondrial elongation in cells where mitochondria are
punctuated (Olichon et al., 2002; Cipolat et al., 2004). Surprisingly, over-expression of the dynamin in cells with tubular mitochondria causes mitochondrial fragmentation (Griparic et al., 2004). The profusion activity of OPA1 is further confirmed by experiments showing that mitochondrial fusion is impaired in OPA1-depleted or Opa1−/− cells (Song et al., 2007; Song et al., 2009; Cipolat et al., 2004). Interestingly, the levels of OPA1 can differentially influence two types of fusion: a “transient fusion”, also called “kiss and run” events, that result in rapid exchange of soluble components without affecting the morphology of mitochondria, and a “complete fusion” that permits the exchange of all mitochondrial components and affect mitochondrial morphology (Liu et al., 2009).

In addition to its role in mitochondrial fusion, OPA1 is also important for maintaining normal cristae structure; this was proved by the fact that cultured mammalian cells lacking OPA1 have highly disorganized IM structures (Grisparic et al., 2004; Olichon et al., 2002). OPA1 has been proposed to protect cells from apoptosis by restricting the diameter of cristae junctions and thereby preventing cytochrome c release. This protective effect of OPA1 expression occurs even in Mfn1 and Mfn2 double-null cells, suggesting that it is independent of mitochondrial fusion (Frezza et al., 2006). The anti-apoptotic effect of OPA1 has also been linked to the proteolytic activity of PARL. The s-OPA1 soluble form present in the IMS is reduced in PARL-deficient cells. Oligomers of OPA1 include this soluble form, and such oligomers have been proposed to act with membrane-bound OPA1 to close cristae junctions (Cipolat et al., 2006).

Since mitochondrial fusion is thought to be important for mitochondrial function by allowing the exchange of intra-mitochondrial content, and considering that OPA1 is the fifth gene associated with mtDNA “breakage syndrome” together with ANT1, Twinkle, PolG1-2, and TYMP (Spinazzola and Zeviani, 2009), a role of OPA1 in mtDNA stability has been proposed. This hypothesis has been recently confirmed in a study that associates OPA1-containing exon 4b isoforms with the mtDNA maintenance, regulating replication and distribution of the genome (Elachouri et al., 2011).

Thus, OPA1 functions are schematically summerized in figure 10.
Mitochondrial fission machinery

A dynamin-related protein, termed Dnm1 in yeast and dynamin-related protein 1 (DRP1) in mammals, is the master regulator of mitochondrial division in most eukaryotic organisms (Westermann et al., 2010). DRP1 is a soluble protein containing a dynamin-like central domain, a C-terminal GED domain involved in self-assembly and a N-terminal GTPase domain; an additional alternative splicing site is present between the middle domain and the GED domain, producing a brain-specific DRP1 isoform (Fig. 9) (Smirnova et al., 1998). DRP1 is mostly located in the cytosol, but a part is visible as spots on mitochondrial tubules and a subset of these spots mark a future site of fission (Labrousse et al., 1999; Smirnova et al., 2001). It has been proposed that DRP1 couples GTP hydrolysis with mitochondrial membrane constriction and fission (Zhang and Hinshaw, 2001; Smirnova et al., 2001). Cells lacking DRP1 contain highly interconnected mitochondrial nets that are formed by ongoing fusion in the absence of fission activity (Smirnova et al., 1998).

FIS1, the second component required for mitochondrial fission, is a small tail-anchored protein in the OM. Its N-terminal domain faces the cytosol, where it forms a six-helix bundle with tandem tetratricopeptide repeat motifs (TPR) that, in yeast, provide an interface for interaction with the adaptor protein Mdv1 (mitochondrial division protein 1) (Fig. 9) (Zhang and Chan, 2007). The C-terminal of FIS1 has a predicted TM domain and a short stretch of aminoacids facing the IMS. FIS1 is predicted to recruit DRP1 to punctuate structures on mitochondria during mitochondrial fission (Yoon et al., 2003). Overexpression of FIS1 leads to mitochondrial fragmentation that is dependent
on DRP1 (James et al., 2003; Yoon et al., 2003). Knockdown of FIS1 cause elongation of mitochondrial tubules (Lee et al., 2004).

The precise mechanism of OM fission in mammals has not been elucidated yet. Conversely, in yeast it is well characterized and the core machinery consists in four proteins: Fis1 in the OM and three cytosolic proteins (Dnm1, Mdv1 and Caf4) (Fig. 9). Fis1 functions as a membrane receptor, whereas Mdv1 and Caf4 serve as adaptor proteins to recruit Dnm1 (Karren et al., 2005; Griffin et al., 2005). In mammals the hortologues for Mdv1 and Caf4 have not been identified.

Only little is known about division of the mitochondrial inner membrane. Two components have been proposed to contribute to IM fission: Mdm33 in yeast and MTP18 in mammals. Mdm33 is a mitochondrial IM protein exposing extensive coiled-coil domain in the matrix. Over-expression of Mdm33 induces vesciculation of the IM, possibly due to enhanced IM fission activity, whereas mutants lacking Mdm33 contain giant ring-shaped mitochondria (Messerschimitt et al., 2003). MTP18 is an unrelated protein in the IM of mammalian mitochondria. Over-expression of MTP18 induces fragmentation of the mitochondrial network, whereas depletion results in formation of highly fused mitochondria (Tondera et al., 2005). However, it is presently difficult to prove a direct role of Mdm33 and MTP18 in inner membrane division.
Mitochondrial disorders

The first pathogenic mtDNA point mutation was discovered in 1988 in association with Leber’s hereditary optic neuropathy (LHON) (Wallace, 1988); in the same year mtDNA deletions were found in patients affected by mitochondrial myopathies (Holt et al., 1988). After these reports, numerous mtDNA mutations were associated with several maternally inherited and sporadic disorders, most of them affecting the central and peripheral nervous system, as well as skeletal and cardiac muscle (Fig. 11).

The genetic classification of the primary mitochondrial diseases distinguishes disorders due to defects of mtDNA, inherited according to the rules of mitochondrial genetic, from those due to nDNA mutations or rearrangements in genes encoding mitochondrial proteins and transmitted by mendelian inheritance (DiMauro and Schon, 2003). Moreover, mitochondrial diseases can also be divided in those affecting the OXPHOS function (mitochondrial encephalomyopathies) and those affecting mitochondrial dynamics. In the last years, a mitochondrial involvement has also been proposed for some neurodegenerative disease, such as Parkinson and Alzheimer disease (DiMauro and Schon, 2008).

Mitochondrial disorders due to mtDNA defects show peculiar characteristics, such as genetic and phenotypic heterogeneity (Filosto and Mancuso, 2007), the maternal inheritance, the threshold effect, variable penetrance and different clinical expression and severity also within the same family. Moreover, it has been shown that mitochondrial haplogroups may modulate the OXPHOS and the complex I assembly, being predisposing or protective to or from certain disorders (Hudson et al., 2007; Pello et al., 2008).

The most frequent rearrangements of mtDNA are deletions, even if duplications can also occur. Multiple deletions are caused by defects in nuclear genes encoding enzymes involved in mtDNA maintenance and nucleotide metabolism, whereas single deletions are usually sporadic (DiMauro and Schon, 2008). The main syndromes associated with single sporadic deletions are Kearns-Sayre Syndrome (KSS), Pearson marrow-pancreas Syndrome (PS) and some forms of Chronic Progressive External Ophthalmoplegia (CPEO).

Mitochondrial depletion syndromes are recessive diseases with various phenotypical expression, caused by mutations in several nuclear genes (DiMauro and Schon, 2008) The two major syndromes are hepatocerebral syndrome (mutations in POLG1, DGUOK, MPV17) (Spinazzola and Zeviani, 2008; Spinazzola et al., 2006) and pure myopathic syndromes (mutations in TK2, SUCLA2 and RRM2B) (Elpeleg et al., 2005; Bourdon et al., 2007).
Mitochondrial DNA is also a hot spot for pathogenic point mutations. These defects can involve tRNA genes leading to MELAS (Mitochondrial Encephalomyopathy Lactic Acidosis and Stroke-like episodes) and MERFF (Myoclonus, Epilepsy and Ragged-Red Fibers), or structural mitochondrial genes leading to LHON (Leber’s Hereditary Optic Neuropathy), NARP (Neuropathy, ataxia, retinitis pigmentosa) and MILS (Maternally Inherited Leigh Syndrome).

Mitochondrial DNA deletions, depletion and point mutations can also occur all together in the complex syndrome MNGIE (Mitochondrial NeuroGastroIntestinal Encephalomyopathy), a disorder caused by mutations in the gene TP, encoding the enzyme thymidine phosphorylase (Nishino et al., 1999; Hirano et al., 2004).

Lastly, disorders involving mitochondrial network dynamics are ADOA (Autosomal Dominant Optic Atrophy), due to mutations in the nuclear gene OPA1, and CMT-2A (Charcot-Marie-Tooth
Type 2A), caused by mutations in the MFN2 gene. Recently, a defect in DRP-1 gene has been reported in a severe infantile encephalopathy (Waterham et al., 2007).
Inherited mitochondrial optic neuropathies

Leber’s hereditary optic neuropathy (LHON) and Autosomal Dominant Optic Atrophy (ADOA) are the two most common inherited optic neuropathies and both are the result of mitochondrial dysfunctions.

Despite the primary mutations causing these disorders are different, being an mtDNA mutation in subunits of complex I in LHON and defects in the nuclear gene encoding the mitochondrial protein OPA1 in ADOA, both pathologies share some peculiar features:

- A variable penetrance modulated by both genetic and environmental factors, leading to a inter and intra-familial phenotypical heterogeneity
- The tissue-specificity of the pathological mechanism, involving a highly specialised group of cells within the retina: the retinal ganglion cells (RGCs), whose axons compose the optic nerve.

These features have not been completely explained yet, but their elucidation represent a prerequisite for the development of effective therapeutic strategies, which are currently limited (Yu-Wai-Man et al., 2009).

Leber’s hereditary optic neuropathy (LHON)

LHON is a maternally inherited disease characterized by severe loss of central vision, affecting predominantly young males. It was first described by Leber in 1871 (Leber, 1871), but only in 1988 Wallace and colleagues discovered that the causative mutation was in the mtDNA (Wallace et al., 1988). LHON is now recognized as the most frequent mitochondrial disease (Man et al., 2003a).

Clinical features

LHON patients present rapid and painless loss of central vision in one or both eyes, accompanied by dyschromatopsia. The second eye is usually involved in a short time laps (Newman, 1998; Carelli et al., 2009). Visual acuity reaches stable values at or below 20/200 within a few months, and the visual field defect involves the central vision in the form of a large centro-cecal absolute scotoma. Fundus examination during the acute/subacute stage reveals circumpapillary telangiectatic microangiopathy, swelling of the nerve fiber layer around the disc (pseudoedema), and absence of leakage on fluorescein angiography (Nikoskelainen et al., 2003; Nikoskelainen et al., 1984). Microangiopathy and fundus changes may be present in asymptomatic maternal family members (Nikoskelainen et al., 1982). In the acute phase, axonal loss in the papillomacular bundle leads to
temporal atrophy of the optic nerve, and the endpoint of the disease is generally a full optic atrophy with permanent severe loss of central vision but with relative preservation of pupillary light responses. Spontaneous recovery of visual acuity may infrequently occur even years after the onset, and the most favourable prognostic factors are young age of onset and the 14484/ND6 mutation (Carelli et al., 2004).

The optic nerve morphology may have a protective role in the development and prognosis of LHON. A recent study demonstrated that LHON carriers (harbouring the mutation, but unaffected) display a larger optic disc area and a higher vertical disc diameter, compared to controls and affected LHON. Furthermore, among the LHON-affected, larger discs were correlated with visual recovery and better visual outcome (Ramos Cdo et al., 2009).

Even if LHON is a monosymptomatic disorder, a subset of patients show a syndromic form of optic atrophy frequently referred as “Leber’s plus” (Nikoskelainen et al., 1995), which may include central nervous system involvement and movement disorders frequently associated with basal ganglia lesions, Leigh-like syndrome, cerebellar atrophy, migraine, epilepsy and peripheral neuropathy, and also cardiac involvement with conduction abnormalities or skeletal deformities (Larson et al., 1991; Funalot et al., 2002; Funakawa et al., 1995; Cupini et al., 2003; Nikoskelainen et al., 1985). The occurrence of “Leber plus” has been related to specific mtDNA mutations, different from the primary LHON mutation, or to the presence of a LHON primary mutation together with other putative pathogenic changes, multiple pathogenic mutations and the co-occurrence of two primary LHON mutations (Howell et al., 1991; De Vries et al., 1995; Howell et al., 2002).

Histopathologic description of LHON patients have demonstrated a very selective loss of a single cell type, the RGCs, and their axons, which constitute the retinal nerve fiber layer (RNFL) and optic nerve (Sadun et al., 2000). Mitochondria accumulate in the RNFL, especially in the unmyelinated portion anterior to the lamina cribrosa, representing the area with the greatest energy requirements (Carelli et al., 2004). The high energy demand of the unmyelinated RNFL may explain why the optic nerve is the target tissue in LHON (Sadun et al., 2000).

Genetics

LHON is transmitted with a maternal pattern of inheritance, indicative of mutations in the mtDNA. The most common pathogenic point mutations are 11778/ND4, 3460/ND1 and 14484/ND6, characterizing about 90% of LHON cases; several rare but truly pathogenic mutations of mtDNA have been identified, all affecting subunits of complex I (Carelli et al., 2004; Yu-Wai-Man et al., 2009).
Incomplete penetrance in homoplasmic LHON maternal lineages and male prevalence among the affected individuals are still poorly understood features. In the last years, the importance of the mtDNA background has been fully recognized. It has been definitely demonstrated that two subclades of haplogroup J (J1c and J2b) are relevant to increase the penetrance of the 11778/ND4 and 14484/ND6 mutations, whereas the haplogroup K results associated with the 3460/ND1 mutation (Hudson et al., 2007). Moreover, some “private” non–synonymous changes in mtDNA may modify the clinical expression of LHON (La Morgia et al., 2008).

Apart from the role of the mtDNA background, the existence of other genetic determinants, such as nuclear modifying genes, has been suggested and widely debated (Bu and Rotter, 1991, Giordano et al., 2011).

Chromosome X has been extensively investigated and recently two loci have been identified (Hudson et al., 2005; Shankar et al., 2008). However, to date no significant genetic variants associated with LHON were reported by several approaches, such as direct sequencing of candidate genes in the X-linked loci or studies on the X-inactivation pattern in affected females (Chen et al., 1989; Carvalho et al., 1992; Chalmers et al., 1996b; Pegoraro et al., 1996; Oostra et al., 1996; Pegoraro et al., 2003).

Recently, it has been demonstrated in vitro a protective role of 17-β-estradiol in cells harbouring the three primary LHON mutations, proposing a metabolic basis for the unexplained male prevalence in LHON (Giordano et al., 2011).

A recent genome-wide linkage scan of LHON families of Asian ancestry suggested the existence of multiple loci, with the strongest association with two SNPs in the PARL gene encoding for a mitochondrial protease (Phasukijwatana et al., 2010). The association between these two variants in PARL gene and LHON has not been confirmed by a following study in a cohort of Chinese LHON patients (Zhang et al., 2010).

Several studies reported the exclusion of single polymorphic variants in candidate modifying genes for LHON, including debrisoquine hydroxilase (Chalmers et al., 1996a), NDUFA1 (Man et al., 2002), NDUFB11 (Petruzzella et al., 2007), APOE (Man et al., 2003b), MTHFR (Hudson et al., 2009), whereas two polymorphic variants in the TP53 and EPHX1 genes were associated with age at onset in a Japanese study (Ishikawa et al., 2005).

Lastly, also the exposure to certain environmental factors may influence LHON penetrance, triggering the pathological features in previously unaffected mutation carriers. These factors include not only tobacco smoking and alcohol consumption, but also exposure to n-hexane and other solvents, head trauma, non-controlled diabetes, ethambutol and antiretroviral therapy in HIV patients (Carelli et al., 2009).
Primary LHON mutations generally induce moderate changes in the catalytic function of complex I, with the only exception of 3460/ND1 mutation, the most severe, which has been shown to decrease the electron transport activity of complex I (Majander et al., 1991; Carelli et al., 1997). Moreover, the three common LHON mutations decrease the sensitivity to rotenone, a powerful complex I inhibitor, and the 14484/ND6 and 3460/ND1 mutations induce an increase sensitivity of complex I to myxothiazol and nonyl-benzoquinol (Carelli et al., 1999; Majander et al., 1996), suggesting that the LHON mutations may influence the interaction between complex I and ubiquinone (Degli Esposti et al., 1994).

Several biochemical studies have been carried out on cellular models, including primary cultured cells and transmitochondrial cytoplasmic hybrids (cybrids). Cybrids are generated from human immortalized cell lines, lacking their own mtDNA (Rho0 cells), and repopulated with mitochondria from patients, harbouring mtDNA mutations (King and Attardi, 1989). This cell model is used to study the pathological features of the mtDNA mutations, without the influence of the nuclear background of the patients.

The main consequences of the complex I activity defect are a decrease of net energy production and a chronic increase of oxidative stress.

The amount of ATP produced by complex I is consistently decreased in the presence of all three common LHON mutations, even if cells may compensate this energy reduction by alternative pathways, such as glycolysis and complex II/glycerol 3-phosphate dehydrogenase (Baracca et al., 2005). These results fit with the $^{31}$P magnetic resonance spectroscopy (MRS), indicating a defective ATP synthesis in skeletal muscle and brain (Lodi et al., 1997; Lodi et al., 2002).

A significant increase in ROS production and glutathione depletion have been observed in NT2 neuronal differentiated LHON cybrids carrying the 11778/ND4 and 3460/ND1 mutations and in osteosarcoma cybrids (Wong et al., 2002; Schoeler et al., 2007).

Growing LHON cybrids in a glucose free/galactose medium, which forces cells to rely on oxidative metabolism, causes cell death characterized by the typical apoptotic hallmarks, including changes in nuclear morphology, chromatin condensation and fragmentation of chromosomal DNA (Ghelli et al., 2003). The apoptotic process under this condition is caspase-independent and involves AIF and EndoG (Zanna et al., 2003; Zanna et al., 2005). Moreover, an increased sensitivity to cell death was also reported in LHON cybrids after treatment with Fas, a well-known activator of the extrinsic apoptotic pathway (Danielson et al., 2002).
Only recently it has been demonstrated that, even if the LHON mutations do not affect the steady state levels of respiratory chain complexes, an accumulation of low molecular weight subcomplexes is evident in LHON cybrids. Moreover, LHON mutants belonging to different haplogroups shows a differentially delayed assembly rates of complexes I, III and IV, revealing that specific mtDNA polymorphisms may modify the pathogenic potential of LHON mutations (Pello et al., 2008).

**Therapy and experimental treatments**

Many treatments has been proposed for LHON, such as vitamins, cofactors, steroids and surgical treatment, but none of these resulted effective (Carelli et al., 2004; Carelli et al., 2006). The clinical trial with a neuroprotective agent, the brimonidin, during the acute phase of LHON, failed to avoid the involvement of the second eye, during the disease progression (Newman et al., 2005). A partial improvement of visual recovery and neurological symptoms have been described with idebenone treatment, a coenzyme Q analogue (Mashima et al., 2002; Mashima et al., 2000).

The induced expression of corrected mitochondrial genes by the nucleus and targeted to mitochondria (allotopic expression) has been used to rescue the biochemical defect, due to mutations 8993 in ATPase 6 gene (NARP/MILS) and 11778 in ND4 gene (LHON) (Manfredi et al., 2002; Guy et al., 2002). However, the use of this approach is still controversial, especially because of the lacking of complete and long-lasting rescue (Oca-Cossio et al., 2003; Bokori-Brown and Holt, 2006). Recently, the allotopic expression has been optimized and the approach for mitochondrial genes ATPase6, ND1 and ND4 has been developed, obtaining a complete restoration of mitochondrial activity in mutated human fibroblasts (Bonnet et al., 2007; Bonnet et al., 2008). The same authors also demonstrated that the allotopic expression of the human mitochondrial ND4 prevents blindness in a LHON rat model. The LHON 11778/ND4 mutation was introduced in rat eyes in vivo by electroporation causing the RGCs degeneration. Subsequent electroporation with the wild-type ND4 gene prevented the degeneration and the impairment of visual function (Ellouze et al., 2008). Another similar approach, consists to complement the mitochondrial defect through the expression of a transgene with ND subunits from other species. This approach has been applied on human cybrids bearing the 11778/ND4 mutation, using the nuclear protein Ndi1, a rotenone sensitive NADHquinone oxidoreductase, from S.cerevisiae (Park et al., 2008). Mutant cells expressing Ndi1 show a recovery in complex I specific activity and in complex I driven respiration, a partial increase in ATP synthesis, a decrease in ROS production and are able to grow in galactose medium (Park et al., 2008).

The biochemical phenotype of LHON mutations includes an increase of cellular oxidative stress, due to the “electron leaking” of impaired complex I. Different strategies have been tested in order to
reduce the chronic oxidative condition. Ghelli et al. in 2008 demonstrated that exogenous glutathione is the only one, in an variety of antioxidant and antiapoptotic compounds, able to reduce the cell death induced by the exposure of LHON cybrids to oxidative stress (Ghelli et al., 2008). Moreover, the overexpression of human MnSOD is able to rescue the apoptotic cell death induced by galactose medium in mutant cells 11778/ND4 (Qi et al., 2007).

**Autosomal Dominant Optic Atrophy (ADOA)**

ADOA was first described in one British family by Batten in 1896; the phenotype was further clarified by Kjer in 1959, but only in 2000 two side by side studies identified mutations in the OPA1 gene in patients affecting by DOA (Alexander et al., 2000; Delettre et al., 2000). The prevalence of DOA is not well established, but a frequency of 1:50000 is often reported in the literature (Lyle, 1990).

**Clinical features**

DOA is characterized by a slowly progressive bilateral loss of central vision starting in childhood and variably progressing in adult life (Carelli et al., 2009; Yu-Wai-Man et al., 2011). The disease is highly variable in clinical expression and shows incomplete penetrance in some families (Hoyt, 1980; Johnston et al., 1999; Votruba et al., 1998). Visual impairment is usually moderate (6/10 to 2/10), but ranges from mild or even insignificant to severe (legal blindness). Patient examination demonstrates centrocecal scotomas and impairment of colours vision and temporal pallor of the optic disc. The endpoint is similar to that in LHON, beginning with the predominant involvement of the papillomacular bundle (Carelli et al., 2009).

The predominant colour defect in DOA is a generalize dyschromatopsia, involving both the blue-yellow and red-green axes, with a minority of patients having pure tritanopia (Berninger et al., 1991).

Postmortem studies of two patients with DOA identified similar histopathological changes, with diffuse atrophy of the RGC layer, loss of myelin and fibrillary gliosis along the anterior visual pathways extending to the lateral geniculate body (Johnston et al., 1979; Kjer et al., 1983). MRI data from patients also confirmed significant tissue loss and thinning of the optic nerve along its entire length (Votruba et al., 2000). Although less pronounced, the underlying ocular pathology in DOA is therefore remarkably similar to LHON, with the primary loss of RGCs leading to ascending optic atrophy.
Patients with mutations in OPA1 gene and DOA show a significantly smaller optic disc size compared to controls, suggesting a role of OPA1 in shaping the conformation of the optic nerve head in DOA patients (Barboni et al., 2010). Overall, similar to LHON, the optic disc size may be involved in the pathological mechanism of the disease (Ramos Cdo et al., 2009).

Most of the patients affected by DOA have no additional neurologic deficits; however, sensorineural hearing loss is not uncommon, and tends to cluster within the families. The hearing loss ranges from severe and congenital to subclinical. In most cases it is unclear whether these pedigrees represent a phenotypic variant of DOA, a genetically distinct disorder or a genetically heterogeneous group of disorders with a similar phenotype (Amati-Bonneau et al., 2005). In patients showing a “DOA plus” phenotype, optic atrophy may be associated with additional severe phenotypes including neuromuscular involvement, such as sensorineural deafness, cerebellar ataxia, axonal sensory-motor polyneuropathy and mitochondrial myopathy frequently complicated by CPEO (Amati-Bonneau et al., 2008; Hudson et al., 2008). In these patients evidence of mitochondrial myopathy was observed in muscle biopsies and correlated with accumulation of mtDNA multiple deletions (Amati-Bonneau et al., 2008).

Recently, a form of DOA and premature cataract (ADOAC) has been associated to pathogenic mutations of the OPA3 gene, encoding a mitochondrial protein (Reynier et al., 2004).

**Genetics**

Mutations in OPA1 are causative for ~ 60% of DOA cases; interestingly, a recent report suggested that large scale rearrangements of entire OPA1 coding regions could account for up to 20% of all OPA1 negative cases (Fuhrmann et al., 2009). The causative nuclear defects in the remaining families with DOA have not yet been identified, but a small number of families have been mapped to other chromosomal loci (OPA3, OPA4, OPA5, OPA7, OPA8) (Yu-Wai-Man et al., 2011; Carelli et al., 2011), of which only OPA3 gene has been characterised (Reynier et al., 2004).

Over 200 pathogenic mutations have been identified and most of it localised in two specific regions: the GTPase region and the C-terminus, which is the proposed site of the GTPase effector domain. At the protein level the 40% of the OPA1 mutations results in premature translation termination, supporting haploinsufficiency as the pathogenic mechanism, 27% are in frame splice variants, and 6% are deletions or duplications (eOPA1 database at http://lbbma.univ-angers.fr/lbbma.php?id=9). To date, no mutations have been found in exon 4 and 4b, which are alternatively spliced. In addition to haploinsufficiency, some data indicate that DOA can also develop as a consequence of a dominant negative mechanism. In support of this idea, several missense OPA1 mutations that ablate the consensus elements for GTP-binding have been reported (G300E, G401D, K468E,
D470G), and GTPase mutants of OPA1 show a dominant effect in the presence of the wild-type protein. The dominant negative effect is due to the capacity of mutant OPA1 to oligomerize with wild-type proteins and, in this way, interfere with GTPase activity (Amati-Bonneau et al., 2008; Ferraris et al., 2008; Hudson et al., 2008).

**Biochemistry and pathophysiology**

Altered maintenance of mitochondrial network is central to the pathophysiology in DOA and there is good experimental evidence to support a predominant complex I defect. Reduced mitochondrial membrane potential and ATP synthesis have been observed in cultured fibroblasts carrying pathogenic OPA1 mutations (Amati-Bonneau et al., 2005; Chevrollier et al., 2008). Moreover, in vivo impairment of oxidative metabolism was evident in skeletal muscle of DOA patients using $^{31}$P-MRS (Lodi et al., 2011). Another link between OPA1 mutations and the defective mitochondrial respiratory chain in DOA is provided by immunoprecipitation studies, that demonstrated a direct interaction of OPA1 with complexes I, II and III and a role of OPA1 has been proposed in the assembly and stabilisation of supercomplexes (Zanna et al., 2008).

Mutations in OPA1 have obvious consequences in the mitochondrial network morphology. Fibroblasts from DOA patients show either normal or fragmented mitochondrial networks in comparison with controls (Zanna et al., 2008; Chevrollier et al., 2008). Mitochondrial structural alterations have been frequently reported in fibroblasts (Amati-Bonneau et al., 2005; Olichon et al., 2007b; Zanna et al., 2008), in myotubes (Spinazzi et al., 2008), in skeletal muscle from DOA patients (Amati-Bonneau et al., 2008) as well as in OPA1 mouse models (Alavi et al., 2007; Davies et al., 2007). In one study a specific OPA1 mutation has been implicated in altered fusion activity without affecting bioenergetics or increasing sensitivity to apoptosis. Thus, in this case, pro-fusion activity of OPA1 per se seemed most relevant in DOA pathogenesis (Spinazzi et al., 2008).

**Therapy**

There is no effective therapy at this moment for these patients. Open trials with idebenone are under way, showing preliminary encouraging results (recovery of visual acuity) (Carelli, unpublished results).

**Autosomal Optic Atrophy plus Cataract (ADOAC) and OPA3**

The OPA3 gene was originally identified in eight Iraqi Jewish families affected by Costeff syndrome: an autosomal recessive form of optic atrophy, associated with neurocognitive deficits,
elevated urinary excretion of 3-methyl glutaconic acid, and increased plasma 3-methylglutaric acid levels (Costeff et al., 1989; Anikster et al., 2001; Kleta et al., 2002).

Later, pathogenic dominant mutations in the OPA3 gene have also been identified in two French families in association with DOA and premature cataract (ADOAC) (Reynier et al., 2004; Verny et al., 2005).

Recently, a mutation in OPA3 gene has been associated with dilated cardiomyopathy in bovines, suggesting that this gene might also be responsible for some forms of familiar dilated cardiomyopathies in human (Owczarek-Lipska et al., 2011).

OPA3 gene was mapped on chromosome 19 and consists of three exons; two transcript variants have been identified, deriving from alternative splicing of exon 2 and exon 3 (also named exon 2b) (Fig. 12) (Huizing et al., 2010). The nucleotide sequences of exon 2 and exon 3, including their intron/exon boundaries, closely resemble each other (80% homology), suggesting a segmental duplication event. This hypothesis is supported by the presence of a transposon in OPA3 ~ 24kb upstream of exon 2 (Fig. 12). OPA3A variant (or OPA3V1) (ex1-ex2) is expressed and conserved from fungi to primates, whereas OPA3B (or OPA3V2) variant (ex1-ex3) seems to have arisen between fish and mammals (Huizing et al., 2010).

OPA3A and OPA3B both localised in mitochondria, thanks to the presence of a mitochondrial targeting sequence (MTS) at the N-terminal (Da Cruz et al., 2003; Huizing et al., 2010; Ryu et al., 2010), but it is still controversial their localisation within the organelle. The presence of a transmembrane domain in the protein structure, suggests that the protein is anchored to a membrane. A proteomic investigation indicated a location for the OPA3 protein in the inner membrane of rodent mitochondria suggesting that this also may apply to mammals (Da Cruz et al., 2003), but a recent study also demonstrated that OPA3 is located in the outer mitochondrial membrane (Ryu et al., 2010).

OPA3A and OPA3B contain respective C-terminal tripeptides SKK and SEK, that resemble the peroxisomal targeting signal type 1 (SKL), but the peroxisomal localisation has been excluded in cellular systems (Huizing et al., 2010).

Despite the high percentage of homology between the two protein variants, OPA3B has a lower expression level than OPA3A, and may not yield a significant translation product in human cells, since OPA3B is not identified in proteomic database and no human disease has been associated with mutations in the OPA3B-specific exon 3. However OPA3B gene expression is significantly up-regulated in OPA3A deficient cells (3-MGCA type III fibroblasts), suggesting that the gain of expression of OPA3B may be important in the etiology of the disease (Huizing et al., 2010).
A mitochondrial coupling defect has been observed in fibroblasts from patients affected by ADOAC (313_C>G; Q105E), demonstrated by a lower respiratory capacity respect to controls, and a reduction of the mitochondrial membrane potential and of ATP/O ratio. Surprisingly, in these cells the level of ATP synthesis is similar to that of controls, indicating a possible mechanism of compensation (Chevrollier et al., 2008). Furthermore, also the mitochondrial network morphology in the OPA3 mutant cells was similar to that of controls (Chevrollier et al., 2008).

Mutant OPA3 was proposed to play an important role in optic atrophy and neuronal degeneration. However, OPA3 protein function and regulation that underlie OPA3-linked pathogenic processes remains poorly understood. Recently, a functional study of OPA3 revealed that overexpression of the protein significantly induced mitochondrial fragmentation, whereas OPA3 knockdown resulted in highly elongated mitochondria (Ryu et al., 2010). Cells with mitochondria fragmented by OPA3 did not undergo spontaneous apoptotic cell death, but were significantly sensitized to staurosporine- and TRAIL-induced apoptosis. In contrast, overexpression of a familial OPA3 mutant (G93S) induced mitochondrial fragmentation and spontaneous apoptosis, suggesting that OPA3 may cause optic atrophy via a gain-of-function mechanism (Ryu et al., 2010).

A mouse model of OPA3-related disease was created recapitulating most of the clinical features observed in the patients affected by Costeff Syndrome (Davies et al., 2008), whereas a OPA3 null mutant zebrafish modelling Costeff Syndrome demonstrated a requirement for mitochondrial OPA3 to limit 3-hydroxy-3-methylglutarylcoenzyme-derived 3-methylglutaconic acid and to protect the electron transport chain against inhibitory compounds (Pei et al., 2010).
Aims
Leber’s hereditary optic neuropathy (LHON) and Autosomal Dominant Optic Atrophy (ADOA) are the two most common inherited optic neuropathies and both are the result of mitochondrial dysfunctions.

LHON is one of the most common mitochondrial diseases, characterized by a very rapid loss of central vision and optic atrophy, due to the selective degeneration of retinal ganglion cells. The age of onset is around 20, and the degenerative process is fast and usually the second eye becomes affected in weeks or months. Even if this pathology is well known and has been well characterized, there are still open questions on its pathophysiology, such as the male prevalence, the incomplete penetrance and the tissue selectivity.

Probably, one of the most interesting and unclear aspect of LHON is the variable penetrance. This phenomenon is common in LHON families, most of them being homoplasmic mutant. Inter-family variability of penetrance may be caused by nuclear or mitochondrial ‘secondary’ genetic determinants or other predisposing triggering factors. However, within-family variability of penetrance in pedigrees with a homoplasmic mutation harboured by all maternally related individuals remains unexplained. Thus, the first aim was to identify some molecular markers that may clearly differentiate affected individuals from carriers.

In several mitochondrial disorders, the respiratory chain impairment is followed by an increase in mitochondrial mass, a common cellular strategy to compensate for the energy defect. The compensatory activation of mitochondrial biogenesis is particularly evident in skeletal muscle of MELAS and MERRF patients, in which there is a massive subsarcolemmal accumulation of aberrant mitochondria responsible for the histological hallmark known as ragged-red fibers (RRFs). In LHON this mitochondrial proliferation is less evident, but an increase in SDH staining in skeletal muscle has been shown. The compensatory mechanism consisting in activation of the mitochondrial biogenesis could be an important factor in the regulation of the variable penetrance in LHON. Thus, in this part of the project, carried out in collaboration with Dr. Carla Giordano and Prof. Giulia d’Amati, at the University La Sapienza, Roma, we investigated the mitochondrial biogenesis in LHON patients and furthermore we screened single nucleotide polymorphisms in five candidate genes involved in the regulation of this process or of mtDNA replication.

The main consequences of the complex I defect in LHON are a decrease of net energy production and a chronic increase of oxidative stress. The efficiency of the enzymes belonging to the antioxidant machinery could influence the risk of expressing the pathology contrasting the overproduction of ROS. Thus, we investigated the modifying role of the antioxidant system screening functional polymorphisms in the most important genes involved in the detoxifying
process in LHON patients, with the collaboration of Prof. Patrick Chinnery, at the Newcastle University.

ADOA is characterized by a slowly progressive bilateral loss of central vision starting in childhood and variably progressing in adult life. The disease is highly variable in clinical expression and shows incomplete penetrance in some families.

ADOA is due to mutation in OPA1 gene in the majority of cases. The causative nuclear defects in the remaining families with DOA have not yet been identified, but a small number of families have been mapped to other chromosomal loci (OPA3, OPA4, OPA5, OPA7, OPA8). Recently, a form of DOA and premature cataract (ADOAC) has been associated to pathogenic mutations of the OPA3 gene, encoding a mitochondrial protein. The OPA3 gene was originally identified in eight Iraqi Jewish families affected by Costeff syndrome: an autosomal recessive form of optic atrophy, associated with neurocognitive deficits, elevated urinary excretion of 3-methyl glutaconic acid, and increased plasma 3-methylglutaric acid levels. Furthermore, a mutation in OPA3 gene has been associated with dilated cardiomyopathy in bovines, suggesting that this gene might also be responsible for some forms of familiar dilated cardiomyopathies in human.

In the last year OPA3 has been investigated by two different groups, but a clear function for this protein and the pathogenic mechanism leading to ADOAC have not been identified yet. Thus, the second aim was to develop a functional investigation of OPA3, discriminating for the first time the two different isoforms, to shed light on the role of OPA3 within mitochondria and the pathogenesis of ADOAC. This part of the project has been carried out in the laboratory of Prof. Guy Lenaers at the Institut of Neurosciences of Montpellier (INM).
Materials and methods
Cell culture
HeLa cells and HEK cells were cultured in Dulbecco Modified Eagle Medium (DMEM Euroclone) supplemented with 10% fetal bovine serum (FBS Euroclone), 2 mmol/L L-glutamine, 100 units/mL penicillin, and 100 µg/mL streptomycin, at 37°C in a 5% CO2 humidified incubator.

Transfection of HeLa cells
HeLa cells transfection were performed with Lipofectamine 2000 reagent (Invitrogen). Briefly 10⁵ cells were seeded the day before the transfection on a 6 well plate. Transfections were performed according to the manufacturer’s instructions by using 4µg of plasmid DNA and 12µl of Lipofectamine reagent per well. Plasmids used in this study were pCDNA, pCDNA-OPA3A, pCDNA-OPA3B, pCDNA-OPA3G93S, pIRES-GFP, pIRES-GFP-OPA3A, pIRES-GFP-OPA3B, pIRES-GFP-OPA3G93S, pEYFP, pEYFP-OPA3V1, pEYFP-OPA3V2, pEYFP-OPA3V1G93S, pEYFP-AIF. All this vector were previously constructed by Dr. Cecile Delettre, Institute de Neurosciences de Montpellier.

In silencing experiments the final concentration of the siRNA duplex (Darmacon) in culture medium was 100nM and 6µl of Lipofectamine reagent was used. For the microscopy observations the kit Silencer® siRNA Labeling Kit (Ambion) was used to stain siRNAs molecules, following manufacturer’s instructions.

For plates with different surface, the number of cells, transfection reagent volume and amount of DNA or siRNA were varied proportionally to the area of the plate.

Mitochondrial network morphology
After 24h or 48h of transfection HeLa cells were incubating with 100nM CMXros Mitotracker Red (Molecular Probes) for 30 minutes to label mitochondria. The incubation was followed by two PBS washes and direct observation. Images were captured by a confocal microscope (Zeiss, LSM 510 Meta) or by an epifluorescent microscope (Zeiss AxioImager Z1/Apotome) with 63X or 100X oil objectives (Diaphot, Nikon, Japan).

Mitochondrial membrane potential
After 24h or 48h of transfection HeLa cells were incubated with 5µg/ml JC-1 (Molecular Probes) for 20 minutes. The incubation was followed by two PBS washes and direct observation. Images were captured by a confocal microscope (Zeiss, LSM 510 Meta) or by an epifluorescent microscope (Zeiss AxioImager Z1/Apotome) with 63X or 100X oil objectives (Diaphot, Nikon, Japan).
Apoptosis assay on fixed cells
After 24h or 48h of transfection, HeLa cells were incubated with Staurosporine 1µM for 3h at 37°C. After two washes with PBS cells were incubated with 4% paraformaldehyde (PFA) in PBS at RT for 1h. After the fixation, cells were washed twice with PBS for 5 min and incubated with Hoechst (Sigma) 5µg/ml for 20 min at dark. Cells were washed twice with PBS and the cover slips were mounted using a fluorescent mounting medium (DACO) and observed at microscope (Zeiss Axiolmager Z1/Apotome).

Immunofluorescence on fixed cells or tissues
HeLa cells were washed with PBS cells were incubated with 4% paraformaldehyde (PFA) in PBS at RT for 1h. After the fixation, cells were washed twice with PBS for 5 min and the incubated with 30% Donkey serum and 0.3% Triton solution for 1h at RT to permeabilize. Eyes from 7 days old mice were fixed in 4% PFA overnight at 4°C, included in paraffine and the slice of retina were mounted on the microscope slide and incubated with 30% Donkey serum and 0.3% Triton. After permeabilization, cells and retina were incubated with primary antibodies in 5% Donkey serum and 0.3% Triton solution, overnight at 4°C. The day after they were washed three times for 5 min with PBS and then incubated with secondary antibodies in PBS for 1h at RT and at dark. After three PBS washes, microscope slide were mounted using a fluorescent mounting medium (DACO) and observed at a confocal microscope (Zeiss, LSM 510 Meta). The primary antibody used are OPA3A (1:500, homemade), OPA3B (1:500, homemade), ATP synthase (1:500, Sigma), Brn3a (1:500, Santa Cruz), while secondary AlexaFluor® antibodies (1:1000, Invitrogen) anti-rabbit and anti-mouse were used with different conjugated fluorophores.

Total cellular lysates preparation
Cells were scraped and cells pellets were washed in PBS and resuspended in 100µl of RIPA lysis buffer (PBS, 1% Triton X-100, 0.5 mM EDTA, 0.6 mM PMSF and 100µl/mL protease inhibitors). The lysate was incubated in ice for 15 min, frozen and thawed twice, sonicated in waterbath for 2 min and centrifuged at 12000 rpm for 10 min at 4°C. The supernatant was the collected and protein content was assessed according to Bradford (Bradford, 1976).

Mitochondria isolation from cultures cells
HEK cells were washed once with PBS, resuspended in 0.5mL of 200mM mannitol, 70mM sucrose, 1mM EGTA, 10mM Hapes (pH 7.6), with protease inhibitor cocktail and homogenized for 30 strokes with a Dounce homogenizer at 4°C. The homogenate was centrifuged for 10 min at 500 x g,
and supernatant re-centrifuged for 20 min at 10000 x g. The resulting pellet (mitochondrial fraction) was resuspended in 100µl RIPA buffer (50mM Tris-HCL pH 7.6, 150mM NaCl, 1% NaDOC, 1% SDS, 5mM EDTA) and protein content determined (Bradford, 1976).

Coimmunoprecipitation (CoIP)
Mitochondria fraction from HEK cells were resuspended in 100µl RIPA buffer and protein content determined (Bradford). IP was performed following the protocol from Zanna et al., 2008, with minor modifications. Briefly, 250µg of mitochondrial proteins were incubated with 5µg primary antibody cross-linked to protein A-Sepharose (Sigma) at 4°C for 3h. Immuno-precipitated complexes were loaded on BioRad columns, centrifuged for 1 min at 500 x g, and 20µl of the unbound eluates were collected and solubilised with 5µl Laemmli buffer. Columns were washed five times with PBS then eluted in 400µl 7M Urea, 2M Thiourea, 2% Chaps. Eluates were precipitated with acetone overnight at -20°C and resuspended in 25µl Laemmli buffer. The protein A-Sepharose was resuspended in PBS and 20µl were solubilised with 5µl Laemmli buffer. Samples were separated on 12% SDS-PAGE and analysed by Western blot using OPA3, OPA1, MFN2, POLG, cyt c, and α-tubulin primary antibodies.

SDS-PAGE and Immunoblotting
30-40µg of proteins were solubilised in Laemmli sample buffer and boiled for 10 min. Samples were separated on polyacrylamide gels. 8-12% gels were run in SDS running buffer for 1h and 30 min at 100V, according to the manufacturer’s instruction. After SDS-PAGE, the protein were transferred onto a nitrocellulose membrane (0.22mm BioRad) or Hybond nitrocellulose (Amarsham) using an apparatus (BioRad), in transfer buffer containing 20% of methanol. Transfer was performed at RT for 1h at 100V, according to the manufacturer’s instructions. The membrane was blocked with Tris-buffered saline (0.9% NaCl, 50mM Tris-HCl, pH 7.6) with 0.1% Tween 20 (TBS-T) containing 5% skin milk for 1h at RT, the hybridized with the primary antibody in blocking solution overnight at 4°C, washed with TBS-T, hybridized with secondary antibody in TBS-T with 0.5% milk for 1h at RT, and then washed with TBS-T. Immunodetection was performed using the secondary horseradish-peroxidase conjugated anti-mouse/rabbit IgG (Sigma) or with the anti-rabbit IgG-Alkaline phosphatase and revealed by BCIP/NBT. Membranes were stained using the following antoobodies: OPA3 (1:500, Sigma), OPA1 (1:500, BD), POLG (1:500, Abcam), α-tubulin (1:1000, Sigma), MFN2 (1:1000, Sigma), cyt c (1:500, BD), secondary anti-mouse and anti-rabbit IgG-Hrp conjugated (Jackson immunoresearch 1:2000).
Nucleic acid extraction

DNA samples were extracted from whole blood and skeletal muscle using the standard phenol-chloroform method, resuspended in MilliQ water and stored at -20°C. Cells were pelleted and washed once in PBS. PBS was removed and DNA extracted with the standard phenol-chloroform method, resuspended in MilliQ water and stored at -20°C.

Total RNA from cells was extracted with the RNeasy Mini kit (QIAGEN) following the manufacturer suggested protocol. Briefly, cells were scraped, washed once in PBS and resuspended in 350µl of RLT lysis buffer containing 143mM β-mercaptoethanol and vortexed. One volume (350µl) of ethanol 70% was added to the sample, mixed well and transferred into a RNeasy spin column (supplied) to be centrifuged 15s at > 8000 x g. After a series of washes with 2 different buffers (RW1 and RPE), RNA was finally eluted with 20µl of RNase-free water.

All the RNA samples were treated with DNase I (Promega), to avoid any contamination with genomic DNA, at 37°C for 30 minutes, followed by enzyme inactivation at 65°C for 10 minutes.

Nucleic acids concentration and purity was evaluated measuring 1µL of sample with Nanodrop 1000 Spectrophotometer (Thermo Scientific), at the wavelengths 260 nm and 280 nm.

Identification of LHON common mutations

The PCR mixture contained 2 ng of DNA, 0.2 U Taq DNA Polimerase (Eppendorf), 1x Buffer Advanced (Eppendorf), 200 µM PCR nucleotide Mix (Roche), 200 µM each primer (Invitrogen), in a final volume of 25 µL. For the PCR reaction we used a GeneAmp PCR System 2700 (Applied Biosystems) thermal cycler. Primers sequences and PCR conditions are reported in appendix . The PCR reaction was checked with an electrophoresis on agarose gel and ethidium bromide staining. The PCR fragments were of 119 bp (11778/ND4), 75 bp (14484/ND6) and 398 bp (3460/ND1).

The PCR products were subsequently digested with the following restriction enzymes LweI (Fermentas) for the G11778A mutation, Bsp143I (Fermentas) for the G14484A mutation and Hin1I (Fermentas) for the T3460A mutation. LweI recognize a restriction site in the wild type DNA and generates two fragments of 64 bp and 55 bp; Bsp143I recognize a restriction site in the wild type DNA and produce two fragments of 64 bp and 21 bp; Hin1I recognize a restriction site in the mutant DNA and produce two fragments of 298 bp and 91 bp. The digestion mixture was composed by 5 or 8 µL of PCR product, 1 U/µL of restriction enzyme, 1x suggested Buffer, in a final volume of 10 µL, and was incubated for 16 hours at 37°C. Subsequently the fragments were separated with electrophoresis on Metaphor (BioSpa) gel at 3% or 4% and displayed with ethidium bromide staining.
Restriction fragment length polymorphism (RFLP) assay for nuclear genes polymorphisms

The PCR mixture contained 2 ng of DNA, 0.2 U Taq DNA Polymerase (Eppendorf), 1x Buffer Advanced (Eppendorf), 200 µM PCR nucleotide Mix (Roche), 200 µM each primer (Invitrogen), in a final volume of 25 µL.

For the PCR reaction we used a GeneAmp PCR System 2700 (Applied Biosystems) thermal cycler. Primers sequences and PCR conditions are reported in appendix. The PCR reaction was checked with an electrophoresis on agarose gel and ethidium bromide staining.

The PCR products was subsequently digested with different restriction enzymes (Fermentas). The digestion mixture was composed by 5 or 8 µL of PCR product, 1 U/µL of restriction enzyme, 1x suggested Buffer, in a final volume of 10 µL, and was incubated at condition of time and temperature suggested by the manufacturers. Subsequently the fragments were separated with electrophoresis on Metaphor (BioSpa) gel at 4% or agarose gel at 3% and displayed with ethidium bromide staining.

Mitochondrial DNA copy number evaluation

Absolute quantification of mtDNA relative to nuclear DNA (nDNA) was performed by a real-time PCR based method using the LightCycler480 (Roche). This method is a multiplex assay based on hydrolysis probe chemistry. A mtDNA fragment (ND2 gene) and a nDNA fragment (FasL gene) were co-amplified by multiplex polymerase chain reaction according to the primers, probes and conditions previously published (Cossarizza et al., 2003). These two fragments were cloned tale to tale in a vector and serial dilutions were used to construct a standard curve, obtaining a ratio of 1:1 of the reference molecules. Primers and probes sequences and PCR conditions are available on request.

Reverse transcription and quantitative assay for gene expression

Reverse transcription was performed on extracted RNA, using Transcriptor First Strand cDNA Synthesis Kit (Roche) and following the manufacturer’s protocol. The cDNA was generated performing a reverse transcription of 1µg of total RNA using random hexameric primers.

Absolute mRNA quantification was performed by a real-time PCR based method using the instrument LightCycler480 (Roche). Standard curves using a cDNA reference sample were used to determinate concentrations of OPA3V1, OPA3V2, OPA1 genes. The amount of target genes were normalized for the concentration of a reference gene (RPL27).

Statistical analysis
Statistical analysis was performed using the SigmaStat ver.3.5 software package, choosing the most appropriate test. Student t-test or paired t-test were performed to compare two different groups of data, while ANOVA Dunn’s test, ANOVA Holm-Sidak or Mann-Whitney test were performed to compare more than two groups. Fisher’s exact test or $\chi^2$ test were used to correlate frequencies and proportions, and a multiple linear regression was performed to analyse combinations of genotypes. Data were considered significantly different for p-values $< 0.05$. 
Results
Part 1 – Modifying factors of penetrance in LHON

Molecular characterization of LHON mutations
In this study we have analyzed 207 individuals belonging to 111 different LHON Italian families and 64 individuals belonging to a single large Brazilian family of Italian ancestry (conventionally named SOA-BR) (Fig.13). Each individual has been previously investigated by RFLP analysis and characterized for LHON common mutations (11778/ND4, 14484/ND6 and 3460/ND1) and rare LHON mutations (3733/ND1, 14586/ND6, 14482/ND6 and 14459/ND6).

Figure 13 – SOA-BR family tree.

Based on the clinical phenotype all subjects have been categorized in affected and unaffected mutation carriers (herein called carriers), represented by individuals carrying a LHON pathogenic mutation, older than 35 years, and showing no signs of clinical expression of the disease. In the SOA-BR family, carrying the 11778/ND4 homoplasmic mutation, 25 individuals were considered affected and 39 carriers (Tab. 1). Moreover, molecular characterization of Italian families revealed that 147 individuals harboured the 11778/ND4 LHON mutation (82 affected and 65 carriers), 20
individuals had the 14484/ND6 mutation (10 affected and 10 carriers), 36 individuals had the 3460/ND1 mutation (19 affected and 17 carriers) and 4 individuals had rare mutations (Tab. 1).

<table>
<thead>
<tr>
<th>FAMILY</th>
<th>MUTATION</th>
<th>AFFECTED</th>
<th>CARRIER</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>SOA-BR</td>
<td>11778/ND4</td>
<td>25</td>
<td>39</td>
<td>64</td>
</tr>
<tr>
<td></td>
<td>11778/ND4</td>
<td>82</td>
<td>65</td>
<td>147</td>
</tr>
<tr>
<td></td>
<td>14484/ND6</td>
<td>10</td>
<td>10</td>
<td>20</td>
</tr>
<tr>
<td></td>
<td>3460/ND1</td>
<td>19</td>
<td>17</td>
<td>36</td>
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<tr>
<td>RARE</td>
<td>4</td>
<td></td>
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<td>4</td>
</tr>
</tbody>
</table>

Table 1 – LHON affected and carriers from SOA-BR and Italian families.

**Mitochondrial DNA evaluation in LHON individuals**

The mtDNA content has been determined in DNA samples extracted from peripheral blood of the large SOA-BR Brazilian family and from selected individuals belonging to the Italian families. For this analysis two approaches have been carried out. First, we examined the mtDNA content in the 25 affected individuals and the 39 carriers of the SOA-BR family, comparing them with a control group consisting in 70 unrelated Brazilian individuals. Next, we repeated the analysis on the Italian patients, selecting those carrying the homoplasmic LHON mutations and comparing one affected individual, usually the proband, with one asymptomatic carrier on the same maternal lineage, siblings when it was possible. Therefore, we analysed 39 affected individuals, and their corresponding 39 carriers, 21 harbouring the 11778/ND4 mutation, 5 harbouring the 11484/ND6 mutation and 13 harbouring the 3460/ND1 mutation. Lastly, we evaluated the mtDNA content also in DNA samples extracted from skeletal muscle biopsies in 13 controls and 31 LHON individuals harbouring the 11778/ND4 and 3460/ND1 mutations (25 affected and 6 carriers).

In the SOA-BR family the mtDNA copy number/cell resulted significantly higher in the individuals harbouring the mutation respect to the controls (p<0.001). In turn, the carrier individuals showed a significantly higher mtDNA copy number/cell value compared to the affected individuals (p<0.001). In the control group the average value of mtDNA copy number/cell was 181±7, whereas Brazilian affected and carriers had respectively 358±18 and 550±26 (Fig. 14).

The distributions of mtDNA copy number in the three groups examined divided for sex are reported in figure 15. The mtDNA copy number resulted normally distributed in controls and affected individuals (Fig. 15A), whereas in carriers two peaks were easily distinguishable (Fig. 15A). In control population no differences were found in males and females, being both normally distributed.
(Fig. 15D). On the contrary, a reduced mtDNA content in females carriers respect to males led to the presence of two peaks in both these distributions (Fig. 15C). In ~ 83% of male carriers the mtDNA content overcame the value of 500 copies/cell, whereas in females only the 37% reached this number.

![Figure 14 – Mitochondrial DNA copy number in Brazilian controls and SOA-BR affected and carriers.](image)

Data are reported as average ± SEM. Asterisks indicate statistical significance (at least p<0.05).

The evaluation of mtDNA copy number in the 39 Italian families reproduced the results from the SOA-BR family analysis. Figure 16 shows the increase in mtDNA content in the unaffected carriers compared to the affected individuals and this feature was similar indipendently from the LHON mutation. In affected individuals the average values of mtDNA copy number were 305±14 (11778/ND4), 340±11 (14484/ND6) and 315±14 (3460/ND1), whereas in LHON carriers were 688±21 (11778/ND4), 660±77 (14484/ND6) and 583±41 (3460/ND1). The difference between affected and carrier is statistically significant for all the three LHON mutations (p<0.001 for 11778/ND4 and 3460/ND1 and p=0.011 for 14484/ND6). In spite of what we observed in the SOA-BR, the distribution of mtDNA copy number of the Italian families were normally distributed, both in affected and carrier groups. This is probably due to the different design of the study, being for the SOA-BR an intra-familiar study and for the Italian families an association study.
Figure 15 – Mitochondrial DNA distributions in controls and SOA-BR affected and carrier.  

Figure 16 - Mitochondrial DNA copy number in Italian affected and carriers.  
Data are reported as average ± SEM. Asterisks indicate statistical significance (at least p<0.05).

The mtDNA quantification in DNA extracted from skeletal muscle biopsies confirmed the results obtained in the previous analysis on blood samples. Also in this tissue, the mtDNA copy number was higher in LHON affected and carriers than in controls (p≤0.001), and carriers showed a higher mtDNA content compared to the affected individuals (p<0.05), although this difference is less
pronounced compared to SOA-BR and Italian blood samples, probably because of the limited number of carriers analysed. We found an average value of 5450 ± 336 in controls, 6853 ± 243 in affected individuals and 8065 ± 485 in carrier individuals (Fig. 17).

All these results suggest the existence of a compensatory mechanism, due to the presence of LHON mutations, being the controls and LHON individuals clearly distinguishable based on their mtDNA content. In particular, the unaffected carriers seem to have a higher efficiency in upregulating mtDNA copy number than affected individuals, making this parameter a possible biomarker of a modifying mechanism regulating LHON penetrance.

![Figure 17 – Mitochondrial DNA quantification in controls and LHON skeletal muscles.](image)

Data are reported as average ± SEM. Asterisks indicate statistical significance (at least p<0.05).

**Screening of polymorphisms in nuclear genes involved in the regulation of mitochondrial biogenesis**

The results from the previous section strongly support a role for mitochondrial biogenesis in the modulating LHON penetrance. Thus, we investigated genetic variants in some of the genes regulating this process and regulating specifically mtDNA replication. We selected single nucleotide polymorphisms (SNPs) already reported in literature as associated with diseases or having a functional activity. We screened SNPs in the coding region of PGC-1α, PGC-1β, p53, Tfam, and two SNPs in PARL, one in the coding region and one in the promoter (Table 2).

The screening of the genetic variants of these genes was carried out on the previously described SOA-BR family (25 affected and 39 carriers), analysing DNA extracted from peripheral blood by RFLP. The affected and carrier individuals were compared, considering genotypes and alleles frequencies. The off-pedigree group has been genotyped to provide reference frequencies of a
Brazilian control population. Moreover, a possible correlation between genotypes and mtDNA copy number has been investigated.

<table>
<thead>
<tr>
<th>GENE</th>
<th>SNP</th>
<th>SNP rs#</th>
<th>CLUSTER ID</th>
<th>REFERENCE</th>
</tr>
</thead>
<tbody>
<tr>
<td>PGC-1α</td>
<td>Gly482Ser</td>
<td>rs8192678</td>
<td></td>
<td>Choi et al., 2006</td>
</tr>
<tr>
<td>PGC-1β</td>
<td>Ala203Pro</td>
<td>rs7732671</td>
<td></td>
<td>Wirtenberger et al., 2007</td>
</tr>
<tr>
<td>p53</td>
<td>Arg72Pro</td>
<td>rs1042522</td>
<td>rs1937</td>
<td>Matlashewski et al., 1986</td>
</tr>
<tr>
<td>Tfam</td>
<td>Ser12Thr</td>
<td>rs3792589</td>
<td>rs3732581</td>
<td>Günther et al., 2004</td>
</tr>
<tr>
<td>PARL</td>
<td>-191T/C</td>
<td>rs1937</td>
<td>rs3732581</td>
<td>Curran et al., 2010</td>
</tr>
<tr>
<td>PARL</td>
<td>Leu262Val</td>
<td>rs3732581</td>
<td></td>
<td>Powell et al., 2008</td>
</tr>
</tbody>
</table>

Table 2 – SNPs in genes involved in mitochondrial biogenesis analysed.

None of the six genetic variants analysed resulted associated with the status of affected or carriers, being genotypes and alleles frequencies not statistically different in the three groups (Fig. 18-19). Furthermore, no correlation between SNPs and mtDNA content was found (not shown).

Figure 18 – Genotypes distributions in off-pedigree individuals and in SOA-BR affected and carrier individuals.
Figure 19 – Alleles distributions in off-pedigree individuals and in SOA-BR affected and carrier individuals.

Screening of polymorphisms in nuclear genes involved in the antioxidant machinery
Since the increase in ROS production is one of the consequences of complex I impairment in LHON, we screened six SNPs by RFLP in five nuclear genes with involved in buffering oxidative stress. Also in this study we selected SNPs already reported in literature as associated with diseases or having a functional activity. The genetic variants were located in the coding region of MnSOD and GPx and in the promoter or intronic regions of Cu/ZnSOD, catalase and aldose reductase (Table 3).

<table>
<thead>
<tr>
<th>GENE</th>
<th>SNP</th>
<th>SNP rs#</th>
<th>CLUSTER ID</th>
<th>REFERENCE</th>
</tr>
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<tbody>
<tr>
<td>MnSOD</td>
<td>Ala16Val</td>
<td>rs4880</td>
<td></td>
<td>Ambrosone et al., 1999</td>
</tr>
<tr>
<td>GPx1</td>
<td>Pro198Leu</td>
<td>rs1050450</td>
<td></td>
<td>Sutton et al., 2006</td>
</tr>
<tr>
<td>Cu/ZnSOD</td>
<td>+35A/C</td>
<td>rs2234694</td>
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<td>Young et al., 2006</td>
</tr>
<tr>
<td>CAT</td>
<td>-21A/T</td>
<td>rs7943316</td>
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<td>Podgoreanu et al., 2006</td>
</tr>
<tr>
<td>CAT</td>
<td>-262C/T</td>
<td>rs1049982</td>
<td></td>
<td>Forsberg et al., 2001</td>
</tr>
<tr>
<td>ALDR1</td>
<td>-106C/T</td>
<td>rs759853</td>
<td></td>
<td>Granier et al., 2008</td>
</tr>
</tbody>
</table>

Table 3 – SNPs in antioxidant genes analysed.
All the selected SNPs were investigated on DNA samples extracted from peripheral blood of the SOA-BR pedigree, and genotypes and alleles frequencies were compared in the affected and carriers groups.

The only significant association was found between the Ala16Val polymorphism in MnSOD gene and the LHON phenotypes. In particular, the Ala/Val genotype was more represented in the affected group (65.4%), whereas the Val/Val genotype was more frequent among the carrier group (55.3%, P=0.036) (Fig. 20A). Similarly, the Ala allele resulted associated with the affected status (P=0.037, odd ratio=2.37), and the Val allele resulted protective for the expression of LHON, being associated with the carrier status (Fig.20B).

Based on this significant result, we expanded the genetic analysis of MnSOD Ala16Val in 111 Italian unrelated probands (affected) of which 82 carrying the 11778/ND4 mutation, 16 the 3460/ND1, 9 with the 14484/ND6 and 4 carrying rare but proven pathogenic mtDNA point mutations (3733/ND1, 14586/ND6, 14482/ND6 and 14459/ND6). In this cohort the distributions of Ala16Val variant overlapped the affected individuals of the SOA-BR family, being significantly different from a control group of Italians matched for age and sex (P=0.05), although the association between the alleles was not confirmed (Fig.20D-E).

The distribution of the Ala16Val polymorphism was then replicated, in collaboration of Prof. Chinnery’s group (Newcastle), in a third independently collected cohort composed of mutation-carrying individuals from different European nationalities (UK, Finnish, French, Hungarian and Slovenian), including 347 subjects of which 179 were affected with LHON and 168 were unaffected mutation carriers. In this cohort both genotypes and alleles distribution gave a significant signal in association with LHON status (P≤0.05) (Fig.20G-H).

Moreover, based on unpublished results from our group (Mattiazzi et al., manuscript in preparation), we grouped the MnSOD genotypes characterized by a high enzymatic activity (Ala/Ala and Ala/Val) and we found a significant association with the status of affected, whereas the low activity genotype Val/Val resulted associated with the status of carrier in all the three cohorts (P=0.021, P=0.05, P=0.05) (Fig.20C-F-I).
Figure 20 – Ala16Val genotypes, alleles and activity distributions.
Part 2 – Elucidating the mitochondrial function of OPA3 and its role in ADOAC pathogenesis

OPA3 isoforms expression in different mouse tissues and in Hela cells

The amount of OPA3V1 and OPA3V2 transcripts has been measured by a quantitative Real Time-PCR in different tissues from a 7 days old mouse. The qRT-PCR was carried out on total RNA samples extracted from frozen kidney, lung, skeletal muscle, liver, retina, heart, brain and cochlea. The gene expression of OPA3 isoforms in each tissue has been compared with OPA1 mRNA content, using primers recognizing all OPA1 isoforms. The ribosomal protein RPL27 has been used as reference.

Although OPA3V1 seemed to be more expressed than OPA1 in most of the tissues analyzed, the pattern of expression resulted comparable to OPA1, except for the brain where the OPA1 content was much higher than OPA3V1 (Fig 21). Similar to results previously published by others, OPA3V2 clearly showed a lower expression level compared with both OPA3V1 (from a minimum of 4 fold of difference in lung to a maximum of 9 fold in heart) and OPA1, reaching their mRNA content only in the cochlea, where OPA3V1 and OPA1 seemed to have a very low expression (Fig. 21).

OPA3 isoforms transcripts were quantified also in HeLa cells and compared with OPA1 total mRNA content. Also in these cells OPA3V1 showed an higher gene expression than OPA1, whereas OPA3V2 resulted expressed at very low levels (~ 40 fold less express than OPA3V1) (Fig. 22).

![OPA3 expression in mouse tissues](image)

Figure 21 – Relative gene expression of OPA3V1, OPA3V2, OPA1 in different mouse tissues.
Data are normalized for RPL27 gene expression and reported as average (3 independent experiments) ± SEM.
The expression of OPA3V1 and OPA3V2 was qualitatively evaluated by Immunofluorescence in slices of mouse retina. Home made polyclonal antibodies generated in rabbit and specific for OPA3V1 and OPA3V2 were used to characterize the expression of the two isoforms in the different cellular types constituting the retina. Retinal ganglion cells were stained with a monoclonal antibody against BRN3A, a specific marker of these cells, and nuclei were stained with Hoechst. Both variants showed a widespread expression in the different retina layers, even if the picks of expression were in retinal ganglion cells (RGCs) and photoreceptors, both cell types being enriched in mitochondria. Moreover, OPA3V1 had qualitatively a higher expression in RGCs than OPA3V2, confirming the results from qRT-PCR (Fig. 23).

**Figure 22- Relative gene expression of OPA3V1, OPA3V2 and OPA1 in HeLa cells**
Data are normalized for RPL27 gene expression and reported as average (3 independent experiments) ± SEM.

**Figure 23 – Immunofluorescence on mouse retina.**
A) Staining for OPA3V1 (green), nuclei (blue) and RGCs (pink). B) Staining for OPA3V2 (green), nuclei (blue) and RGCs (pink).

**OPA3V1, OPA3V2 and OPA3V1-G93S over-expression in HeLa cells**
To investigate the possible role played by OPA3 in mitochondria, we transfected HeLa cells with plasmids expressing OPA3V1, OPA3V2 and OPA3V1 carrying the dominant mutation G93S (exon 2), causative for ADOAC. After confirmation by Western blot of the increased proteins expression due to the transfection, we evaluated different mitochondrial functional indicators such as the integrity of mitochondrial network, the mitochondrial membrane potential, the mtDNA content and the susceptibility to apoptosis after treatment with a pro-apoptotic compound (staurosporine). HeLa cells transfected with pCDNA-OPA3V1, pCDNA-OPA3V2, and pcDNA-OPAV1-G93S plasmids were pelleted and after 24 hours cells lysated and proteins were extracted. 30 µg of proteins were analysed by SDS-PAGE, transferred on a nitrocellulose membrane and blotted for OPA3V1 and OPA3V2, using α-tubulin as reference. Two distinct membranes have been assessed to discriminate the OPA3 variants. Interestingly, both OPA3V1 and OPA3V2 were not detectable in the control untransfected sample, whereas it was evident the efficiency and specificity of the over-expression in all the transfected sample (Fig. 24).

Figure 24 – Western blot on HeLa transfected cells.
Left side was blotted for OPA3V1 and α-tubulin, right side was blotted for OPA3V2 and α-tubulin. Lane 1 untransfected cells, lane 2 pCDNA-OPA3V1, lane 3 pcDNA-OPA3V2, lane 4 pcDNA-OPA3G93S.

Mitochondrial network morphology evaluation

HeLa cells were transfected using plasmids expressing the target protein fused with the yellow fluorescent protein (YFP): pEYFP, pEYFP-OPA3V1, pEYFP-OPA3V2, pEYFP-OPA3V1G93S, and pEYFP-AIF. After 24 hours, cells were fixed and processed for immunostaining. An antibody against the ATP synthase was used to visualize the mitochondrial network and the fluorescence emitted from the YFP was used to identify transfected cells. Transfection with a plasmid expressing the mitochondrial protein AIF was used as a control.

Transfection with a plasmid expressing only the YFP gave a diffuse green fluorescence in the cells and the staining for ATP synthase in red defined a normal mitochondrial network, with tubular mitochondria extending on the whole cellular body. On the contrary, the over-expression of both OPA3V1 and OPA3V2 produced mitochondria aggregation and the complete disappearance of tubular mitochondria, leading to the loss of mitochondrial network integrity. OPA3V1
overexpression seemed to cause a more severe damage compared to OPA3V2. In fact, OPA3V2 overexpression led to aggregation but mitochondria seemed to have a more scattered distribution in the cytoplasm compared to cells overexpressing both wild-type and mutant OPA3V1. No consequences in the network morphology have been observed by inducing AIF expression (Fig. 25).

![Mitochondrial network estimation in HeLa transfected cells](image)

HeLa cells were transfected with plasmids expressing YFP and the target protein. The mitochondrial network was stained with Ab anti-ATP synthase.

**Mitochondrial membrane potential estimation**

The mitochondrial membrane potential has been evaluated using the fluorescent probe JC-1. The dye undergoes a reversible change in fluorescence emission from green to red as mitochondrial membrane potential increases. Cells with high membrane potential promote the formation of dye aggregates, which fluoresce red; cells with low potential will contain monomeric JC-1 and fluoresce green.
HeLa cells were transfected with plasmids containing the green fluorescent protein (GFP) and the target proteins, which are not fused in the same construct, thus expressed independently: pIRES-GFP, pIRES-GFP-OPA3V1, pIRES-GFP-OPA3V2, pIRES-GFP-OPA3V1G93S.

The cells overexpressing the GFP alone, showed an homogeneous green fluorescence overlapping the JC-1 green fluorescence, and concomitantly the red fluorescence due to the formation of JC-1 aggregates, evidencing a high mitochondrial potential. Interestingly, the over-expression of OPA3V1, OPA3V2 and OPA3V1G93S produced a consistent loss of membrane potential, as documented by the complete disappearance of the red fluorescence in transfected cells (Fig. 26).

![Figure 26 – Membrane potential assessment in HeLa transfected cells.](image)

Hela cells were transfected with plasmids coexpressing the GFP and the target proteins. Mitochondrial membrane potential was revealed using the JC-1 dye.

**Measurement of the susceptibility to apoptosis**

HeLa cells were transfected with pIRES-GFP, pIRES-GFP-OPA3V1, pIRES-GFP-OPA3V2 and pIRES-GFP-OPA3V1G93S and after 24 hours were treated with staurosporine, a pro-apoptotic compound, for three hours. After the incubation cells were fixed and nuclei were stained with Hoescht. Nuclei morphology was observed microscopically, and 100 cells for each sample were counted, distinguishing apoptotic cells with chromatin condensation and non-apoptotic cells with normal nuclei.
Over-expression of OPA3V1, OPA3V2 and OPA3V1G93S produced no effect on the apoptosis, having the three samples the same percentage of apoptotic cells as the control (GFP only). On the contrary, after incubation with staurosporine the percentage of apoptotic cells increased in cells overexpressing OPA3V1, OPA3V2 and OPA3V1G93S, being significantly different from the control transfected with the GFP alone (p<0.001). The increase of apoptotic cell number was not different in cells over-expressing the mutant OPA3V1 compared to those overexpressing the wild-type protein (Fig. 27).

\[\text{Figure 27 – Quantification of apoptotic nuclei in HeLa transfected cells}
\]

Data are reported as average (3 experiments) ± SEM. Asterisks indicates statistic significance associated to a p<0.001.

**Mitochondrial DNA content quantification**

To investigate if overexpression of OPA3 had consequences on mtDNA maintenance and replication, HeLa cells were transfected with pCDNA, pCDNA-OPA3V1, pCDNA-OPA3V2 and cotransfected with both pCDNA-OPA3V1 and pCDNA-OPA3V2. After 24 hours, cells were pelleted, the DNA was extracted and analysed by quantitative Real Time-PCR.

We found average mtDNA copy values of 1434 ± 115 in untransfected cells, 1521 ± 121 in cells transfected with pCDNA, 1362 ± 46 in cells overexpressing OPA3V1, 1456 ± 30 in cells overexpressing OPA3V2, and 1247 ± 70 in cells overexpressing both OPA3V1 and OPA3V2. Thus, no significant differences in mtDNA content were observed between the samples and cells over-expressing OPA3 showed almost the same mtDNA copy number than the untransfected cells or cells expressing the plasmid without the target proteins (Fig. 28).
Figure 28 – Mitochondrial DNA quantification in Hela transfected cells
Data are reported as average (3 independent experiments) ± SEM.

OPA3 isoforms silencing in HeLa cells
To investigate the effects of OPA3 loss, we selectively inhibited the expression of endogenous OPA3 variants using a silencing approach. siRNAs matching Ex1, Ex2, and Ex2b were transfected into HeLa cells and the effects on mitochondrial function were investigated using the same parameters evaluated in the overexpression experiment. For all the studies consisting in microscopy observations, we used a kit able to stain specifically siRNAs molecules, making possible the selective analysis of transfected cells.

The efficiency and specificity of siRNAs was measured by quantification of the OPA3 transcripts in Real Time-PCR after 24, 48 and 72 hours from transfection, using RPL27 as reference. Since the silencing efficiency resulted independent from the time, we decided to study the mitochondrial functions after 48 hours from transfection. The effects of silencing on OPA3 isoforms gene expression are reported in figure 29. Transfecting cells with siEx1, we were able to abolish about 80% of OPA3V1 and OPA3V2 mRNA expression (P<0.001), being the Ex1 present in both the variants. The effect of siEx2 was specific for OPA3V1, producing about 90% of decrease in mRNA expression (P<0.001), whereas siEx2b showed a decrease of 70% of OPA3V2 (P<0.001). Interestingly, the suppression of OPA3V1 induced by siEx2, produced an increase in OPA3V2 gene expression (P=0.02) and vice versa an increase of OPA3V1 gene expression was found in cells treated with siEx2b (P=0.01).
Figure 29 – OPA3 gene expression after 48h from silencing
HeLa cells were transfected with a scramble siRNA, siEx1, siEx2 and siEx2b. After 48 hours OPA3 transcripts were quantified. Data are shown normalized for the expression in the scramble and as average (3 independent experiments) ± SEM.

**Mitochondrial network morphology evaluation**

HeLa cells were transfected with a scramble siRNA, siEx1, siEx2, and siEx2b and after 48h were incubated with Mitotracker Red for 20 minutes and observed at microscope.

The qualitative evaluation of mitochondrial network demonstrated that there were no differences between cells transfected with the scramble siRNA and cells transfected with siEx1, siEx2 and siEx2b. Moreover, no differences have been observed silencing both isoforms (siEx1) or OPA3V1 (siEx2) and OPA3V2 (siEx2b) separately. In the figure 30, representative pictures of mitochondrial network are reported.

**Mitochondrial membrane potential estimation**

The membrane mitochondrial potential has been estimated after 48 hours from the silencing. HeLa cells were transfected with scramble siRNA, siEx1, siEx2 and siEx2b and after 48 hours have been incubated with JC-1 dye for 20 minutes.

The microscopy observation showed no drastic differences between cells transfected with the scramble siRNA and those transfected with siRNA specific for OPA3 isoforms, the latter exhibiting the typical red spots corresponding to high membrane potential sites and formation of J-aggregates. No differences have been observed between cells silenced for both the variant simultaneously and cells silenced for OPA3V1 and OPA3V2 separately (Fig. 31).
Measurement of the susceptibility to apoptosis

HeLa cells were transfected with scramble siRNA, siEx1, siEx2, siEx2b and after 48 hours treated with staurosporine, a pro-apoptotic compound for three hours. After the incubation cells were fixed and nuclei were stained with Hoescht. Nuclei morphology was observed microscopically, and 100
cells for each sample were counted, distinguishing apoptotic cells with chromatin condensation and non-apoptotic cells with normal nuclei.

The silencing of OPA3V1 and OPA3V2, alone or together, did not exert effects on the percentage of apoptotic cells, however cells transfected with siEx2 and siEx2b resulted more sensitive to staurosporine, exhibiting about 50% of apoptotic cells after the treatment, compared to 30% of apoptotic cells in cells transfected with the scramble and cells transfected with siEx1 (Fig. 32). In both cases the differences in the groups were statistically significant (p<0.01).

Figure 32 - Quantification of apoptotic nuclei in HeLa transfected cells
Data are reported as average (3 independent experiments) ± SEM. Asterisks indicates statistic significance associated to a p<0.001.

Mitochondrial DNA content quantification
We investigated the effects of silencing of OPA3V1, OPA3V2, or both on the mtDNA maintenance or replication. HeLa cells were transfected with a scramble siRNA, siEx1, siEx2 and siEx2b. After 48 hours from the transfection, cells were pelleted, DNA extracted and analysed by Real Time-PCR.

The average mtDNA copy values were 1451 ± 107 in the untransfected cells, 1421 ± 128 in cells transfected with the scramble, 1717 ± 44 in cells transfected with siEx1, 1244 ± 69 in cells transfected with siEx2, 1123 ± 136 in cells transfected with siEx2b. No significant differences have been found between the cells transfected with the scramble and cells silenced for OPA3V1, OPA3V2 or both (Fig. 33).
**Figure 33 – Mitochondrial DNA quantification in Hela transfected cells**
Data are reported as average (3 independent experiments) ± SEM.

**Coimmunoprecipitation of OPA3V1 on isolated mitochondria from HEK cells**
To identify possible interactors of OPA3, we carried out a coimmunoprecipitation (CoIP) experiment. Since HEK cells are characterized by a high number of mitochondria, we chose this cell line for this experiment. The CoIP was carried out in purified mitochondrial extracts using a commercial antibody specific for OPA3V1, since OPA3V2 is expressed at very low levels and therefore it is hardly detectable. The different immunoprecipitate fractions (unbound, eluted, resin) were analysed by Western blot to detect the presence of OPA1, MFN2, two proteins involved in the mitochondrial fusion, POLG, a regulator of mtDNA replication, and cytochrome c, for its role in apoptosis.

Western blot revealed the presence of all these proteins in the unbound fraction (Fig. 34), indicating that no interaction exists between OPA3V1 and OPA1, MFN2, POLG and cytochrome c.
Figure 34 – Coimmunoprecipitation for OPA3 in HEK cells
Western blots using OPA1, MFN2, POLG, cyt c, OPA3 antibodies, performed on the flow through (UB), eluted (E) and resin (R) proteins from mitochondrial fractions of HEK cells.
Discussion
Part 1 – Modifying factors of penetrance in LHON

Mitochondrial DNA content as a biomarker to distinguish LHON affected individuals from carriers

The increase in mtDNA content has been observed in mitochondrial diseases and aging. This feature is currently interpreted hypothesizing that the impairment of respiratory chain activity and the consequent reduction of ATP production due to mtDNA mutations may trigger a retrograde pathway, which activates mitochondrial biogenesis and compensates the mitochondrial dysfunction. Similarly, an increase in mtDNA content has been reported in different tissues from elderly individuals, such as brain, lungs, and skeletal muscle (Barrientos et al., 1997; Lee et al., 1998; Pesce et al., 2001). Furthermore, an up-regulation of two master regulators of mtDNA replication, NRF-1 and Tfam, has been found in skeletal muscle of elderly subjects (Lezza et al., 2001).

The increase in mitochondrial biogenesis and in mtDNA content has been documented in mitochondrial encephalomyopathies, such as MELAS and MERRF, where mtDNA copy number is significantly increased in leukocytes of young subjects compared to controls, whereas it is lower in old affected individuals (Liu et al., 2006). Moreover, accumulation of large collections with frequently aberrant mitochondria is common in the skeletal muscle (RRFs) of patients affected by these diseases, indicating a signalling for a compensatory mechanism based on the activation of mitochondrial biogenesis (Hirano et al., 1999). The RRFs have been reproduced in an animal model by disrupting the expression of mitochondrial transcription factor A (mtTFA). The increased mitochondrial mass partly compensated for the reduced function of the respiratory chain by maintaining overall ATP production in skeletal muscle (Wredenberg et al., 2002). Thus, within certain limits, the increase of mitochondrial mass is a successful compensatory strategy.

Although RRFs are not detectable, some signs of mitochondrial proliferation in LHON patients skeletal muscles is also occurring as shown, for example by the increased subsarcolemmal SDH activity (Valentino et al., 2004; Carelli et al., 1998). In LHON patients with the 11778/ND4 mutation, an increased succinate cytochrome c reductase activity, with normal complex III activity, has been reported in blood cells mitochondria, suggesting the occurrence of a nuclear compensatory effect for defective respiratory chain (Yen et al., 1996). Moreover, an increased mtDNA copy number in blood cells of LHON affected and asymptomatic carrier individuals harbouring the 11778/ND4 and 14484/ND6 mutations, has also been reported (Yen et al., 2002; Nishioka et al., 2004). Finally, it has been recently demonstrated that an increase in mitochondrial biogenesis induced by β-estradiol is able to rescue the energetic defect in LHON cybrids (Giordano et al., 2011), supporting the relevance of an efficient mitochondrial biogenesis in LHON.
Based on these observations, we measured the mtDNA content in blood cells and skeletal muscle of LHON affected subjects and unaffected mutation carriers individuals. The results from this investigation showed a significant increase in mtDNA copy number in individuals carrying LHON mutations compared to controls individuals, and, interestingly, the LHON unaffected carriers had a significantly higher mtDNA content also compared to the affected individuals. The increase in mtDNA content was found in both blood cells and skeletal muscle and it was independent from the type of mutation. In fact, this result was reproducible in the large Brazilian family carrying the 11778/ND4 mutation and in the 39 Italian families carrying the three common LHON mutations. The increase in mtDNA copy number could be influenced by genetic and/or environmental factors, determining the difference between affected and carrier. In fact, the subjects with an efficient compensatory response have a high mtDNA copy number and may not develop the disease (unaffected carriers), whereas those characterized by a lower mtDNA copy number cannot completely compensate for the energy defect and will be more prone to develop LHON converting to affected.

No correlation between mtDNA copy number and age or sex was observed in this study. However, in the carrier group the distribution of mtDNA content showed two different populations, represented by males and females, expressing a lower mtDNA copy number. Considering the compensatory response as a multifactorial mechanism, it is possible that females need a lower mtDNA content, but the compensation mechanism could be efficient being influenced by other sex-related factors, such as estrogens.

**Seven selected SNPs in nuclear regulators of mitochondrial biogenesis do not influence LHON penetrance**

Mitochondrial biogenesis is a complex process involving the coordinated expression of mitochondrial and nuclear genes, the import of mitochondrial proteins encoded by nuclear genome and turnover of mitochondrial population. Although the complete pathway has not been elucidated yet, key players have been identified in the last few years (*Diaz and Moraes, 2008*).

Two classes of nuclear regulators of mitochondrial biogenesis can be distinguished: transcriptional coactivators (PGC-1α, PGC-1β, PPRC, etc.) and transcriptional factors (NRF1, NRF2, ERRα, Tfam, etc.). The first class includes nuclear proteins which do not bind directly the DNA but are able to activate transcriptional factors through direct interaction. Once activated, the transcriptional factors can stimulate expression of genes involved in the OXPHOS functioning or in mtDNA replication (*Kelly and Scarpulla, 2004*).
Several SNPs are able to influence the expression or the activity of these genes. The polymorphism Gly482Ser in PGC-1α gene has been associated to a variety of pathologies, such as hypertension, diabetes mellitus and insulin resistance (Brito et al., 2009; Su et al., 2008; Lai et al., 2008). A recent study demonstrates that PGC-1α variant with Gly/Gly at 482nd amino acid impairs Tfam transcription, thus lowering mtDNA replication (Choi et al., 2006). A polymorphic variant of PGC-1β, Ala203Pro, has been associated with obesity and breast cancer (Andersen et al., 2006; Wirtenberger et al., 2007). The position 203 is in close proximity of the nuclear receptor box 1 (NR1), through which PGC-1β interacts with nuclear receptors such as ERα/β or PPARγ, recruiting a complex of coactivators to target DNA sites (Nolte et al., 1998; Feng et al., 1998). Therefore, the Ala203Pro change is predicted to interfere with the interaction of PGC-1β with ERs and ERRs, leading to an altered transactivation of target genes (Kressler et al., 2002). Tfam is a major transcription factors promoting the mitochondrial biogenesis, being an important regulator of mtDNA replication and transcription. Only three missense SNPs have been identified in the gene sequence, and one of these, Ser12Thr, has been investigated for correlation with insulin resistance (Gianotti et al., 2008) or neurodegenerative diseases, showing a significant association with late-onset Alzheimer (Zhang et al., 2011; Alvarez et al., 2008; Günther et al., 2004).

In recent studies, a new role in mitochondrial biogenesis, mtDNA maintenance and mtDNA replication has been attributed to the tumor suppressor protein p53 (Kulawiec et al., 2009; Park et al., 2009; Lebedeva et al., 2009). In fact, in response to endogenous or exogenous stimuli, such as oxidative stress, p53 is recruited to mitochondria and interacts with apoptotic proteins and regulators of mtDNA transcription and replication, such as Tfam and POLG (Park et al., 2005; Mihara et al., 2003). It has been demonstrated that the Pro72Arg polymorphism can influence p53 ability to promote the apoptosis, due to its increase within mitochondria (Matlashewski et al., 1987). Moreover, this polymorphism has been largely investigated for association to different type of tumors, chemo-radiotherapy resistance and neurological disease (Rangel-López et al., 2006). In the last year, some evidences for a role in mtDNA replication of the mitochondrial protease PARL has been found. A polymorphic variant in the promoter region (-191T/C) has been shown to influence mtDNA content in a control Caucasian population (Curran et al., 2010). Moreover, a genome-wide scan analysis indicated two variants in non coding regions of PARL gene (rs3749446 and rs1402000) as associated with LHON in Thai families (Phasukkijwatana et al., 2010), although this result has not been replicated by a following study in LHON Chinese patients (Zhang et al., 2010). The variant Leu262Val is the only SNPs in the coding region of PARL associated with pathologies, such as metabolic syndrome and coronary artery disease (Powell et al., 2008).
We selected PGC-1α, PGC-1β, Tfam, p53 and PARL as potential candidate genes to have a modifying role in LHON penetrance and we investigated the distributions of the most relevant SNPs in these genes (Gly482Ser, PGC-1α; Ala203Pro, PGC-1β; Ser12Thr, Tfam; Pro72Arg, p53; -191T/C, Leu262Val, PARL) in the large Brazilian family. No correlation between these polymorphic variants and the status of affected and carrier has been found. Moreover, we didn’t find any significant correlation between mtDNA copy number and the SNPs in LHON affected and carrier groups.

**Ala16Val variant in MnSOD modify the LHON penetrance**

Mitochondria are the major source of ROS under normal physiological conditions, with superoxide radicals being the primary ROS produced by these organelles. Complex I and complex III are the major superoxide (O$_2^-$)-producing sites in mitochondria (Lenaz, 1998). The LHON mutations in complex I promote a chronic increase in oxidative stress (Wong et al., 2002; Schoeler et al., 2007), which, together with the decrease of net energy production (Baracca et al., 2005; Lodi et al., 1997; Lodi et al., 2002), have a key role in the pathogenesis of the disease.

We selected polymorphic variants in the major players of the cellular antioxidant machinery to identify genetic determinants able to modify LHON penetrance.

The Ala16Val in the MTS of MnSOD has been reported as associated with several pathologies, including Alzheimer diseases (Wiener et al., 2007), and with aging and longevity (Soerensen et al., 2009). It has been demonstrated that the Ala allele confers a 40% higher MnSOD activity and a higher content of the protein within mitochondria than the Val allele (Sutton et al., 2005). The variant +35A/C in the cytosolic SOD, CuZnSOD, is located in a splice site (exon3/intron3) of the gene and is related to the enzyme activity, having the AA genotype the higher CuZnSOD activity. This SNP has been found associated with diabetes mellitus (Flekac et al., 2008) and diabetic nephropaty in type I diabetes (Panduru et al., 2010).

The Pro198Leu variant in GPx gene has an influence on the enzymatic activity of the protein, being the Pro198 allele related to a higher detoxifying activity than the Leu198 allele (Hu and Diamond, 2003). According to this founding, the Leu allele has been reported to increase risk to develop different cancers, such as hepatocellular carcinoma, prostate, breast and lung cancer (Sutton et al., 2006).

The two polymorphisms in the CAT gene (-21A/T; -262C/T) are located in the promoter and have been reported as modifier of the catalase gene expression (Forsberg et al., 2001b). Moreover, the -262C/T variant has been associated with the systemic lupus erythematosus (SLE) (D'souza A et al., 2008).
Aldose reductase (ALDR1) is a cytosolic enzyme that, in the presence of NADPH, catalyzes the rate-limiting step of the polyol pathway converting glucose in sorbitol, but some evidences of a role of this enzyme in detoxification from ROS are also reported. In particular, it has been shown that H$_2$O$_2$ can promote the expression and activity of this enzyme (Stefan et al., 1997) and the participation of ALDR1 in an oxidative defence mechanism able to neutralize toxic effects of lipid peroxidation has been proposed (Heike et al., 1999). Moreover, ALDR1 is able to translocate into mitochondria after protein kinase C-dependent phosphorylation, although its role in the organelle is still unknown (Varma et al., 2002). A further link between aldose reductase and mitochondria was shown by a microarray analysis of LHON and controls cybrids, documenting its over-expression in LHON cells and 35% increase of protein expression in their mitochondria. Thus, a role for ALDR1 in LHON pathogenesis has been proposed (Danielson et al., 2005). The -105C/T polymorphism in the promoter seems to modify the gene expression of ALDR1 (Liu et al., 2002) and it has been found associated with diabetic nephropathy in subjects affected with type 2 diabetes mellitus (Makiishi T et al., 2003).

The analysis of distributions of these selected polymorphisms in the large SOA-BR family revealed a significant signal from the MnSOD Ala16Val variant. In fact, the Val/Val genotype resulted more frequent in the unaffected mutation carrier, whereas the Ala/Val genotype was associated to the affected individuals. Moreover, considering the Ala/Ala and Ala/Val genotypes as related to a higher enzymatic activity (Mattiazi et al., manuscript in preparation), the affected individuals resulted significantly characterized by a high MnSOD activity, compared to the carrier group. The allelic distributions among the two groups, showed that Val allele was protective, being significantly associated to the carriers, whereas the Ala allele resulted associated to the increased risk for LHON expression with an odd ratio of 2.37. These results have been replicated in a cohort composed of individuals from different nationalities and carrying LHON mutations. Furthermore, the distribution of the polymorphism Ala16Val in a cohort of 111 Italian LHON affected unrelated individuals overlapped the distribution found in the Brazilian affected, being significantly different from a control group of Italians matched for age and sex.

These results on the MnSOD variant are counter intuitive if we assume that high antioxidant activity would be a protective factor in LHON. However, our results lead to the conclusion that the Ala16Val variant in MnSOD represents one of the genetic modifiers of LHON penetrance. The Ala allele predisposes to the clinical expression of the disease, whereas the protective allele is Val, which is known to affect the targeting sequence for mitochondrial import inducing a partial stalling of MnSOD transport, and lowering the final amount of active enzyme in the matrix. We propose that high MnSOD activity in mitochondria of LHON subjects, which chronically overproduce
superoxide, may become deleterious if downstream other antioxidant enzymes fail to buffer the excess $\text{H}_2\text{O}_2$. As a consequence, we may envisage a scenario where the antioxidant machinery within mitochondria may become overwhelmed by $\text{H}_2\text{O}_2$ production and this radical, more stable than superoxide, may spill out of mitochondria and trigger cell damage and ultimately apoptosis.
Part 2 – Elucidating the mitochondrial function of OPA3 and its role in ADOAC pathogenesis

OPA3 variants are ubiquitously expressed and OPA3V1 is the most abundant

Huizing et al. in 2010 reported that OPA3V1 and OPA3V2 were ubiquitously expressed (Huizing et al., 2010). In this study we carried out a quantitative analysis in a multiple tissues panel from mouse and we compared the OPA3 variants gene expression with OPA1 expression, being this gene a major site for mutations causing ADOA.

We found that OPA3V1 and OPA3V2 were both expressed in kidney, lung, skeletal muscle, liver, brain, retina and cochlea from a 7 days old mouse, the OPA3V2 being much less expressed compared to the OPA3V1. In particular, OPA3V2 reached expression levels from a minimum of 4 fold lower in lung to a maximum of 9 fold lower in heart. Expression levels of OPA3V2 were similar in all the tissues examined, whereas OPA3V1 showed a pattern of expression close to that of OPA1, although it seemed to be more expressed in most of the tissues, except for brain, where OPA1 exceeded OPA3V1.

In accord with what observed in mouse, in HeLa cells OPA3V1 resulted more expressed than OPA1, whereas OPA3V2 showed an expression of ~40 folds lower than OPA3V1. Interestingly, we observed in HeLa cells a compensatory mechanism based on the increase of OPA3V1 mRNA expression when OPA3V2 was suppressed, and vice versa. This phenomenon has been already observed in fibroblasts from patients carrying a 3-MGCA type III-related mutation located in a splice site (143-1G>C). This mutation abolished the OPA3V1 mRNA expression in these cells, but OPA3V2 mRNA resulted significantly up-regulated (Huizing et al., 2010). Moreover, we found that in HeLa cells the protein expression of both OPA3 variants was considerably low, being not detectable in 30-40µg of loaded proteins, indicating the possible existence of a post-transcriptional mechanism regulating OPA3 proteins translation.

The expression of OPA3V1 and OPA3V2 was confirmed in retina by Immunofluorescence, and we found that both the variants are clearly detectable in RGCs, and, as the mRNA quantification demonstrated, OPA3V2 is less express than OPA3V1.

OPA3 may be involved in the regulation of mitochondrial fission

To determine the function of OPA3, we investigated if the overexpression or silencing of the two isoforms had an impact on mitochondrial morphology in HeLa cells.

HeLa cells overexpressing OPA3V1, OPA3V2 or OPA3V1G93S showed abnormal mitochondrial morphology, which led to extensive mitochondrial aggregation, more marked in the cells
transfected with the mutated OPA3V1. This observation is in line with the findings reported by Ryu after overexpression of OPA3V1 (Ryu et al., 2010).

To confirm the effect of OPA3 on mitochondrial morphology, we repressed the expression of endogenous OPA3 variants through a silencing approach. We transfected HeLa cells with three different siRNAs specific for Ex1, Ex2, and Ex2b and directed respectively to OPA3V1 and OPA3V2, OPA3V1, and OPA3V2. Through qualitative observation of the transfected cells, loss of OPA3V1 and OPA3V2 seemed to have no effects on the mitochondrial morphology, being cells transfected with siEx1, siEx2 and siEx2b comparable to control cells. This result is in contrast to what shown by Ryu et al., who found an increase in elongated mitochondria in the cells silenced for OPA3V1 (Ryu et al., 2010). The different findings between these two studies may be due to technical issues given to the different experimental condition used.

Contrary to expectations, OPA3 may have an opposite function respect to OPA1, being involved in the regulation of mitochondrial fission instead of mitochondrial fusion.

**OPA3 affects mitochondrial membrane potential**

The overexpression of OPA3 in HeLa cells had remarkable effects on the maintenance of mitochondrial membrane potential. In fact, cells transfected with OPA3V1, OPA3V2 and OPA3V1G93S completely lost the membrane potential, since red fluorescence of JC1 aggregates disappeared in these cells. On the contrary, silencing of OPA3 isoforms, simultaneously or independently, did not affect the membrane potential, showing in these cells the same pattern of JC1 fluorescence observed in the control cells.

Thus, the overexpression of OPA3 may have a deleterious effect on mitochondrial function, since it strongly induced lack of membrane potential, required for ATP production and directly involved in processes such as mitochondrial proteins import, Ca2+ release from mitochondria and apoptosis.

**OPA3 increases sensitivity to apoptotic signals**

It is well known from literature that altered mitochondrial morphology and membrane potential are closely related to apoptotic sensitivity (Youle and Karbowsky, 2005; Suen et al., 2008). We evaluated whether the induction or repression of OPA3 expression may lead to cell death in absence or presence of an apoptotic stimulus.

The overexpression or silencing of OPA3 did not influence predisposition to apoptosis, since conditions these cells did not undergo to apoptosis spontaneously. On the contrary, treatment with staurosporine significantly increased the apoptosis in cells overexpressing OPA3V1, OPA3V2 and OPA3V1G93S. A different effect was observed silencing OPA3 and treating cells with
staurosporine. In fact, cells silenced for both the isoforms showed apoptosis levels comparable to control cells, whereas cells silenced for OPA3V1 and OPA3V2 independently significantly increased the number of apoptotic cells. This phenomenon could be dependent on the compensatory mechanism inducing an increase in OPA3V1 expression when OPA3V2 is repressed and vice versa. Thus, the increase in apoptotic cells number could be due to the overexpression of OPA3 isoform which was not silenced.

The increased sensitivity to staurosporine in cells overexpressing OPA3 has been previously demonstrated by Ryu et al., and cytochrome c release has been observed in these conditions (Ryu et al., 2010).

**OPA3 does not influence mtDNA content**

Multiple deletions in mtDNA has been found in association to OPA1 mutations in skeletal muscle of “ADOA plus” patients, suggesting a role of OPA1 in mtDNA stability (Amati-Bonneau et al., 2008). Moreover, OPA1 has been recently shown as directly involved in the maintenance of mitochondrial genome integrity, influencing mtDNA stability and replication and being associated to nucleoids (Elachouri et al., 2011).

To determine if also OPA3 could be involve in the maintenance of mtDNA, we quantified mtDNA content after OPA3 overexpression and silencing. OPA3V1, OPA3V2 and OPA3V1G93S overexpression and silencing of OPA3 variants did not influence mtDNA copy number/cell, showing that these cells had the same mtDNA content as control cells. Thus, contrary to OPA1, OPA3 does not seem to be involved in mtDNA maintenance.

**OPA3 is not an interactor of OPA1, MFN2, POLG and cyt c**

To identify possible interactors of OPA3, we carried out a CoIP experiment on HEK cells, taking advantage of their high content in mitochondria.

We failed to evidence interactions between OPA3V1 and OPA1 or MFN2, two proteins involved in mitochondrial fusion. This result further confirms that OPA3 is not involved in the mitochondrial fusion process. Furthermore, we also excluded interactions between OPA3V1 and POLG, corroborating the mtDNA quantification experiments, and strongly supporting the absence of connection between OPA3 and mtDNA replication. Lastly, since the overexpression of OPA3 showed a pro-apoptotic effect and the release of cytochrome c has been already demonstrated under thi condition (Ryu et al., 2010), we also checked for a direct interaction between OPA3V1 and cytochrome c, failing to show this possible connection.
Conclusions
Leber’s hereditary optic neuropathy (LHON) and Autosomal Dominant Optic Atrophy (ADOA) are the two most common inherited optic neuropathies and both are the result of mitochondrial dysfunctions. Since the first causative mutations have been identified, LHON and ADOA have been intensively investigated, even though to date many questions are still open. The genetic factors modulating the variable penetrance and tissue-specificity of the pathological mechanism have not been completely explained yet. Moreover, many loci have been found associated with ADOA families negative for OPA1 mutations, but only the OPA3 gene has been characterized. The function of the mitochondrial protein OPA3 and its role in the pathogenic mechanism of ADOA are still elusive, and contradictory findings have been recently published.

The results here reported contribute to clarify some aspects of the LHON variable penetrance and try to elucidate OPA3 function and the ADOA pathogenic mechanism.

We identified a compensatory mechanism in LHON patients, able to distinguish affected individuals from unaffected mutation carriers. In fact, carrier individuals resulted more efficient than affected subjects in increasing the mitochondrial biogenesis to compensate for the energetic defect. Thus, the activation of the mitochondrial biogenesis may be a crucial factor in modulating penetrance, determining the fate of subjects harbouring LHON mutations. Furthermore, mtDNA content can be used as a molecular biomarker which, for the first time, clearly differentiates LHON affected from LHON carrier individuals, providing a valid mechanism that may be exploited for development of therapeutic strategies. Although the mitochondrial biogenesis gained a relevant role in LHON pathogenesis, we failed to identify a genetic modifying factor for the variable penetrance in a set of candidate genes involved in the regulation of this process. A more systematic high-throughput approach will be necessary to select the genetic variants responsible for the different efficiency in activating mitochondrial biogenesis.

A genetic modifying factor was instead identified in the MnSOD gene. The SNP Ala16Val in this gene seems to modulate LHON penetrance, since the Ala allele in this position significantly predisposes to be affected. Thus, we propose that high MnSOD activity in mitochondria of LHON subjects may produce an overload of $\text{H}_2\text{O}_2$ for the antioxidant machinery, leading to release from mitochondria of this radical and promoting a severe cell damage and death.

Our study on OPA3 provides new information about the pattern of expression of the two isoforms OPA3V1 and OPA3V2, and, moreover, suggests that OPA3 may have a different function in mitochondria from OPA1, the major site for ADOA mutations. In fact, based on our results, we propose that OPA3 is not involved in the mitochondrial fusion process, but, on the contrary, it may regulate mitochondrial fission. Furthermore, at difference from OPA1, we excluded a role for OPA3 in mtDNA maintenance and we failed to identify a direct interaction between OPA3 and OPA1.
Considering the results from overexpression and silencing of OPA3, we can conclude that the overexpression has more drastic consequences on the cells than silencing, suggesting that OPA3 may cause optic atrophy via a gain-of-function mechanism. These data provide a new starting point for future investigations aimed at identifying the exact function of OPA3 and the pathogenic mechanism causing ADOAC.
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Appendix

Primer sequences, PCR conditions, restriction enzymes, siRNAs sequences

**LHON common mutations**

**LHON 11778**
Fw: 5’-GAATGTAGGAGTAATGATAAG-3’
Rv: 5’-ATTATCGAAAAACTCTGAAC-3’
1 cycle 94°C x 5’ - -
25 cycles 94°C x 30”, 55°C x 60”, 72°C x 2’30”
1 cycle 72°C x 7’

**LHON 14484**
Fw: 5’-ATCATATAGGTTTCTGTTG-3’
Rv: 5’-GGGACTGGGGGTACGGAGTC-3’
1 cycle 94°C x 5’
25 cycles 94°C x 30”, 49°C x 60”, 72°C x 2’30”
1 cycle 72°C x 7’

**LHON 3460**
Fw: 5’-AAGTGTTTCGCGGAAGGGG-3’
Rv: 5’-GAGTAACATGGAAGGATTA-3’
1 cycle 94°C x 5’
30 cycles 94°C x 30”, 55°C x 30”, 72°C x 2’30”
1 cycle 72°C x 7’

**RFLP nuclear genes**

**PGC1α Gly482Ser**
Fw: 5’-TGCTACCTGAGAGACTTTG-3’
Rv: 5’-CTTTCATCTTGCTGTCATC-3’
1 cycle 94°C x 5’
30 cycles 94°C x 60”, 60°C x 60”, 72°C x 60”
1 cycle 72°C x 10’
HpaII (Fermentas), 16 hours at 37°C

**PGC-1β Ala203Pro**
Fw: 5’-GAGTAACATGGAAGGATTA-3’
Rv: 5’-TTGCCGTCCCAAGCAATGGATGA-3’
1 cycle 94°C x 5’
30 cycles 94°C x 60”, 58°C x 60”, 72°C x 60”
1 cycle 72°C x 10’
NlaIV (Fermentas), 16 hours at 37°C

**p53 Pro72Arg**
Fw: 5’-TTGCCGTCCTGCAATGGATGA-3’
Rv: 5’-TCTGGGAAGGACAGAAGGACAG-3’
1 cycle 94°C x 5’
30 cycles 94°C x 60”, 60°C x 60”, 72°C x 60”
1 cycle 72°C x 10’
AccII (Fermentas), 2 hours at 37°C

**Tfam Ser12Thr**
Fw: 5’- CCCCGCCCCCATCTTSCCGA-3’
Rv: 5’- GACGTCCTGGGCCCTGCTG-3’
1 cycle 94°C x 5’
30 cycles 94°C x 60”, 61°C x 60”, 72°C x 60”
1 cycle 72°C x 10’

Ddel (Fermentas), 16 hours at 37°C

**PARL Leu212Val**
Fw: 5’- GGGCGAAAGAGTGAAAT-3’
Rv: 5’- GGGTGAAGGTATATGAGAACC-3’
1 cycle 94°C x 5’
30 cycles 94°C x 30”, 55°C x 30”, 72°C x 30”
1 cycle 72°C x 10’

Mval (Fermentas), 16 hours at 37°C

**PARL -191T/C**
Fw: 5’- GCCTGATGTGCAGCTTACT-3’
Rv: 5’- GCAACACCATAGACAGCAG-3’
1 cycle 94°C x 5’
30 cycles 94°C x 30”, 55°C x 30”, 72°C x 30”
1 cycle 72°C x 10’

BbvI (Fermentas), 16 hours at 65°C

**MnSOD Ala16Val**
Fw: 5’- ACCAGCAGCGAGCTTGCCGCG-3’
Rv: 5’- GCGTTGATGTGAGGTTCCAG-3’
1 cycle 94°C x 5’
30 cycles 94°C x 30”, 61°C x 30”, 72°C x 30”
1 cycle 72°C x 10’

Cac8-I (Biolabs), 16 hours at 37°C

**GPx-1 Pro189Leu**
Fw: 5’- TGTGCCCCTACGCAGGTACA-3’
Rv: 5’- CCCCCGAGACAGCAGCA-3’
1 cycle 94°C x 5’
30 cycles 94°C x 30”, 55°C x 30”, 72°C x 30”
1 cycle 72°C x 10’

ApaI (Fermentas), 16 hours at 37°C

**ALRD1 -106C/T**
Fw: 5’- GTCTAAGAACAAAGTGCGGTAAAC-3’
Rv: 5’- CGCCGGTGTGAGCAGGAGAC-3’
1 cycle 94°C x 5’
30 cycles 94°C x 60”, 59°C x 60”, 72°C x 60”
1 cycle 72°C x 10’

FspBI (Fermentas), 16 hours at 37°C
Cu/ZnSOD +35A/C
Fw: 5’- CTATCCAGAAAAACACGGTGGGCC-3’
Rv: 5’- TCTATATTTCAATCAAATGCTACAAAAACC-3’
1 cycle 94°C x 5’
30 cycles 94°C x 60'', 55°C x 60'', 72°C x 60''
1 cycle 72°C x 10’
HinG I (Fermentas), 2 hours at 37°C

CAT -21A/T
Fw: 5’- CCAATCAGAGGCAGTCTC -3’
Rv: 5’- CGGCTTATCTAAACGCACCACCTT -3’
1 cycle 94°C x 5’
30 cycles 94°C x 60'', 58°C x 60'', 72°C x 60''
1 cycle 72°C x 10’
Hinfl (Fermentas), 16 hours at 37°C

CAT -262C/T
Fw: 5’- TAAGAGCTGAGAAAGCATAGCT -3’
Rv: 5’- AGAGCCTGCCCAGCAGACCAG -3’
1 cycle 94°C x 5’
30 cycles 94°C x 60'', 60°C x 60'', 72°C x 60''
1 cycle 72°C x 10’
Sma I (Fermentas), 2 hours at 30°C

Real Time-PCR assay

OPA3V1 human
Fw: 5’- CTCCGCACGCTCAACTGTA - 3’
Rv: 5’- GCCCACGATGAAGATGGTGCT -3’

OPA3V2 human
Fw: 5’- CCCCGGCTCAACTGTACC - 3’
Rv: 5’- GGCAGCTGAGCGGGTTGAT -3’

OPA1 human
Fw: 5’- TGCGGAGGACAGCTTGAGGGTT - 3’
Rv: 5’- TTGAGACGAGGCAGGAC -3’

RPL27 human
Fw: 5’- AGCTGTCATCGTGAAGAACAT - 3’
Rv: 5’- CTGGCGATCTTCTTCTTGCC -3’

OPA3V1 mouse
Fw: 5’- CCCAGCCTGTACCCTGAGGGTTG - 3’
Rv: 5’- ACCTCATCTGCTCAGACGTC -3’

OPA3V2 mouse
Fw: 5’- CCCAGCCTGTACCCTGAGGGTTG - 3’
Rv: 5’- CTGGGCAATGTGCTGCTGAC -3’
OPA1 mouse
Fw: 5’-AGAAATCTCAGCCTTGCTGTG - 3’
Rv: 5’-ACAGGGATTGCTGAGGATT-3’

RPL27 mouse
Fw: 5’-ACGCAAAGCCGTCATCGGAG - 3’
Rv: 5’-CTTGGCGATCTTTCTTCTTGCC -3’

Preincubation: 1 cycle 95°C x 10’
Amplification: 45 cycle 95°C x 30'', 56°C x 20'', 72°C x 25'', acquisition of fluorescence signal
Melting curve: 1 cycle 95°C x 5’, 64°C x 1’, 65°C - 97°C (ramp rate 0.11°C/s), acquisition of fluorescence in continuous

**OPA3 siRNAs duplex sequences**

siEx1
sense 5’-GCGCGUUCCUAAUGGCGAAAU- 3’
antisense 5’-UGCACAUAUGGAAACGGCUU-3’

siEx2
sense 5’-GCACAGAGCUGCAAGAGGUUU- 3’
antisense 5’-ACCUCUUGCAAGCUUUGCUU-3’

siEx2b
sense 5’-GCACAAGUUUCCUAACGACUU- 3’
antisense 5’-GUCCGUAGGAACUUUGGCUU-3’
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